RULES OF PROFESSIONAL CONDUCT

SECTION INDEX

Barrister 2016

|  |  |  |  |
| --- | --- | --- | --- |
| **A** | | | |
|  | |  |  |
| Abandonment of a legal services practice – Duty to report misconduct | 704 | | 7.1–3(b) |
| Ability and capacity of lawyer – competence | | 623(M) | 3.1–2[1] |
| Abusive communications – Prohibition | 708 | | 7.2–4 |
| Access to E–Reg – Relationship to students, employees, and others | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Acting against client – Confidential information – May act if | | 649(B) | 3.4–11 |
| Acting against client – Prohibited unless consent | | 649(M)-650(T) | 3.4–10 ; 3.4–11 |
| Acting against former client – Confidential information – Conflicts of interest | | 649(B) | 3.4–11 |
| Acting against former clients, prohibition – Conflicts of interest | | 648(M) | 3.4–10 |
| Acting as advocate – Limits on lawyer | | 680(M) | 5.1–2 |
| Acting for borrower and lender – Conflicts of interest | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Acting for borrower and lender – Definition of material information – Conflicts of interest | | 651(B) | 3.4–15[1] |
| Acting for borrower and lender – Permitted if | | 651(M) | 3.4–14 |
| Acting for borrower and lender – Prohibition – Mortgage or loan | | 651(M) | 3.4–12 |
| Acting for Transferor and Transferee – Prohibition – Transfer of title | | 654(B) | 3.4–16.7 |
| Administration of justice – Criticizing tribunals | | 691(M) | 5.6–1[3] |
| Administration of justice – Encouraging respect for administration of justice | | 691(T) | 5.6–1 |
| Administration of justice – Legislative Change, seeking | | 691(B) | 5.6–2 |
| Administration of justice – Security of court facilities | | 692(T) | 5.6–3 |
| Administration of justice – Security of court facilities | | 692(T) | 5.6–3 |
| Administration of justice and public, act for – Prosecutor | | 683(M) | 5.1–3 |
| Administration of justice, encouraging respect for – Lawyer and the administration of justice | | 691(T) | 5.6–1 |
| Administration of justice, relationship to | | 679(T)-693(B) | 5.1–1 – 5.7–1 |
| Admissibility, does not depend on – Lawyer as advocate – incriminating physical evidence | | 682(M) | 5.1-2A[1] |
| ADR – Consider and inform client of ADR – Quality of service | | 630(T) | 3.2–4[1] |
| Advance consent – Conflicts of interest | | 646(M) | 3.4–2[4]-[5] |
| Adversarial proceedings – Lawyer as advocate | | 679(T) | 5.1–1 |
| Advertising – Fees | | 677(B) | 4.2–2 |
| Advertising – General practice | | 678(T) | 4.3–1 |
| Advertising – Marketing legal services | | 677(T) | 4.2–0 |
| Advertising – Restrictions | | 677(T) | 4.2–1 |
| Advertising – Specialist practice | | 678(T) | 4.3–1 |
| Advertising nature of practice – Marketing | | 678(T) | 4.3–1 |
| Advertising of fees – Requirements | | 677(B) | 4.2–2 |
| Advertising speciality practices – Marketing | | 678(T) | 4.3–1 |
| Advertising, no – Lawyers in loans or mortgage transactions – Conflicts of interest | | 662(M) | 3.4–34.3 |
| Advice from non–licensees – Competence | | 625(T) | 3.1–2[11] |
| Advice on non–legal matters – Competence | | 624(B) | 3.1–2[10] |
| Advocacy – Abuse of Process | | 680(M) | 5.1–2(a) |
| Advocacy – Agreement on guilty plea | | 685(M) | 5.1–7 – 5.1–8 |
| Advocacy – Communication with represented person, prohibition | 708 | | 7.2–6 |
| Advocacy – Deceiving the tribunal | | 680(B) | 5.1–2(e) |
| Advocacy – Discovery obligations | | 684(T) | 5.1–3.1 |
| Advocacy – Dishonest or Dishonourable Conduct, Prohibition | | 680(M) | 5.1–2(b) |
| Advocacy – Duties of Advocate | | 679(T) | 5.1–1 |
| Advocacy – Duty of prosecutor | | 683(M) | 5.1–3 |
| Advocacy – Duty to Client | | 679(T) | 5.1–1 |
| Advocacy – Encouraging respect for administration of justice | | 691(T) | 5.6–1 |
| Advocacy – Error or omission, disclosure of | | 684(M) | 5.1–4 |
| Advocacy – Interviewing Witnesses | | 687(T) | 5.3–1 |
| Advocacy – Jurors – advocate not to communicate | | 690(T) | 5.5–1 |
| Advocacy – Jurors – Disclosure of improper conduct | | 690(M) | 5.5–3 |
| Advocacy – Jurors, disclosure of juror’s interest in case | | 690(M) | 5.5–2 |
| Advocacy – Jurors, prohibition on communication before trial | | 690(T) | 5.5–1 |
| Advocacy – Jurors, prohibition on communication during trial | | 690(B) | 5.5–4 |
| Advocacy – Lawyer as advocate | | 679(T)-682(T) | 5.1–1 – 5.1–2 |
| Advocacy – Lawyer as witness – Submission of affidavit | | 686(T) | 5.2–1 |
| Advocacy – Lawyer as witness – Submission of testimony | | 686(T) | 5.2–1 |
| Advocacy – Lawyer shall not – As advocate | | 680(M)-681(M) | 5.1–2(a–p) |
| Advocacy – Retired judges appearing as counsel | 721 | | 7.7–1.2 – 7.7–1.4 |
| Advocacy – Undertakings | | 685(T) | 5.1–6 |
| Advocacy – Witness, lawyer as | | 686(T) | 5.2–1 – 5.2–2 |
| Advocacy – Witness, lawyer as – Appeals | | 686(M) | 5.2–2 |
| Advocate – duty to be courteous | | 679(T) | 5.1–1 |
| Advocate – Shall not – Knowingly permit party to be presented falsely | | 681(T) | 5.1–2(k) |
| Advocate – Shall not – needlessly abuse witness | | 681(M) | 5.1–2(m) |
| Advocate shall not – Needlessly inconvenience witness | | 681(M) | 5.1–2(o) |
| Advocate shall not – Threaten criminal charges in attempt to gain a benefit | | 681(M) | 5.1–2(n) |
| Advocate, lawyer as – Agreement on guilty plea | | 685(M) | 5.1–7 – 5.1–8 |
| Advocate, lawyer as – Candour, honour, fairness | | 679(T) | 5.1–1 |
| Advocate, lawyer as – Courtesy | | 684(B) | 5.1–5 |
| Advocate, lawyer as – Disclosure of error or omission | | 684(M) | 5.1–4 |
| Advocate, lawyer as – Discovery obligations | | 684(T) | 5.1–3.1 |
| Advocate, lawyer as – Lawyer shall not | | 680(M) | 5.1–2 |
| Advocate, lawyer as – Limits | | 680(M) | 5.1–2 |
| Advocate, lawyer as – Restrictions | | 680(M) | 5.1–2 |
| Advocate, lawyer as – Undertakings | | 685(T) | 5.1–6 |
| Affidavit – Submission of – Lawyer shall not submit own affidavit to tribunal | | 686(T) | 5.2–1 |
| Affiliated entities – Conflicts of interest | | 650(M) | 3.4–11.1 – 3.4–11.3 |
| Affiliated entities – Consent – Conflicts of interest | | 650(B) | 3.4–11.2 |
| Affiliated entities – Disclosure – Conflicts of interest | | 650(M) | 3.4–11.1 |
| Affiliated entities – Search system for conflicts – Conflicts of interest | | 650(B) | 3.4–11.3 |
| Affiliated entities and their affiliation with lawyers – Conflicts of interest | | 650(M) | 3.4–11.1 – 3.4–11.3 |
| Affiliated entity – Definition | | 616(T) | 1.1–1 |
| Affiliation – Definition | | 616(T) | 1.1–1 |
| Affiliation – Where exists – Establish system of search for conflict | | 650(B) | 3.4–11.3 |
| Affiliations between lawyers and affiliated entities – Conflicts of interest | | 650(M) | 3.4–11.1 – 3.4–11.3 |
| Agreement on guilty plea – discussion with prosecutor | | 685(M) | 5.1–7 |
| Agreement on guilty plea – When can make | | 685(M) | 5.1–7 |
| Agreement on guilty please – Lawyer as advocate | | 685(M) | 5.1–7 – 5.1–8 |
| Alternative Dispute Resolution – Advising | | 630(T) | 3.2–4[1] |
| Alternative dispute resolution – Mediator, lawyer as mediator | | 693(T) | 5.7–1 |
| Appeals – Lawyer as witness | | 686(M) | 5.2–2 |
| Appearance as counsel – Retired appellate judge or judge | 721 | | 7.7–1.2 – 7.7–1.4 |
| Appearances before public bodies | 717-718 | | 7.5–1 – 7.5–2 |
| Appropriation of funds – Fees and disbursements | | 669(M) | 3.6–10 – 3.6–11 |
| Articling – Recruitment procedures | | 697(T) | 6.2–1 |
| Articling student, duties of – Relationship to students | | 697(M) | 6.2–3 |
| Ascertain purpose of retainer – Quality of service | | 631(B) | 3.2–7.2 |
| Associate – Definition | | 616(M) | 1.1–1 |
| Assume complete responsibility for practice of law – Supervision | | 694(T) | 6.1–1(b) |
| Audio recording – Courtesy and good faith – Responsibility to lawyers and others | 707 | | 7.2–3 |
| Availability of legal services – Practice of law | | 675(T)-676(T) | 4.1–1 – 4.1–2 |
| Avoid bold or over–confident assurances – Competence | | 624(B) | 3.1–2[9] |
| Avoid prejudice – Manner of withdrawal from representation | | 672(B) | 3.7–8 |
| Avoidance of conflict of interest – Can’t act unless informed consent | | 645(B) | 3.4–2 |
| Avoidance of conflicts of interest – Definition | | 643(T) | 3.4–1[1] |
| Avoiding appearing as if under full retainer – Limited scope retainer – Quality of Service | | 627(T) | 3.2-1A.1[2] |
| **B** | | | |
| Borrower and lender – Mortgage or loan transaction – Conflicts of interest | | 651(M) | 3.4–12 |
| Borrower and lender in mortgage or loan transaction – Must disclose if act for both | | 651(B) | 3.4–15 |
| Borrower and lender, acting for – Conflicts of interest | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Borrowing from client – Spousal interest in borrowing entity – Conflicts of interest | | 661(T) | 3.4–33 |
| Borrowing from client, prohibition | | 660(M) | 3.4–31 |
| Borrowing from clients – Conflicts of interest | | 660(M) | 3.4–31 |
| By–law 8 – Duty to report certain offences | 706 | | 7.1–4.4 |
| **C** | | | |
| Candour – Duty of prosecutor | | 683(M) | 5.1–3 |
| Candour – Lawyer as advocate | | 679(T) | 5.1–1 |
| Candour, fairness, courtesy, respect – Prosecutor | | 683(M) | 5.1–3 |
| Cause – Withdrawal from representation | | 670(T) | 3.7–1 |
| Certificate of independent legal advice | | 660(B)-661(T) | 3.4–32 – 3.4–33 |
| Change in employment – Permitted disclosure – To detect a conflict of interest | | 641(B) | 3.3-7 |
| Change, legislative or administrative – Seeking – Administration of justice | | 691(B) | 5.6–2 |
| Citation and interpretation – Citation | | 615 | 1.0 |
| Citation and interpretation – Definitions | | 616-619 | 1.1 |
| Client – Accounting and delivery | | 665(T) | 3.5–6 – 3.5–7 |
| Client – Accounting and delivery – Preservation of client’s property | | 665(T) | 3.5–6 – 3.5–7 |
| Client – Agreement on guilty plea – Discussion with prosecutor | | 685(M) | 5.1–7 |
| Client – Client under disability | | 634(B) | 3.2–9 |
| Client – Definition | | 616(M) | 1.1–1 |
| Client – Dishonesty or Fraud – Lawyer shall not – Relationship to client | | 631(M) | 3.2–7 |
| Client – Guilty plea – Lawyer as advocate | | 685(M) | 5.1–8 |
| Client – Identifying Client’s Property | | 664(B) | 3.5–4 –  3.5–5 |
| Client – Notification of Receipt of Property | | 664(M) | 3.5–3 |
| Client – Preservation of Client’s Property | | 664(T)-665(M) | 3.5–1 –  3.5–7 |
| Client – Preservation of property | | 664(T)-665(M) | 3.5–2 – 3.5–7 |
| Client – Property, accounting and delivery | | 665(T) | 3.5–6 – 3.5–7 |
| Client – Property, Care of | | 664(T) | 3.5–2 |
| Client – Property, identification of | | 664(B) | 3.5–4 – 3.5–5 |
| Client – Property, notification of receipt | | 664(M) | 3.5–3 |
| Client – Under disability – Quality of service | | 634(B) | 3.2–9 |
| Client – Where lawyer transferring between law firms | | 655(M) | 3.4–18 |
| Client – Withdrawal from representation | | 670(T)-674(M) | 3.7–1 –  3.7–10 |
| Client instructions violate LSUC rules or by–laws – Mandatory withdrawal | | 672(M) | 3.7–7(b) |
| Client involved in illegal acts, avoiding – Quality of service | | 631(M) | 3.2–7 |
| Client is an organization – Quality of service | | 629(B) | 3.2–3 |
| Client is an organization – Quality of service – May accept joint retainer | | 629(B) | 3.2–3[2] |
| Client relations – Dealing with an unrepresented person on the client’s behalf | 712-713 | | 7.2–9 |
| Client with diminished capacity – Quality of service | | 634(B) | 3.2–9 |
| Clients – Borrowing from – Conflicts of interest | | 660(M) | 3.4–31 |
| Clients – Doing business with – Conflicts of interest | | 659(T) | 3.4–28 |
| Clients – Transactions with – Conflicts of Interest | | 659(B) | 3.4–29 |
| Communication during trial – Relations with jurors | | 690(B) | 5.5–4 |
| Communication with witnesses giving evidence – Cross–examination | | 688(M) | 5.4–2(b–c) |
| Communication with witnesses giving evidence – Examination–in–chief | | 688(T) | 5.4–2(a) |
| Communication with witnesses giving evidence – Re–examination | | 688(B) | 5.4–2(c.4) |
| Communications – Abusive communications, prohibition | 708 | | 7.2–4 |
| Communications – Interference with right to fair trial or hearing | 718 | | 7.5–2 |
| Communications – Jurors, prohibition on communications before trial | | 690(T) | 5.5–1 |
| Communications – Jurors, prohibition on communications during trial | | 690(B) | 5.5–4 |
| Communications – Prompt answer | 708 | | 7.2–5 |
| Communications – Public appearances | 717-718 | | 7.5–1 – 7.5–2 |
| Communications – Public statements | 717-718 | | 7.5–1 – 7.5–2 |
| Communications – Punctuality | 708 | | 7.2–5 |
| Communications – Represented corporation or organization, prohibition | 709 | | 7.2–8 |
| Communications – Represented corporation or organization, prohibition – Consent of lawyer to allow communication sufficient | 709 | | 7.2-8.1 |
| Communications – Represented person, prohibition | 708 | | 7.2–6 |
| Communications – Responsibility to lawyers and others | 708 | | 7.2–4 – 7.2–5 |
| Communications – Witness giving evidence | | 688(T) | 5.4–2 |
| Communications – Witness, Interviewing | | 687(T) | 5.3–1 |
| Communications before trial – Prohibition on communicating with jurors | | 690(T) | 5.5–1 |
| Communications before trial – Relations with jurors | | 690(T) | 5.5–1 |
| Communications from Law Society – Relationship to Law Society | 704 | | 7.1–1 |
| Communications with a represented corporation or organization – Responsibility to lawyers and others | 709-713 | | 7.2–8 – 7.2–9 |
| Communications with media – Interference with right to fair trial or hearing | 718 | | 7.5–2 |
| Communications with represented person – Exception – Limited scope retainer | 708 | | 7.2–6A |
| Communications with represented person – Responsibility to lawyers | 708 | | 7.2–6 – 7.2–6A |
| Communications with witnesses giving evidence | | 688(T) | 5.4–2 |
| Communications, inadvertent – Responsibility to lawyers and others | 713 | | 7.2–10 |
| Competence | | 622(T) | 3.1 |
| Competence – Ability and capacity | | 623(M) | 3.1–2[1] |
| Competence – Advice from non–licensees | | 625(T) | 3.1–2[11] |
| Competence – Advice on non–legal matters | | 624(B) | 3.1–2[10] |
| Competence – Avoid bold or over–confident assurances | | 624(B) | 3.1–2[9] |
| Competence – Competent lawyer – Definition | | 622(T) | 3.1–1 |
| Competence – Consequences of incompetence | | 625(M) | 3.1–2[14] |
| Competence – Declining to act for client | | 624(T) | 3.1–2[6] |
| Competence – Deficiencies | | 625(M) | 3.1–2[15.1] |
| Competence – Degree of proficiency | | 623(B) | 3.1–2[4] |
| Competence – Effective communication | | 624(B) | 3.1–2[8.1] |
| Competence – Ethical and legal principles | | 623(M) | 3.1–2[2] |
| Competence – Failure to meet standards of professional competence | | 625(M) | 3.1–2[15.1] |
| Competence – Incompetence, negligence and mistakes | | 625(M) | 3.1–2[15] |
| Competence – Interference with competence | | 625(T) | 3.1–2[13] |
| Competence – Investigate in sufficient detail | | 624(B) | 3.1–2[8] |
| Competence – Lacking competence | | 624(T) | 3.1–2[6] |
| Competence – Limited scope retainer | | 624(M) | 3.1–2[7A] |
| Competence – Outside interests | 715 | | 7.3–1 |
| Competence – Relevant factors | | 623(B) | 3.1–2[3](a–e) |
| Competence – Relevant knowledge, skills and attributes | | 622(T)-623(M) | 3.1–1(a–k) |
| Competence – Seeking external advice | | 624(M) | 3.1–2[7] |
| Competence – Specify facts, circumstances, and assumptions | | 624(B) | 3.1–2[8] |
| Competence – Standard of legal services | | 623(M) | 3.1–2 |
| Competence – Timely service | | 625(T) | 3.1–2[12] |
| Competence – Undertaking a matter | | 624(T) | 3.1–2[5] |
| Competence, consideration of – Language Rights | | 629(M) | 3.2-2B[3] |
| Competence, not – Mandatory withdrawal | | 672(B) | 3.7–7(c) |
| Competent lawyer – Definition | | 622(T) | 3.1–1 |
| Competent lawyer – Relevant knowledge, skills and attributes | | 622(T)-623(M) | 3.1–1(a–k) |
| Compliance with rules – Complying with the letter and spirit of rules | | 622(B) | 3.1–1(g) |
| Compliance with these Rules – Responsibility in multi–discipline practices | 724 | | 7.8.1–1 |
| Complying with letter and spirit of rules – Competence | | 622(B) | 3.1–1(g) |
| Compromise or settlement – Encouraging – Quality of service | | 630(T) | 3.2–4 |
| Conduct unbecoming a barrister or solicitor – Definition | | 617(T) | 1.1–1 |
| Conduct unbecoming a barrister or solicitor – Discipline | 725 | | 7.8.2–3 |
| Confidence – Loss of, optional withdrawal from representation | | 670(B) | 3.7–2 |
| Confidential information – Confidentiality | | 638(T) | 3.3–1 |
| Confidential information – Disclosure – Authorized by client | | 638(T) | 3.3–1(a) |
| Confidential information – Disclosure – Permitted by Rules 3.3–2 to 3.3–6 | | 638(T) | 3.3–1(d) |
| Confidential information – Disclosure – Required by law | | 638(T) | 3.3–1(b) |
| Confidential information – Disclosure – Required by Law Society | | 638(T) | 3.3–1(c) |
| Confidential information – Lawyer transferring between firms – Disclosure | | 658(M) | 3.4–23(b) |
| Confidentiality | | 638(T) | 3.3 |
| Confidentiality – Disclosure – Authorized by Client | | 638(T) | 3.3–1(a) |
| Confidentiality – Disclosure – Collection of fees | | 641(B) | 3.3–5 |
| Confidentiality – Disclosure – Decline employment to avoid improper disclosure | | 638(B) | 3.3–1[6] |
| Confidentiality – Disclosure – Defend against allegations of professional misconduct | | 641(M) | 3.3–4 |
| Confidentiality – Disclosure – Prevent bodily harm – Psychological harm = bodily harm | | 640(M) | 3.3–3[2] |
| Confidentiality – Disclosure – Prevent imminent risk of death or serious bodily harm | | 640(T) | 3.3–3 |
| Confidentiality – Disclosure – Procedures following disclosure under Rule 3.3–3 | | 640(B) | 3.3–3[5] |
| Confidentiality – Disclosure – Required by law | | 638(T) | 3.3–1(b) |
| Confidentiality – Disclosure – Required by law | | 640(T) | 3.3–1.1 |
| Confidentiality – Disclosure – Sole practitioners | | 639(T) | 3.3–1[7] |
| Confidentiality – Disclosure to another lawyer to secure legal advice | | 641(B) | 3.3–6 |
| Confidentiality – Duty broader than solicitor–client privilege | | 638(M) | 3.3–1[2] |
| Confidentiality – Duty survives lawyer–client relationship | | 638(M) | 3.3–1[3] |
| Confidentiality – Inferring authority from client to disclose | | 639(M) | 3.3–1[9] |
| Confidentiality – Joint retainer – Lending client and borrowing client – Mortgage or loan | | 652(T) | 3.4–16 |
| Confidentiality – Joint retainers | | 647(M) | 3.4–5 |
| Confidentiality – Justified or permitted disclosure | | 640(T)-642(B) | 3.3–1.1 to 3.3–7 |
| Confidentiality – Literary works | | 639(B) | 3.3–1[11.1] |
| Confidentiality – Mediation, lawyer as mediator | | 693(T) | 5.7–1 |
| Confidentiality – Obligation to disclose pursuant to rules 5.5–2, 5.5–3 and 5.6–3 | | 639(B) | 3.3–1[11] |
| Confidentiality – Permitted disclosure – Consent -Disclosure across firms to detect conflict of interest at transfer | | 642(B) | 3.3-7[6] |
| Confidentiality – Permitted disclosure - Disclosure across firms to detect conflict of interest at transfer | | 641(B)-642(B) | 3.3-7[1]-[6] |
| Confidentiality – Permitted disclosure – To detect a conflict of interest from change in employment | | 641(B) | 3.3-7 |
| Confidentiality – Prohibition on benefiting from a client’s confidential information | | 639(B) | 3.3–1[11.1] |
| Conflict of interest – Definition | | 617(M) | 1.1–1 |
| Conflict of interest – Informing client of error or omission | | 684(M) | 5.1–4 |
| Conflicts – Conflicts arising from duties to other person – duty to avoid | | 644(B)-645(T) | 3.4-1[11] |
| Conflicts – Conflicts from transfer between law firms – Duties imposed distinguished from general ethical duty | | 655(B) | 3.4-17[2] |
| Conflicts – Conflicts from transfer between law firms – Law firms with multiple offices | | 656(T) | 3.4-17[3] |
| Conflicts – Conflicts from transfer between law firms – Purpose of the rule | | 655(B) | 3.4-17[1] |
| Conflicts – Consent – Disclosure and Consent | | 646(T) | 3.4-2[1]-[3] |
| Conflicts – Definition | | 643(T) | 3.4-1[1] |
| Conflicts – Definition – Matter | | 655(T) | 3.4-17 |
| Conflicts – Duty to avoid – Current client conflicts | | 644(T) | 3.4-1[6]-[9] |
| Conflicts – Duty to avoid – Lawyer shall not act | | 643(T) | 3.4-1 |
| Conflicts – Duty to avoid - Other issues to consider | | 644(T) | 3.4-1[12]-[14] |
| Conflicts – Duty to avoid – Personal Interest Conflicts | | 643(B) | 3.4-1[4]-[5] |
| Conflicts – Former client conflicts – Duty to avoid | | 644(B) | 3.4-1[10] |
| Conflicts – Substantial risk definition | | LOOK | 3.4–1 |
| Conflicts from transfer between law firms – Application of rule | | 655(M) | 3.4–18 |
| Conflicts from transfer between law firms – Confidential information, definition | | 655(M) | 3.4–18 |
| Conflicts from transfer between law firms – Confidential information – Must | | 656(M) | 3.4–20 |
| Conflicts from transfer between law firms – Conflicts of interest | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts from transfer between law firms – Disqualification, law firm –  SEE: 3.4–21 if reference to AG | | 656(M) | 3.4–20  3.4–21 |
| Conflicts from transfer between law firms – Disqualification, transferring lawyer | | 658(T) | 3.4–21 – 3.4-22 |
| Conflicts from transfer between Law Firms – Due Diligence | | 658(M) | 3.4–23 |
| Conflicts from transfer between Law Firms – Government lawyer SEE commentary | | 656(T) | 3.4–19 |
| Conflicts from transfer between law firms – Law firms with multiple offices | | 656(T) | 3.4-17[3] |
| Conflicts from transfer between law firms – Matter, definition | | 655(T) | 3.4–17 |
| Conflicts from transfer between law firms – Matters to consider | | 657(T) | 3.4–20[3] |
| Conflicts from transfer between law firms – Matters to consider before hiring transferee | | 657(B) | 3.4–20[4]-[6] |
| Conflicts from transfer between law firms – Purpose of the rule | | 655(B) | 3.4-17[1] |
| Conflicts from transfer between law firms – Reasonable measures to ensure non–disclosure of confidential information | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts from transfers between law firms – Due diligence – Conflicts of interest | | 658(M) | 3.4–23 |
| Conflicts of interest – Acceptable mortgage or loan transactions | | 661(B)-662(T) | 3.4–34.1[1] |
| Conflicts of interest – Acting against former clients, prohibition | | 648(M) | 3.4–10 |
| Conflicts of interest – Acting for borrower and lender | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Conflicts of interest – Acting for borrower and lender – Definition of material information | | 651(B) | 3.4–15[1] |
| Conflicts of interest – Acting for borrower and lender – Permitted if | | 651(M) | 3.4–14 |
| Conflicts of interest – Acting for borrower and lender – Prohibition – Mortgage or loan | | 651(M) | 3.4–12 |
| Conflicts of interest – Acting for transferor and transferee in transfers of title | | 654(B)-655(T) | 3.4–16.7 – 3.4–16.9 |
| Conflicts of interest – Advance consent | | 646(M) | 3.4–2[4]-[5] |
| Conflicts of interest – Affiliated entities – Search system for conflicts | | 650(B) | 3.4–11.3 |
| Conflicts of interest – Affiliations between lawyers and affiliated entities | | 650(M) | 3.4–11.1 – 3.4–11.3 |
| Conflicts of interest – Avoidance of conflict of interest | |  | 3.4–1[1–3] |
| Conflicts of interest – Borrowing from clients | | 660(M) | 3.4–31 |
| Conflicts of interest – Bright Line Test | | 644(T) | 3.4–1[7] |
| Conflicts of interest – Certificate of independent legal advice | | 660(B)-661(T) | 3.4–32 – 3.4–33 |
| Conflicts of interest – Client borrowing money | | 661(T) | 3.4–34 |
| Conflicts of interest – Conflicts from transfer between law firms – Interpretation and application of rule | | 655(T)-656(T) | 3.4–17 – 3.4–19 |
| Conflicts of interest – Conflicts from transfer between law firms | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts of interest – Conflicts from transfer between law firms – Matters to consider | | 657(T) | 3.4–20[3] |
| Conflicts of interest – Conflicts from transfer between law firms – Matters to consider before hiring transferee | | 657(B) | 3.4–20[4]-[6] |
| Conflicts of interest – Conflicts from transfer between law firms – Reasonable measures to ensure non–disclosure of confidential info | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts of interest – Consent – Affiliated entities | | 650(B) | 3.4–11.2 |
| Conflicts of interest – Consent from client | | 645(B) | 3.4–2 |
| Conflicts of interest – Consent in advance | | 646(M) | 3.4–2[4]-[5] |
| Conflicts of interest – Definition  Also see: Rule 1.1–1 Definition` | | 643(T)  617(M) | 3.4–1[1]  1.1–1 |
| Conflicts of interest – Disclosure – Affiliated entities | | 650(M) | 3.4–11.1 |
| Conflicts of interest – Disclosure – If act for borrower and lender in mortgage or loan transaction | | 651(B) | 3.4–15 |
| Conflicts of Interest – Disclosure and consent | | 645(B)-646(B) | 3.4–2 |
| Conflicts of interest – Dispute | | 647(T) | 3.4–3 |
| Conflicts of interest – Doing business with a client | | 659(T) | 3.4–28 |
| Conflicts of interest – Doing business with a client – Consent and independent legal advice | | 659(T) | 3.4–28 |
| Conflicts of interest – Duty of loyalty | | 643(M) | 3.4–1[2] |
| Conflicts of interest – Fiduciary duty | | 643(M) | 3.4–1[2] |
| Conflicts of interest – Gifts and testamentary instruments | | 663(T) | 3.4–37 – 3.4–38 |
| Conflicts of interest – Guarantees by a lawyer | | 662(M) | 3.4–35 – 3.4–36 |
| Conflicts of interest – Guarantees by lawyer – Benefit of non–profit or charity | | 662(B) | 3.4–36(b) |
| Conflicts of interest – Implied consent | | 646(B) | 3.4–2[6] |
| Conflicts of interest – Joint retainer – Exception to Rule 3.4–8 (contentious issue) | | 648(M) | 3.4–9 |
| Conflicts of Interest – Joint retainer where continuing relationship with one party | | 648(B) | 3.4–6 |
| Conflicts of interest – Joint retainers | | 647(M) | 3.4–5 |
| Conflicts of interest – Joint retainers – Consent | | 648(M) | 3.4–7 |
| Conflicts of interest – Joint retainers – Contentious issues | | 647(M) | 3.4–8 |
| Conflicts of interest – Joint retainers – Continuing relationship | | 648(M) | 3.4–6 |
| Conflicts of interest – Joint retainers – Independent legal advice | | 647(B) | 3.4–5[1] |
| Conflicts of interest – Joint retainers – Wills for spouses or partners | | 647(B) | 3.4–5[2] |
| Conflicts of interest – Joint retainers vs. separate/competing retainers | | 648(T) | 3.4–5[3.1] |
| Conflicts of interest – Judicial interim release | | 662(M) | 3.4–40 – 3.4–41 |
| Conflicts of interest – Judicial interim release – Family relationship exception | | 663(B) | 3.4–41 |
| Conflicts of interest – Law firm disqualification – Law firm transfers | | 656(M) | 3.4–20 |
| Conflicts of interest – Law firm disqualification – Transferring lawyer possesses information | | 656(M) | 3.4–20 |
| Conflicts of interest – Law firm transfer | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts of interest – Law firm transfer – Government lawyers SEE commentary | | 656(T) | 3.4–19 |
| Conflicts of interest – Law firm transfers – Law firm disqualification where | | 656(M) | 3.4–20 |
| Conflicts of interest – Law firm transfers – No law firm disqualification where | | 656(M) | 3.4–20 |
| Conflicts of interest – Lawyer can guarantee if | | 662(B) | 3.4–36 |
| Conflicts of interest – Lawyer can't act for transferee and transferor | | 654(B) | 3.4–16.7 |
| Conflicts of interest – Lawyers acting for transferor and transferee in transfers of title | | 654(B)-655(T) | 3.4–16.7 – 3.4–16.9 |
| Conflicts of interest – Lawyers cannot hold syndicated mortgages or loans in trust unless | | 661(T)-662(M) | 3.4–34 – 3.4–34.1 [1–2] |
| Conflicts of interest – Lawyers in loan or mortgage transactions | | 661(T)-662(M) | 3.4–34 – 3.4–34.3 |
| Conflicts of interest – Lawyers in loans or mortgages transactions – Disclosure | | 662(M) | 3.4–34.2 |
| Conflicts of interest – Lawyers in loans or mortgages transactions – No advertising | | 662(M) | 3.4–34.3 |
| Conflicts of interest – Lending money to client – Consent | | 661(T) | 3.4–34(c) |
| Conflicts of interest – Lending money to client – Disclosure | | 661(T) | 3.4–34(a) |
| Conflicts of interest – Lending money to client – Independent legal advice | | 661(T) | 3.4–34(b) |
| Conflicts of interest – Loan or mortgage transactions | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Conflicts of interest – May act for borrower and lender if (exception to general prohibition) | | 651(M) | 3.4–14 |
| Conflicts of interest – May borrow from client if | | 660(M) | 3.4–31 |
| Conflicts of interest – Multi–discipline practice | | 652(M) | 3.4–16.1 |
| Conflicts of interest – Non–licensee partners – Multi–discipline practice | | 652(M) | 3.4–16.1 |
| Conflicts of interest – Pro bono client – Definition | | 652(B) | 3.4–16.2 |
| Conflicts of interest – Pro bono client – Short–term limited legal services | | 652(B)-653(M) | 3.4–16.3 – 3.4–16.5 |
| Conflicts of interest – Prohibition on acting for borrower and lender | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Conflicts of interest – Prohibition on borrowing from clients | | 660(M) | 3.4–31 |
| Conflicts of interest – Prohibition on guarantees by a lawyer | | 662(M) | 3.4–35 |
| Conflicts of interest – Prohibition on holding syndicated mortgages or loans in trust | | 661(M) | 3.4–34.1 |
| Conflicts of interest – Public office | 716 | | 7.4–1 |
| Conflicts of interest – Public office – If exists | 716 | | 7.4–1 |
| Conflicts of interest – Represent/advise one side of dispute | |  | 3.4–2 |
| Conflicts of interest – Representing both sides of a dispute | | 647(T) | 3.4–3 |
| Conflicts of interest – Retaining jointly | | 674(M) | 3.4–5 |
| Conflicts of interest – Short–term limited legal services | | 652(B)-654(M) | 3.4–16.2 – 3.4–16.6 |
| Conflicts of interest – Short–term limited legal services – Actual knowledge | | 655(B) | 3.4–16.6[2] |
| Conflicts of interest – Short–term limited legal services – Disclosure | | 653(M) | 3.4–16.6 |
| Conflicts of interest – Short–term limited legal services – Screening measures | | 654(M) | 3.4–16.6[6] |
| Conflicts of interest – Spousal interest in borrowing entity – Independent legal advice | | 661(T) | 3.4–33 |
| Conflicts of interest – Testamentary instruments and gifts | | 663(T) | 3.4–37 – 3.4–38 |
| Conflicts of interest – Transactions with clients | | 659(B) | 3.4–29 |
| Conflicts of interest – Transactions with clients – Consent | | 659(B) | 3.4–29(c) |
| Conflicts of interest – Transactions with clients – Independent legal advice | | 659(B) | 3.4–29(b) |
| Conflicts of interest – Transactions with clients – Payment by share or interest – Independent legal advice | | 660(T) | 3.4–30 |
| Conflicts of interest – Transfer between law firms | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Conflicts of interest – Transfer between law firms – Conflicting matters | | 655(M) | 3.4–18 |
| Conflicts of interest – Transfer between law firms – Former client | | 655(M) | 3.4–18 |
| Conflicts of interest – Transfer between law firms – Relevant information | | 655(M) | 3.4–18 |
| Conflicts of interest – Transfer between law firms – Rules triggered when | | 655(M) | 3.4–18 |
| Conflicts of interest – Transferring lawyer disqualification | | 658(T) | 3.4–21 |
| Conflicts of interest – Transfers between law firms – Due diligence | | 658(M) | 3.4–23 |
| Conflicts of interest – Transfers of title – Acting for both the transferor and transferee | | 654(B)-655(T) | 3.4–16.7 – 3.4–16.9 |
| Conflicts of Interest – Transfers of title – Can represent both parties, if | | 654(B)-655(T) | 3.4–16.9 |
| Conflicts of interest – Transfers of title – Different lawyers at firm can represent | | 654(B) | 3.4–16.8 |
| Conflicts of interests – Transactions with clients – Disclosure | | 659(B) | 3.4–29(a) |
| Consent – Acting against client – Conflicts of interest | | 649(M)-650(T) | 3.4–10 ; 3.4–11 |
| Consent – Affiliated entities – Conflicts of interest | | 650(B) | 3.4–11.2 |
| Consent – Affiliations between lawyers and affiliated entities | | 650(B) | 3.4–11.2 |
| Consent – Audio recording – Responsibility to lawyers and others | 707 | | 7.2–3 |
| Consent – Avoidance of conflict of interest | | 645(B) | 3.4–2 |
| Consent – Definition | | 617(B) | 1.1–1 |
| Consent – Division of fees – Fees and disbursements | | 668(T) | 3.6–5 – 3.6–6 |
| Consent – Doing business with a client – Conflicts of interest | | 659(T) | 3.4–28 |
| Consent – Joint retainer | | 648(M) | 3.4–7 |
| Consent – Lending money to client – Conflicts of interest | | 661(T) | 3.4–34(c) |
| Consent – Literary works | | 639(B) | 3.3–1[11.1] |
| Consent - Permitted disclosure – Disclosure across firms to detect conflict of interest at transfer | | 642(B) | 3.3-7[6] |
| Consent – Referral fees | | 668(T) | 3.6–5 – 3.6–6 |
| Consent – Transactions with clients – Conflicts of interest | | 659(B) | 3.4–29(c) |
| Consent and Disclosure - Conflicts | | 646(T) | 3.4-2[1]-[3] |
| Consent in advance – Conflicts of interest | | 646(M) | 3.4–2[4]-[5] |
| Consent of lawyer to allow communication sufficient - Communications – Represented corporation or organization, prohibition – | 709 | | 7.2-8.1 |
| Consent or disqualified – Where lawyer transferring law firms | | 656(M) | 3.4–20 |
| Consent, implied – Conflicts of interest | | 646(B) | 3.4–2[6] |
| Consequences of incompetence – Competence | | 625(M) | 3.1–2[14] |
| Contingency fees and contingency fee agreements – fees and disbursements | | 667(M) | 3.6–2 |
| Contingent Fees | | 667(M) | 3.6–2 |
| Corporate and commercial – Direct supervision required – Supervision of students, employees and others | | 695(T) | 6.1-1[5.5] |
| Corporation, represented – Communications with | 709-713 | | 7.2–8 – 7.2–9 |
| Counsel – Retired judge appearing as counsel | 721 | | 7.7–1.2 – 7.7–1.4 |
| Court date – Criminal proceedings – Prohibition on withdrawal from representation | | 672(T) | 3.7–5 |
| Court facilities, security of – Lawyer and the administration of justice | | 692(T) | 5.6–3 |
| Courtesy – Agree to reasonable requests – Trial dates, procedural formalities, etc. | 707 | | 7.2–1.1 |
| Courtesy – Duty of prosecutor | | 683(M) | 5.1–3 |
| Courtesy – Good faith – Lawyer as advocate | | 684(B) | 5.1–5 |
| Courtesy – In the course of litigation | | 679(T) | 5.1–1 |
| Courtesy and good faith – Responsibility to other lawyers | 707 | | 7.2–1 |
| Criminal proceedings – Withdrawal from representation | | 671(M)-672(M) | 3.7–4 – 3.7–6 |
| Criticizing tribunals – Respect for the administration of justice | | 691(M) | 5.6–1[3] |
| Cross–examination – Communication with witnesses giving evidence | | 688(M) | 5.4–2(b–c) |
| Current client conflicts – Duty to avoid | | 644(T) | 3.4-1[6]-[9] |
| **D** | | | |
| Deadlines, meeting them – Quality of service | | 626(M) | 3.2–1[6] |
| Dealing with unrepresented persons on client’s behalf | 712-713 | | 7.2–9 |
| Declining to act for client – Competence | | 624(T) | 3.1–2[6] |
| Defence counsel, duty as – Lawyer as advocate | | 680(T) | 5.1–1[9] |
| Deficiencies – Competence | | 625(M) | 3.1–2[15.1] |
| Definition – Affiliated entity | | 616(T) | 1.1–1 |
| Definition – Affiliation | | 616(T) | 1.1–1 |
| Definition – Associate | | 616(M) | 1.1–1 |
| Definition – Avoidance of conflicts of interest | | 643(T) | 3.4–1 |
| Definition – Client | | 616(M) | 1.1–1 |
| Definition – Competent Lawyer | | 622(T) | 3.1–1 |
| Definition – Conduct unbecoming a barrister or solicitor | | 617(T) | 1.1–1 |
| Definition – Conflict of interest | | 617(M) | 1.1–1 |
| Definition – Consent | | 617(B) | 1.1–1 |
| Definition – Independent legal advice | | 617(B)-618(T) | 1.1–1 |
| Definition – Independent legal representation | | 618(M) | 1.1–1 |
| Definition – Interprovincial law firm | | 618(M) | 1.1–1 |
| Definition – Law Society | | 618(B) | 1.1–1 |
| Definition – Lawyer | | 618(B) | 1.1–1 |
| Definition – Legal Practitioner | | 619(T) | 1.1–1 |
| Definition – Lending client | | 651(M) | 3.4–13 |
| Definition – Licensee | | 619(T) | 1.1–1 |
| Definition – Marking legal services | | 677(T) | 4.2–0 |
| Definition – Matter – Conflicts | | 655(T) | 3.4-17 |
| Definition – Matter, where lawyer transferring between law firms | | 655(T) | 3.4–17 |
| Definition – Organization re Rule 7.2–8 | 710 | | 7.2–8.2 |
| Definition – Paralegal | | 619(M) | 1.1–1 |
| Definition – Related persons – Lawyers in loan or mortgage transactions | | 661(T) | 3.4–34 |
| Definition – Related persons, where lawyer doing business with a client | | 661(M) | 3.4–34 |
| Definition – Retired appellate judge | 721 | | 7.7–1.1 |
| Definition – Retired judge in Rule 7.1–1.1 | 721 | | 7.7–1.1 |
| Definition – Sexual harassment | | 698(T) | 6.3–0 |
| Definition – Short term legal service | | 652(B) | 3.4–16.2 |
| Definition – Society – Law Society | |  | 1.1–1 |
| Definition – Substantial risk of conflict of interest | | 617(M) | 1.1–1 |
| Definition – Syndicated mortgage | | 661(M) | 3.4–34 |
| Definition – Syndicated mortgage, where lawyer doing business with a client | | 661(M) | 3.4–34 |
| Definition – Tribunal | | 619(B) | 1.1–1 |
| Degree of proficiency – Competence | | 623(B) | 3.1–2[4] |
| Delegation –  SEE: Supervision | | 694(T) | 6.1–1(b)  6.1–1(b) |
| Dignity – duty to protect dignity of individuals | | 620(M) | 2.1–1[4.1] |
| Diminished capacity, client with – Quality of service | | 634(B) | 3.2–9 |
| Direct supervision required – Corporate and commercial – Supervision of students, employees and others | | 695(T) | 6.1-1[5.5] |
| Direct supervision required – Real estate – Supervision of students, employees and others | | 694(B) | 6.1-1[5.3] – [5.4] |
| Direct supervision required – Supervision of students, employees and others – Wills, trusts and estates | | 695(T) | 6.1-1[5.6] |
| Disability – Relationship with client under a disability | | 634(B) | 3.2–9 |
| Disbarred Persons – Working with | 719-720 | | 7.6–1.2 |
| Disbursements and fees | | 666(T)-669(B) | 3.6–1 – 3.6–11 |
| Discharged by client – Mandatory withdrawal | | 672(M) | 3.7–7(a) |
| Discipline – Authority | 725 | | 7.8.2–1 |
| Discipline – Conduct unbecoming a lawyer | 725 | | 7.8.2–3 |
| Discipline – Disciplinary authority | 725 | | 7.8.2–1 |
| Discipline – Professional misconduct | 725 | | 7.8.2–2 |
| Disclose interest – Interviewing witnesses | | 687(T) | 5.3–1 |
| Disclosure – Affiliated entities – Conflicts of interest | | 650(M) | 3.4–11.1 |
| Disclosure – Authorized by client – Confidentiality | | 638(T) | 3.3–1(a) |
| Disclosure – Collection of fees | | 641(B) | 3.3–5 |
| Disclosure – Confidentiality – Procedures following disclosure under Rule 3.3–3 | | 640(B) | 3.3–3[5] |
| Disclosure – Confidentiality – Sole practitioners | | 639(T) | 3.3–1[7] |
| Disclosure – Decline employment to avoid improper disclosure – Confidentiality | | 638(B) | 3.3–1[6] |
| Disclosure – Defend against allegations of professional misconduct | | 641(M) | 3.3–4 |
| Disclosure – Discovery obligations – Lawyer as advocate | | 684(T) | 5.1–3.1 |
| Disclosure – Error or omission – Lawyer as advocate | | 684(M) | 5.1–4 |
| Disclosure – Improper conduct of juror | | 690(M) | 5.5–3 |
| Disclosure – Inferring authority from client – Confidentiality | | 639(M) | 3.3–1[9] |
| Disclosure – Juror interests | | 690(M) | 5.5–2 |
| Disclosure – Justified or permitted – Confidentiality | | 640(T)-643(B) | 3.3–1.1 to 3.3–7 |
| Disclosure – Lawyer transferring between firms | | 658(M) | 3.4–23(b) |
| Disclosure – Lawyers in loans or mortgages transactions – Conflicts of interest | | 662(M) | 3.4–34.2 |
| Disclosure – Lending money to client – Conflicts of interest | | 661(T) | 3.4–34(a) |
| Disclosure – Literary works – Confidentiality | | 639(B) | 3.3–1[11.1] |
| Disclosure – Obligation to disclose pursuant to rules 5.5–2, 5.5–3 and 5.6–3 – Confidentiality | | 639(B) | 3.3–1[11] |
| Disclosure – Otherwise permitted by Rules 3.3–2 to 3.3–6 – Confidentiality | | 638(T) | 3.3–1(d) |
| Disclosure – Prevent imminent risk of death or serious bodily harm | | 640(T) | 3.3–3 |
| Disclosure – Prohibited where – Confidentiality | | 638(B) | 3.3–1[5](a–b) |
| Disclosure – Required by law – Confidentiality | | 638(T) | 3.3–1(b) |
| Disclosure – Required by law – Confidentiality | | 640(T) | 3.3–1.1 |
| Disclosure – Required by Law Society – Confidentiality | | 638(T) | 3.3–1(c) |
| Disclosure – Required if act for borrower & lender in mortgage or loan transaction | | 651(B) | 3.4–15 |
| Disclosure – Security of court facilities | | 692(T) | 5.6–3 |
| Disclosure – Short–term limited legal services – Conflicts of interest | | 653(M) | 3.4–16.6 |
| Disclosure – To another lawyer to secure legal advice – Confidentiality | | 641(B) | 3.3–6 |
| Disclosure – Transactions with clients – Conflicts of interest | | 659(B) | 3.4–29(a) |
| Disclosure and Consent – Conflicts | | 646(T) | 3.4-2[1]-[3] |
| Disclosure and consent – Conflicts of interest | | 645(B)-646(B) | 3.4–2 |
| Disclosure of error or omission – Lawyer as advocate | | 684(M) | 5.1–4 |
| Disclosure of information – Relations with jurors | | 690(M) | 5.5–2 – 5.5–3 |
| Disclosure of interest – Seeking legislative or administrative change – Lawyer and the administration of justice | | 691(B) | 5.6–2 |
| Disclosure, permitted – Consent- Disclosure across firms to detect conflict of interest at transfer | | 642(B) | 3.3-7[6] |
| Disclosure, permitted – Disclosure across firms to detect conflict of interest at transfer | | 641(B)-642(B) | 3.3-7[1]-[6] |
| Disclosure, permitted – To detect a conflict of interest from a change in employment | | 641(B) | 3.3-7 |
| Discourage useless legal proceedings – Quality of service | | 630(T) | 3.2–4 |
| Discovery obligations | | 684(T) | 5.1–3.1 |
| Discovery obligations – Assist client in full disclosure | | 684(M) | 5.1–3.1(b) |
| Discovery obligations – Explain the need and duty to client | | 684(T) | 5.1–3.1(a) |
| Discovery obligations – Lawyer as advocate | | 684(T) | 5.1–3.1 |
| Discovery obligations – No frivolous requests or demands | | 684(M) | 5.1–3.1(c) |
| Discovery obligations – Prohibition on frivolous requests – Lawyer as advocate | | 684(M) | 5.1–3.1(c) |
| Discrimination – Employment practices | 701-703 | | 6.3.1–3 |
| Discrimination – Relationship to students, employees and others | 700-703 | | 6.3.1–1 – 6.3.1–3 |
| Discrimination – Services | 701 | | 6.3.1–2 |
| Discrimination – Special responsibility of lawyer | 700 | | 6.3.1–1 |
| Dishonest conduct – Encouraging client to report | 705-706 | | 7.1–4 – 7.1–4.3 |
| Dishonesty, fraud etc. by client or others – Quality of service | | 631(M) | 3.2–7 |
| Dishonesty, fraud, etc. when client an organization – Quality of service | | 633(M) | 3.2–8 |
| Dishonourable or questionable conduct – Integrity | | 620(M) | 2.1–1[3] |
| Diskette – Electronic registration of title documents – Relationship to students, etc. | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Dispute – Conflicts of interest | | 647(T) | 3.4–3 |
| Diversity – duty to protect diversity of community | | 620(M) | 2.1–1[4.1] |
| Division of fees and referral fees – Fees and disbursements | | 668(T) | 3.6–5 – 3.6–7 |
| Doing business with a client – Conflicts of interest | | 659(T) | 3.4–28 |
| Doing business with a client – Examples of – Conflicts of interest | | 659(M) | 3.4–28[1] |
| Doing business with a client – No advertising for investment where lawyer has interest | | 662(M) | 3.4–34.3 |
| Doing business with a client – Payment by share or interest – Independent advice | | 660(T) | 3.4–30 |
| Due diligence – Transfers between law firms – Conflicts of interest | | 658(M) | 3.4–23 |
| Duties – Articling student | | 697(M) | 6.2–3 |
| Duties – Principal | | 697(T) | 6.2–2 |
| Duties imposed distinguished from general ethical duty – Conflicts from transfer between law firms | | 655(B) | 3.4-17[2] |
| Duties of principal – Relationship to students | | 697(T) | 6.2–2 |
| Duties of principal – Supervision of students, employees and others | | 694(T) | 6.1–1 |
| Duties to other person, conflicts arising from – Duty to avoid | | 644(B)-645(T) | 3.4-1[11] |
| Duty – Fulfill undertakings and trust conditions | 713-714 | | 7.2–11 |
| Duty – Lawyer as advocate – Courtesy | | 679(T) | 5.1–1 |
| Duty – Lawyer, encourage client to report misconduct | 705 | | 7.1–4 – 7.1–4.3 |
| Duty – Lawyer, report misconduct | 704-705 | | 7.1–3 |
| Duty – Lawyer, report offences | 706 | | 7.1–4.4 |
| Duty as defense counsel – Lawyer as advocate | | 680(T) | 5.1–1[9] |
| Duty as prosecutor – Lawyer as advocate | | 683(M) | 5.1–3 |
| Duty of loyalty – Conflicts of interest | | 643(M) | 3.4–1[2] |
| Duty of loyalty – Incriminating physical evidence | | 683(T) | 5.1-2A[4] |
| Duty of successor licensee | | 674(T) | 3.7–10 |
| Duty of successor licensee – Withdrawal from representation | | 674(T) | 3.7–10 |
| Duty to avoid – Conflicts – Lawyer shall not act | | 643(T) | 3.4-1 |
| Duty to avoid – Conflicts arising from duty to other person | | 644(B)-645(T) | 3.4-1[11] |
| Duty to avoid – Current client conflicts | | 644(T) | 3.4-1[6]-[9] |
| Duty to avoid – Former client conflicts | | 644(B) | 3.4-1[10] |
| Duty to avoid – Other issues to consider | | 644(T) | 3.4-1[12]-[14] |
| Duty to avoid – Personal interest conflicts | | 643(B) | 3.4-1[4]-[5] |
| Duty to avoid conflicts of interest – Definition | | 643(T) | 3.4–1 |
| Duty to notify sender - Inadvertent Communications | 713 | | 7.2-10 |
| Duty to provide courteous, thorough and prompt service – Quality of service | | 626(T) | 3.2–1 |
| Duty to report certain offences – By–law 8 | 706 | | 7.1–4.4 |
| Duty to report misconduct – Relationship to Law Society and other lawyers | 704-705 | | 7.1–3 |
| Duty to supervise – Students, employees and others | | 694(T) | 6.1–1(b) |
| **E** | | | |
| Effective communication – Competence | | 624(B) | 3.1–2[8.1] |
| Efficient and convenient manner – Making legal services available to the public – Practice of law | | 675(T) | 4.1–1 |
| Electronic registration of title documents – Relationship to students, employees and others | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Employees, students, and others – Relationship to | | 694(T)-695(T) | 6.1–1 |
| Employment practices – Discrimination | 701-703 | | 6.3.1–3 |
| Encouraging client to report dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| Encouraging compromise or settlement – criminal, quasi–criminal and regulatory proceedings | | 630(T) | 3.2–4[1.1] |
| Encouraging compromise or settlement – Quality of service | | 630(T) | 3.2–4 |
| Encouraging compromise or settlement – Unrepresented complainant | | 630(M) | 3.2–4[1.2] |
| Encouraging respect for administration of justice | | 691(T) | 5.6–1 |
| Encouraging respect for administration of justice – Lawyer and the administration of justice | | 691(T) | 5.6–1 |
| Enhance profession through activities – Standards and reputation of legal profession | | 620(T) | 2.1–2[1] |
| Equality of result – Discrimination – Differentiation, regard for personal characteristics | 700-703 | | 6.3.1–1 – 6.3.1–3 |
| E–Reg Documents | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| E–Reg documents, signing – Relationship to students, employees and others | | 696(B) | 6.1–6.2 |
| Error or omission – Notify insurer | 722 | | 7.8–1 |
| Error or omission – Stop acting for client | 722 | | 7.8–1(c) |
| Error or Omission, Disclosure of – Advocacy | | 684(M) | 5.1–4 |
| Error or omission, disclosure of – Co–operation with insurer | 723 | | 7.8–3 |
| Error or omission, disclosure of – Informing client of error or omission | 722 | | 7.8–1(a) |
| Error or omission, disclosure of – Lawyer as advocate | | 684(M) | 5.1–4 |
| Error or omission, disclosure of – Notice of claim | 722-723 | | 7.8–2 |
| Error or omission, disclosure of – Responding to client’s claim | 723 | | 7.8–4 – 7.8.5 |
| Errors and omissions – Relationship to the Law Society and other lawyers | 722-723 | | 7.8–1 – 7.8–5 |
| Estates, Wills and Trusts – Supervision of students, employees and others - Direct supervision required | | 695(T) | 6.1-1[5.6] |
| Ethical and legal principles – Competence | | 623(M) | 3.1–2[2] |
| Evidence, communications with witnesses giving | | 688(T) | 5.4–2 |
| Evidence, submission of – Lawyer as witness | | 686(T) | 5.2–1 |
| Examination–in–chief – Communication with witnesses giving evidence | | 688(T) | 5.4–2(a) |
| Exception – Communication with represented person – Limited scope retainer | 708 | | 7.2–6A |
| Exception for multi–discipline practices and interprovincial and international law firms – fees and disbursements | | 668(B)-669(M) | 3.6–8 |
| Exception to prohibition against threatening regulatory action – Quality of service | | 630(B) | 3.2–5.1 |
| Exception to Rule 3.2–5(b) – Quality of Service | | 630(B) | 3.2–5.1 |
| Exception to Rule 3.2–7 for *bona fide* test cases – Quality of service | | 632(M) | 3.2–7.3[4] |
| Exception to Rule 3.4–8 (contentious issue) – Joint retainer – Conflicts of interest | | 648(M) | 3.4–9 |
| Extra–professional or private activities – Integrity | | 620(M) | 2.1–1[4] |
| **F** | | | |
| Facilitating dishonesty, fraud, crime or illegal conduct – Quality of service | | 631(M) | 3.2–7.1 |
| Failure to meet professional competence | | 624(T) | 3.1–2[6] |
| Failure to meet standards of professional competence – Competence | | 625(M) | 3.1–2[15.1] |
| Fair and reasonable fees and disbursements | | 666(T) | 3.6–1 – 3.6–1.1 |
| Fairness – Lawyer as advocate | | 679(T) | 5.1–1 |
| Fees – Advertising of fees | | 677(B) | 4.2–2 |
| Fees – Appropriation of funds | | 669(M) | 3.6–10 – 3.6–11 |
| Fees – Contingent fees | | 667(M) | 3.6–2 |
| Fees – Disbursements | | 666(T)-669(B) | 3.6–1 – 3.6–11 |
| Fees – Interest | | 666(T) | 3.6–1.1 |
| Fees – Interpretation of division of lawyer fees | | 668(T) | 3.6–5 – 3.6–7 |
| Fees – Joint retainer | | 668(T) | 3.6–4 |
| Fees – Marketing of fees | | 677(B) | 4.2–2 |
| Fees – Reasonableness and disclosure | | 666(T) | 3.6–1 – 3.6–1.1 |
| Fees – Referral fees | | 668(T) | 3.6–5 – 3.6–7 |
| Fees – Refund | | 669(B) | 3.6–11 |
| Fees – Repayment to client | | 669(B) | 3.6–11 |
| Fees – Restriction on division and referral | | 668(M) | 3.6–7 |
| Fees – Statement of account | | 667(B) | 3.6–3 |
| **Fees and disbursements** | | 666(T)-669(B) | 3.6–1 – 3.6–11 |
| Fees and disbursements– Appropriation of funds | | 669(M) | 3.6–10 – 3.6–11 |
| Fees and disbursements – Contingency fees and contingency fee agreements | | 667(M) | 3.6–2 |
| Fees and disbursements – Division of fees and referral fees | | 668(T) | 3.6–5 – 3.6–7 |
| Fees and disbursements – Exception for multi–discipline practices and interprovincial and international law firms | | 668(B)-669(M) | 3.6–8 |
| Fees and disbursements – Joint retainer | | 668(T) | 3.6–4 |
| Fees and disbursements – Payment and Appropriation of funds | | 669(M) | 3.6–10 – 3.6–11 |
| Fees and disbursements – Statement of account | | 667(B) | 3.6–3 |
| Fees and disbursements, reasonable | | 666(T) | 3.6–1 – 3.6–1.1 |
| Fees, non–payment – Withdrawal from representation | | 671(T) | 3.7–3 |
| Fiduciary duty – Conflicts of interest | | 643(M) | 3.4–1[2] |
| Financial obligations – Lawyer | 704 | | 7.1–2 |
| Financial obligations, meeting – Relationship to Law Society and lawyers | 704 | | 7.1–2 |
| Former client conflicts – Duty to avoid | | 644(B) | 3.4-1[10] |
| Fraudulent real estate transactions, identifying – Quality of service | | 632(B)-633(T) | 3.2–7.3[4.1] |
| Fulfill undertakings – Lawyer as advocate | | 685(T) | 5.1–6 |
| Fulfill undertakings – Responsibility to lawyers and others | 713-714 | | 7.2–11 |
| Fundamental quality – Integrity | | 620(T) | 2.1–1[1] |
| **G** | | | |
| Gifts and testamentary instruments – Conflicts of interest | | 663(T) | 3.4–37 – 3.4–38 |
| Good faith – Courtesy – Lawyer as advocate | | 684(B) | 5.1–5 |
| Good faith – Duties of articling student | | 697(M) | 6.2–3 |
| Good faith and courtesy – Responsibility to other lawyers | 707 | | 7.2–1 |
| Guarantees by a lawyer – Conflicts of interest | | 662(M) | 3.4–35 – 3.4–36 |
| Guarantees by lawyers allowed if – Conflicts of interest | | 662(B) | 3.4–36 |
| Guide to the Rules of Professional Conduct | | 614 | – |
| Guilty plea – Agreement on | | 685(M) | 5.1–7 – 5.1–8 |
| Guilty Plea – discussion with prosecutor | | 685(M) | 5.1–7 |
| Guilty plea – Requirements – Lawyer as advocate | | 685(M) | 5.1–8 |
| Guilty plea – When can make agreement | | 685(M) | 5.1–7 – 5.1–8 |
| Guilty plea, agreement on – Lawyer as advocate | | 685(M) | 5.1–7 – 5.1–8 |
| **H** | | | |
| **Harassment** – Sexual – Supervision of students, employees and others | | 698(T) | 6.3–0 |
| Honesty and candour – Quality of service | | 628(T) | 3.2–2 |
| Honesty and candour – Quality of service – Arises from fiduciary obligations | | 628(M) | 3.2–2[1.1] |
| Honesty and candour – Quality of service – When to withhold information | | 628(M) | 3.2–2[1.2] |
| Honour – Lawyer as advocate | | 679(T) | 5.1–1 |
| Honour and integrity – Standards of the legal profession | | 620(T) | 2.1–1 |
| Honour undertakings – Responsibility to lawyers and others | 713-714 | | 7.2–11 |
| Human right laws – duty to respect | | 620(M) | 2.1–1[4.1] |
| **I** | | | |
| Identifying “red flags” – Quality of service | | 632(M) | 3.2–7.3[3.1] |
| Identifying client’s property – Preservation of client’s property | | 664(B) | 3.5–4 – 3.5–5 |
| Identifying client's interest – Relationship with clients | | 664(B) | 3.5–4 – 3.5–5 |
| Identifying fraudulent real estate transactions – Quality of service | | 632(B)-633(T) | 3.2–7.3[4.1] |
| Implied consent – Conflicts of Interest | | 646(B) | 3.4–2[6] |
| Improper conduct of juror – Disclosure | | 690(M) | 5.5–3 |
| **Inadvertent Communications** – Duty to notify sender | 713 | | 7.2-10 |
| Inadvertent communications – Prompt notification | 713 | | 7.2–10 |
| Inadvertent communications – Responsibility to lawyers and others | 713 | | 7.2–10 |
| Incompetence, negligence and mistakes – Competence | | 625(M) | 3.1–2[15] |
| Incriminating physical evidence – Balance the duty of loyalty | | 683(T) | 5.1-2A[4] |
| Incriminating physical evidence – Does not depend on admissibility | | 682(M) | 5.1-2A[1] |
| Incriminating physical evidence – Independent legal counsel | | 682(M) | 5.1-2A[3](a) |
| Incriminating physical evidence – Non-destructive testing | | 683(M) | 5.1-2A[5] |
| Incriminating physical evidence – Not required to take or keep possession | | 682(M) | 5.1-2A[3] |
| Incriminating physical evidence – Options to consider | | 682(M) | 5.1-2A[3] |
| Incriminating physical evidence – Rule does not apply | | 682(M) | 5.1-2A[2] |
| Incriminating physical evidence – Shall not destroy | | 682(T) | 5.1-2A |
| Independence – Outside interests | 715 | | 7.3–1 |
| Independent legal advice – Certificate of – Borrowing from a client | | 660(B)-661(T) | 3.4–32 – 3.4–33 |
| Independent legal advice – Definition | | 617(B)-618(T) | 1.1–1 |
| Independent legal advice – Doing business with a client – Conflicts of interest | | 659(T) | 3.4–28 |
| Independent legal advice – Joint retainers – Continuing relationship | | 648(M) | 3.4–6 |
| Independent legal advice – Lending money to client – Conflicts of interest | | 661(T) | 3.4–34(b) |
| Independent legal advice – Transactions with clients – Conflicts of interest | | 659(B) | 3.4–29(b) |
| Independent legal advice, recommend – Error or omission | 722 | | 7.8–1(b) |
| Independent legal counsel – Incriminating physical evidence – Lawyer as advocate | | 682(M) | 5.1-2A[3](a) |
| Independent legal representation – Definition | | 618(M) | 1.1–1 |
| Independent legal representation – Investment by client | | 660(B)-661(T) | 3.4–32 – 3.4–33 |
| Inferring authority to disclose – Confidentiality | | 639(M) | 3.3–1[9] |
| Information, Confidential – Confidentiality | | 638(T) | 3.3–1 |
| Insurance – Title insurance in real estate conveyancing | | 636(M) | 3.2–9.4 |
| Insurance, title – Supervision of non–lawyer | | 696(M) | 6.1–6.1 |
| Insurer – Cooperation with insurer | 723 | | 7.8–3 |
| Insurer – Informing client of error or omission | 722-723 | | 7.8–2 |
| Insurer – Notice of claim | 722-723 | | 7.8–2 |
| Insurer – Responding to client’s claim – Errors or omissions | 723 | | 7.8–4 – 7.8.5 |
| Integrity | | 620(T) | 2.1 |
| Integrity – Dishonourable or questionable conduct | | 620(M) | 2.1–1[3] |
| Integrity – Duty to uphold reputation and standards of legal profession | | 620(B) | 2.1–2 |
| Integrity – Extra–professional or private activities | | 620(M) | 2.1–1[4] |
| Integrity – Fundamental quality | | 620(T) | 2.1–1[1] |
| Integrity – Making legal services available | | 675(T) | 4.1–1 |
| Integrity – Outside interests | 715 | | 7.3–1 – 7.3–2 |
| Integrity – Private or extra–professional activities | | 620(M) | 2.1–1[4] |
| Integrity – Public confidence | | 620(M) | 2.1–1[2] |
| Integrity – Reputation and standards – Duty to uphold reputation and standards | | 620(B) | 2.1–2 |
| Integrity – Special responsibilities | | 620(M) | 2.1–1[4.1] |
| Integrity – Standards and reputation of legal profession – Enhance profession through activities | | 620(T) | 2.1–2[1] |
| Integrity and honour – Standards of the Legal profession | | 620(T) | 2.1–1 |
| Interest – Fees and Disbursements | | 666(T) | 3.6–1.1 |
| Interference with competence – Competence | | 625(T) | 3.1–2[13] |
| Interference with Right to Fair Trial or Hearing – Public appearances and statements | 718 | | 7.5–2 |
| Interim release, judicial – Conflicts of interest | | 663(M) | 3.4–40 – 3.4–41 |
| International law firms – Fees and disbursements – Exception | | 668(B)-669(M) | 3.6–8 |
| Interpretation and citation – Definitions | | 616-619 | 1.1 |
| Interprovincial law firm – Definition | | 618(M) | 1.1–1 |
| Interprovincial law firms – Fees and disbursements – Exception | | 668(B)-669(M) | 3.6–8 |
| Interviewing witnesses | | 687(T) | 5.3–1 |
| Interviewing witnesses – Disclose interest | | 687(T) | 5.3–1 |
| Investigate in sufficient detail – Competence | | 624(B) | 3.1–2[8] |
| Investment by client where lawyer has interest – Independent legal advice | | 660(B)-661(T) | 3.4–32 – 3.4–33 |
| **J** | | | |
| Joint retainer – Confidentiality | | 674(M) | 3.4–5 |
| Joint Retainer – Continuing relationship | | 648(M) | 3.4–6 |
| Joint retainer – Exception to Rule 3.4–8 (contentious issue) – Conflict of interest | | 648(M) | 3.4–9 |
| Joint retainer – Fees and disbursements | | 668(T) | 3.6–4 |
| Joint retainer – Lending client and borrowing client – Mortgage or loan | | 652(T) | 3.4–16 |
| Joint retainer – Shall advise clients | | 674(M) | 3.4–5 |
| Joint retainers – Conflicts of interest | | 674(M) | 3.4–5 |
| Joint retainers – Consent – Conflicts of interest | | 648(M) | 3.4–7 |
| Joint retainers – Contentious issues – Conflicts of interest | | 648(B) | 3.4–8 |
| Joint retainers – Continuing relationship – Conflicts of interest | | 648(M) | 3.4–6 |
| Joint retainers – Independent legal advice – Conflicts of interest | | 674(M) | 3.4–5[1] |
| Joint retainers – Obtaining consent – Conflicts of interest | | 648(M) | 3.4–7 |
| Joint retainers – Wills for spouses or partners – Conflicts of interest | | 647(B) | 3.4–5[2] |
| Joint retainers vs. separate/competing retainers – Conflicts of interest | | 648(T) | 3.4–5[3.1] |
| Judge, retired – Appearance as counsel | 721 | | 7.7–1.2 – 7.7–1.4 |
| Judgment – Outside interests | 715 | | 7.3–1 – 7.3–2 |
| Judicial interim release – Conflicts of interest | | 663(M) | 3.4–40 – 3.4–41 |
| Judicial interim release – Family relationship exception – Conflicts of interest | | 663(B) | 3.4–41 |
| Jurors – Disclosure of connection with judge | | 690(M) | 5.5–2 |
| Jurors – Disclosure of connection with witness | | 690(M) | 5.5–2 |
| Jurors – Disclosure of juror’s interest in the case | | 690(M) | 5.5–2 |
| Jurors – Prohibition on communications before trial | | 690(T) | 5.5–1 |
| Jurors – Prohibition on communications during trial | | 690(B) | 5.5–4 |
| Jurors, relations with – Communication during trial | | 690(B) | 5.5–4 |
| Jurors, relations with – Communications before trial | | 690(T) | 5.5–1 |
| Jurors, relations with – Disclosure of information | | 690(M) | 5.5–2 – 5.5–3 |
| Jurors, relations with – Relationship to the administration of justice | | 690(T) | 5.5–1 – 5.5–4 |
| Justified disclosure – Consent - Disclosure across firms to detect conflict of interest at transfer | | 642(B) | 3.3-7[6] |
| Justified disclosure – Disclosure across firms to detect conflict of interest at transfer | | 641(B)-642(B) | 3.3-7[1]-[6] |
| Justified disclosure – To detect a conflict of interest from a change in employment | | 641(B) | 3.3-7 |
| Justified or permitted disclosure – Confidentiality | | 640(T)-643(B) | 3.3–1.1 to 3.3–7 |
| **K** | | | |
| **L** | | | |
| Lacking competence – Competence | | 624(T) | 3.1–2[6] |
| Language Rights - Consideration of competence | | 629(M) | 3.2-2B[3] |
| Language rights – Advise as soon as possible | | 629(T) | 3.2-2B[1] |
| Language Rights – Not choice of lawyer | | 629(T) | 3.2-2B[2] |
| Language Rights – Quality of Service | | 628(B)-629(T) | 3.2-2A – 3.2-2B |
| Law firm – Definition | | 618(B) | 1.1–1 |
| Law firm disqualification – Law firm transfers – Conflicts of interest | | 656(M) | 3.4–20 |
| Law firm transfer – Conflicts of interest | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Law firm transfer – Transferring lawyer disqualification – Conflicts of interest | | 658(T) | 3.4–21 |
| Law firms with multiple offices – Conflicts from transfer between law firms | | 656(T) | 3.4-17[3] |
| Law Society – Definition | | 618(B) | 1.1–1 |
| Law Society – Disciplinary authority | 725 | | 7.8.2–1 |
| Law Society – Encouraging client to report dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| **Law Society – Relationship to Law Society and other lawyers** | 704-725 | | 7.1–1 – 7.8.2–3 |
| Law Society, communications from – Relationship to Law Society | 704 | | 7.1–1 |
| LawPRO – Title insurance | | 636(B) | 3.2–9.7 |
| LawPRO and TitlePLUS, disclose relationship – Quality of service | | 636(B) | 3.2–9.7 |
| Lawyer – Abusive communications, prohibition | 708 | | 7.2–4 |
| Lawyer – Acting for transferor and transferee in transfer of title  SEE: Conflicts of interest – Lawyer can't act for transferee & transferor | | 654(B)-655(T) | 3.4–16.7 – 3.4–16.9  3.4–16.7 |
| Lawyer – As witness – Submission of testimony | | 686(T) | 5.2–1 – 5.2–2 |
| Lawyer – Communications from the Society | 704 | | 7.1–1 |
| Lawyer – Conduct unbecoming a lawyer | 725 | | 7.8.2–3 |
| Lawyer – Definition | | 618(B) | 1.1–1 |
| Lawyer – Duty to report certain offences – Relationship to Law Society | 706 | | 7.1–4.4 |
| Lawyer – Duty to report misconduct | 704-705 | | 7.1–3 |
| Lawyer – Encouraging client to report dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| Lawyer – Error or omission, informing client of  SEE: error or omission | 722 | | 7.8–1(a) |
| Lawyer – Financial obligations, meeting | 704 | | 7.1–2 |
| Lawyer – Misconduct, duty to report | 704-705 | | 7.1–3 |
| Lawyer – Multi–discipline practices, compliance with Rules | 724 | | 7.8.1–1 |
| Lawyer – Outside interests | 715 | | 7.3–1 – 7.3–2 |
| Lawyer – **Preventing Unauthorized Practice** | 719 | | 7.6–1 |
| Lawyer – Publicappearances | 717-718 | | 7.5–1 – 7.5–2 |
| Lawyer – Public statements | 717-718 | | 7.5–1 – 7.5–2 |
| Lawyer – Recording conversation, prohibition | 707 | | 7.2–3 |
| Lawyer – shall not, as advocate | | 680(M)-681(M) | 5.1–2(a–p) |
| Lawyer – Sharp practice, avoiding | 707 | | 7.2–2 |
| Lawyer – Supervision, duty of  SEE: Supervision of students,  employees and others | | 694(T)  694(T) | 6.1–1(b)  6.1–1(b) |
| Lawyer and the administration of justice – Criticizing tribunals | | 691(M) | 5.6–1[3] |
| Lawyer and the administration of justice – Encouraging respect for administration of justice | | 691(T) | 5.6–1 |
| Lawyer and the administration of justice – Security of court facilities | | 692(T) | 5.6–3 |
| Lawyer and the administration of justice – Seeking legislative or administrative change | | 691(B) | 5.6–2 |
| Lawyer as advocate – Agreement on guilty plea | | 685(M) | 5.1–7 – 5.1–8 |
| Lawyer as advocate – Candour, honour, fairness | | 679(T) | 5.1–1 |
| Lawyer as advocate – Courtesy | | 684(B) | 5.1–5 |
| Lawyer as advocate – Disclosure of error or omission | | 684(M) | 5.1–4 |
| Lawyer as advocate – Discovery obligations | | 684(T) | 5.1–3.1 |
| Lawyer as advocate – Discussing case with opposing counsel | | 685(M) | 5.1–7 – 5.1–8 |
| Lawyer as advocate – Duty as defence counsel | | 680(T) | 5.1–1[9] |
| Lawyer as advocate – Duty as prosecutor | | 683(M) | 5.1–3 |
| Lawyer as advocate – Good faith – Courtesy | | 684(B) | 5.1–5 |
| Lawyer as advocate – Incriminating physical evidence – Balance the duty of loyalty | | 683(T) | 5.1-2A[4] |
| Lawyer as advocate – Incriminating physical evidence – Does not depend on admissibility | | 682(M) | 5.1-2A[1] |
| Lawyer as advocate – Incriminating physical evidence – Independent legal counsel | | 682(M) | 5.1-2A[3](a) |
| Lawyer as advocate – Incriminating physical evidence – Non-destructive testing | | 683(M) | 5.1-2A[5] |
| Lawyer as advocate – Incriminating physical evidence – Not required to take or keep possession | | 682(M) | 5.1-2A[3] |
| Lawyer as advocate – Incriminating physical evidence – options to consider | | 682(M) | 5.1-2A[3] |
| Lawyer as advocate – Incriminating physical evidence – rule does not apply | | 682(M) | 5.1-2A[2] |
| Lawyer as advocate – Incriminating physical evidence – shall not destroy | | 682(T) | 5.1-2A |
| Lawyer as advocate – Lawyer shall not | | 680(M) | 5.1–2 |
| Lawyer as advocate – Limits | | 680(M) | 5.1–2 |
| Lawyer as advocate – Making a deal (guilty plea) | | 685(M) | 5.1–7 – 5.1–8 |
| Lawyer as advocate – Relationship to the administration of justice | | 679(T)-685(B) | 5.1–1 – 5.1–8 |
| Lawyer as advocate – Requirements for entering a guilty plea | | 685(M) | 5.1–8 |
| Lawyer as advocate – Restrictions | | 680(M) | 5.1–2 |
| Lawyer as advocate – Undertakings | | 685(T) | 5.1–6 |
| Lawyer as advocate – When acting as advocate | | 680(M) | 5.1–2 |
| Lawyer as witness | | 686(T) | 5.2–1 – 5.2–2 |
| Lawyer as witness – Acting as lawyer on appeal if first witness | | 686(M) | 5.2–2 |
| Lawyer as witness – Appeals | | 686(M) | 5.2–2 |
| Lawyer as witness – Shall not provide own evidence unless | | 686(T) | 5.2–1 |
| Lawyer as witness – Submission of evidence | | 686(T) | 5.2–1 |
| Lawyer can guarantee if – Conflicts of interest | | 662(B) | 3.4–36 |
| Lawyer not competent – Mandatory withdrawal | | 672(B) | 3.7–7(c) |
| Lawyer shall not – incriminating physical evidence – lawyer as advocate | | 682(T) | 5.1-2A |
| Lawyer shall not – Lawyer as advocate | | 680(M) | 5.1–2 |
| Lawyer shall not act – Duty to avoid – Conflicts | | 643(T) | 3.4-1 |
| Lawyer, special responsibility of – Discrimination | 700 | | 6.3.1–1 |
| Lawyer’s Professional Indemnity Company | | 636(B) | 3.2–9.7 |
| Lawyer’s Professional Indemnity Company – Informing client of error or omission | 722 | | 7.8–1(a) |
| Lawyer’s professional indemnity company – Notice of claim | 722-723 | | 7.8–2 |
| Lawyer’s professional indemnity company – Responding to client’s claim | 723 | | 7.8–4 – 7.8.5 |
| Lawyer’s Professional Indemnity Company – TitlePLUS Insurance | | 636(B) | 3.2–9.7 |
| Lawyers as mediators – No solicitor–client privilege | | 693(T) | 5.7–1(b) |
| Lawyers as mediators – Relationship to the administration of justice | | 693(T) | 5.7–1 |
| Lawyers in loan or mortgage transactions – Conflicts of interest | | 661(T)-662(M) | 3.4–34 – 3.4–34.3 |
| Lawyers in loan or mortgage transactions – Holding in trust | | 661(M) | 3.4–34.1 |
| Lawyers in loans or mortgage transactions – No advertising – Conflicts of interest | | 662(M) | 3.4–34.3 |
| Lawyers in loans or mortgages transactions – Disclosure – Conflicts of interest | | 662(M) | 3.4–34.2 |
| Legal practice | | 675(T)-678(M) | 4.1–1 – 4.3–1 |
| Legal practitioner – Definition | | 619(T) | 1.1–1 |
| Legal services – Limited scope retainer – Advise client – Nature, scope and finances | | 626(B) | 3.2–1A |
| Legal services available to the public, making – Practice of law | | 675(T) | 4.1–1 |
| Legal services under a limited scope retainer – Quality of service | | 626(B)-628(T) | 3.2–1A  3.2–1A.2 |
| Legislative or administrative change – Lawyer and the administration of justice | | 691(B) | 5.6–2 |
| Lending Client – Definition | | 651(M) | 3.4–13 |
| Lending money to client – Consent – Conflicts of interest | | 661(T) | 3.4–34(c) |
| Lending money to client – Disclosure – Conflicts of interest | | 661(T) | 3.4–34(a) |
| Lending money to client – Independent legal advice – Conflicts of interest | | 661(T) | 3.4–34(b) |
| Letterhead – Marketing legal services | | 677(T) | 4.2–0 |
| Licensee – Definition | | 619(T) | 1.1–1 |
| Licensee, successor – Duty of – Withdrawal from representation | | 674(T) | 3.7–10 |
| Limited scope retainer – Additional to Rule 3.2-9 confirm lawyer competence | | 627(M) | 3.2-1A.1[5.2] |
| Limited scope retainer – Advise client – Nature, scope and finances | | 626(B) | 3.2–1A |
| Limited scope retainer – Avoid appearing as id under full retainer – Quality of Service | | 627(T) | 3.2-1A.1[2] |
| Limited scope retainer – Communication with represented person | 708 | | 7.2–6A |
| Limited scope retainer – Communications from opposing counsel – Quality of service | | 627(T) | 3.2–1A.1[4] |
| Limited scope retainer – Competence | | 624(M) | 3.1–2[7A] |
| Limited scope retainer – Confirm in writing when complete | | 627(T) | 3.2-1A.1[2] |
| Limited scope retainer – Consider disclosure to tribunal, opposing counsel | | 627(M) | 3.2-1A.1[5.4] |
| Limited scope retainer – Exception duty counsel | | 627(B) | 3.2–1A.2(a) |
| Limited scope retainer – Exception summary advice | | 627(B) | 3.2–1A.2 (b–e) |
| Limited scope retainer – Exception to Rule 3.2–1A.1 (written confirmation) | | 627(B) | 3.2–1A.2 |
| Limited scope retainer – Misleading tribunals | | 627(M) | 3.2-1A.1[5.3] |
| Limited scope retainer – Quality of Service – Reducing to writing to understand limitations and risks | | 626(B) | 3.2-1A.1[1] |
| Limited scope retainer – When written confirmation not needed | | 627(B) | 3.2–1A.2 |
| Limited scope retainer – Written confirmation | | 626(B) | 3.2–1A.1 |
| Limited scope retainer – Written confirmation needed – Quality of service | | 626(B) | 3.2–1A.1 |
| Limited scope retainer – Written confirmation when client in custody – Quality of service | | 626(B) | 3.2–1A.1[1.1] |
| Limits on lawyers as advocates | | 680(M) | 5.1–2 |
| Literary works – Confidentiality | | 639(B) | 3.3–1[11.1] |
| Litigation – Courtesy | | 679(T) | 5.1–1 |
| Litigation – Undertaking – Advocate | | 685(T) | 5.1–6 |
| Loan or mortgage transactions, lawyers in – Conflicts of interest | | 661(T)-662(M) | 3.4–34 – 3.4–34.3 |
| Loss of confidence – Optional withdrawal | | 670(B) | 3.7–2 |
| Loss of confidence – Withdrawal from representation | | 670(B) | 3.7–2 |
| **M** | | | |
| Making legal services available – Lawyer shall not | | 675(B)-676(T) | 4.1–2 |
| Making legal services available – Legal aid | | 675(M) | 4.1–1[3] |
| Making legal services available – Practice of law | | 675(T)-676(T) | 4.1–1 – 4.1–2 |
| Making legal services available – Pro bono services | | 675(T) | 4.1–1[2] |
| Making legal services available – Restrictions | | 675(B)-676(T) | 4.1–2 |
| Making legal services available – Shall not do the following | | 675(B)-676(T) | 4.1–2 |
| Making legal services available – The practice of law | | 675(T)-676(T) | 4.1–1 – 4.1–2 |
| Making legal services available to the public – Efficient and convenient manner – Practice of law | | 675(T) | 4.1–1 |
| Mandatory withdrawal – Client instructions violate LSUC rules or by–laws | | 672(M) | 3.7–7(b) |
| Mandatory withdrawal – Discharged by client | | 672(M) | 3.7–7(a) |
| Mandatory withdrawal – Not competent | | 672(B) | 3.7–7(c) |
| Mandatory withdrawal – Withdrawal from representation | | 672(M) | 3.7–7 |
| Manner of withdrawal – Withdrawal from representation | | 672(B)-673(T) | 3.7–8 – 3.7–9 |
| Manner of withdrawal from representation – A lawyer shall | | 672(B)-673(T) | 3.7–9 |
| Manner of withdrawal from representation – Avoid prejudice | | 672(B) | 3.7–8 |
| Manner of withdrawal from representation – Minimize expense | | 672(B) | 3.7–8 |
| Manner of withdrawal from representation – Notification in writing | | 672(B)-673(T) | 3.7–9 |
| Marketing – Advertising nature of practice | | 678(T) | 4.3–1 |
| Marketing – Marketing of professional services | | 677(T) | 4.2–0 – 4.2–2 |
| Marketing legal services – Definition | | 677(T) | 4.2–0 |
| Marketing legal services – Restrictions – When can market | | 677(T) | 4.2–1 |
| Marketing of fees – Requirements | | 677(B) | 4.2–2 |
| Marketing of law – Examples of prohibited marketing | | 677(M) | 4.2–1[1] |
| Marketing of professional services | | 677(T) | 4.2–0 – 4.2–2 |
| Marketing speciality practices – Advertising nature of practice | | 678(T) | 4.3–1 |
| Matter – Definition – Conflicts | | 655(T) | 3.4-17 |
| Matter, definition – Conflicts from transfer between law firms | | 655(T) | 3.4–17 |
| May act for borrower and lender if (exception to general prohibition) – Conflicts of interest | | 651(M) | 3.4–14 |
| Media, communication – Interference with right to fair trial or hearing | 718 | | 7.5–2 |
| Mediation – No solicitor–client privilege – Relationship to the administration of justice | | 693(T) | 5.7–1(b) |
| Mediator – Lawyer as mediator | | 693(T) | 5.7–1 |
| Mediator – Tribunal – Definition  (mediator = tribunal) | | 619(B) | 1.1–1 |
| Mediators, lawyers as – Relationship to the administration of justice | | 693(T) | 5.7–1 |
| Medical–legal reports – Containing harmful opinions to the client | | 636(T) | 3.2–9.2 |
| Medical–legal reports – Quality of service | | 635(B) | 3.2–9.1 |
| Medical–legal reports – Where lawyer has reservations – Client viewing | | 636(T) | 3.2–9.3 |
| Medical–legal reports that cannot be shown to client – Quality of service | | 635(B) | 3.2–9.1 |
| Meeting deadlines – Quality of service | | 626(M) | 3.2–1[6] |
| Meeting financial obligations – Relationship to Law Society and other lawyers | 704 | | 7.1–2 |
| Minimize expense – Manner of withdrawal from representation | | 672(B) | 3.7–8 |
| **Misconduct** – Duty to report, lawyer’s | 704-705 | | 7.1–3 |
| Misconduct – Encouraging client to report dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| Misconduct, professional – Discipline | 725 | | 7.8.2–2 |
| Misleading tribunals – Limited scope retainer | | 627(M) | 3.2-1A.1[5.3] |
| Mistakes – Competence | | 625(M) | 3.1–2[15] |
| Mortgage – Disclosure – Doing business with a client | | 661(T) | 3.4–34 |
| Mortgage or loan – Joint retainer – Lending client and borrowing client | | 652(T) | 3.4–16 |
| Mortgage or loan transaction – May act for borrower and lender if – Conflicts of interest | | 651(M) | 3.4–14 |
| Mortgage or loan transactions – Must disclose if act for borrower and lender | | 651(B) | 3.4–15 |
| Mortgage or loan transactions, lawyers in – Conflicts of interest | | 661(T)-662(M) | 3.4–34 – 3.4–34.3 |
| Multi–discipline practice – Avoidance of conflicts of interest | | 652(M) | 3.4–16.1 |
| Multi–discipline practice – Compliance with Rules | 724 | | 7.8.1–1 |
| Multi–discipline practice – Conflicts of interest | | 652(M) | 3.4–16.1 |
| Multi–discipline practice – Fees and disbursements – Exception | | 668(B)-669(M) | 3.6–8 |
| Multiple offices – Conflicts from transfer between law firms | | 656(T) | 3.4-17[3] |
| **N** | | | |
| Name, law firm – Advertising | | 677(T) | 4.2–0 |
| Nature of practice, advertising – Marketing | | 678(T) | 4.3–1 |
| Negligence – Competence | | 625(M) | 3.1–2[15] |
| No advertising – Lawyers in loans or mortgages transactions – Conflicts of interest | | 662(M) | 3.4–34.3 |
| Non-destructive testing – Incriminating physical evidence | | 683(M) | 5.1-2A[5] |
| Non–licensee partners – Ensure compliance – Responsibility in multi–discipline practices | 724 | | 7.8.1–1 |
| Non–payment of fees – Withdrawal from representation | | 671(T) | 3.7–3 |
| Not competent – Mandatory withdrawal | | 672(B) | 3.7–7(c) |
| Notice – Withdrawal from representation | | 670(T) | 3.7–1 |
| Notification of receipt of property – Preservation of client’s property | | 664(M) | 3.5–3 |
| Notify – Inadvertent communications – Responsibility to lawyers and others | 713 | | 7.2–10 |
| **O** | | | |
| Obligation to stay current on real estate red flags – Quality of service | | 633(M) | 3.2–7.3[4.2] |
| Obligations – Discovery – Lawyer as advocate | | 684(T) | 5.1–3.1 |
| Obligations re: discovery – Lawyer as advocate | | 684(T) | 5.1–3.1 |
| Offences, duty to report certain – Relationship to Law Society | 706 | | 7.1–4.4 |
| Offering legal services – Restrictions | | 675(B)-676(T) | 4.1–2 |
| Omission or error, disclosure of – Lawyer as advocate | | 684(M) | 5.1–4 |
| Omissions and errors – Relationship to the Law Society and other lawyers | 722-723 | | 7.8–1 – 7.8–5 |
| Omissions by client who is an organization – Quality of service | | 634(T) | 3.2–8[3] |
| Opinions, second – Responsibility to lawyers and others | 708-709 | | 7.2–7 |
| Opposing Counsel, consider disclosure of limited scope retainer – Quality of Service | | 627(M) | 3.2-1A.1[5.4] |
| Optional withdrawal – Loss of confidence | | 670(B) | 3.7–2 |
| Optional withdrawal – Withdrawal from representation | | 670(B) | 3.7–2 |
| Options to consider – Incriminating physical evidence | | 682(M) | 5.1-2A[3] |
| Organization – Definition re Rule 7.2–8 | 710 | | 7.2–8.2 |
| **Organization as client** – Dishonesty, fraud, etc. | | 633(M) | 3.2–8 |
| Organization as client – Quality of service – May accept joint retainer | | 629(B) | 3.2–3[2] |
| Organizations as client – Quality of service | | 629(B) | 3.2–3 |
| Other issues to consider – Duty to avoid - Conflicts | | 644(T) | 3.4-1[12]-[14] |
| **Outside interests** – Independent judgment, impairing | 715 | | 7.3–2 |
| Outside interests – Integrity | 715 | | 7.3–1 – 7.3–2 |
| Outside interests and the practice of law | 715 | | 7.3–1 – 7.3–2 |
| **P** | | | |
| Paralegal – Definition | | 619(M) | 1.1–1 |
| Payment and Appropriation of funds – Fees and disbursements | | 669(M) | 3.6–10 – 3.6–11 |
| Payment of fees, non – Withdrawal from representation | | 671(T) | 3.7–3 |
| Permission from court – Withdrawal from criminal proceedings | | 672(T) | 3.7–6(b) |
| Permitted disclosure – Consent – Disclosure across firms to detect conflict of interest at transfer | | 642(B) | 3.3-7[6] |
| Permitted disclosure – Disclosure across firms to detect conflict of interest at transfer | | 641(B)-642(B) | 3.3-7[1]-[6] |
| Permitted disclosure – To detect a conflict of interest from a change in employment | | 641(B) | 3.3-7 |
| Personal Interest Conflicts – Duty to avoid | | 643(B) | 3.4-1[4]-[5] |
| Plea, guilty – Requirements – Lawyer as advocate | | 685(M) | 5.1–8 |
| Possession, not required to take or keep – Incriminating physical evidence – Lawyer as advocate | | 682(M) | 5.1-2A[3] |
| Practice – Advertising – fees | | 677(B) | 4.2–2 |
| Practice – Letterhead – Marketing | | 677(T) | 4.2–0 |
| Practice – Making legal services available | | 675(T)-676(T) | 4.1–1 – 4.1–2 |
| Practice – Name, law firm – Marketing | | 677(T) | 4.2–0 |
| Practice – Restrictions on offering legal services | | 675(B)-676(T) | 4.1–2 |
| Practice – Supervision of students, employees and others | | 694(T) | 6.1–1(b) |
| Practice of law | | 675(T)-678(M) | 4.1–1 – 4.3–1 |
| Practice of law – Making legal services available | | 675(T)-676(T) | 4.1–1 – 4.1–2 |
| Practice of law – Making legal services available – Legal aid | | 675(M) | 4.1–1[3] |
| Practice of law – Making legal services available – Pro bono services | | 675(T) | 4.1–1[2] |
| Practice of law – Making legal services available – Right to decline representation | | 675(M) | 4.1–1[4] |
| Practice of law – Making legal services available to the public | | 675(T) | 4.1–1 |
| Practice of law – Restrictions in offering legal services | | 675(B)-676(T) | 4.1–2 |
| Practice, multi–discipline – Compliance with Rules | 724 | | 7.8.1–1 |
| Practice, unauthorized – Preventing | 719 | | 7.6–1 |
| Prejudice, avoid – Manner of withdrawal from representation | | 672(B) | 3.7–8 |
| Preservation of client’s property | | 664(T)-665(M) | 3.5–2 – 3.5–7 |
| Preservation of client’s property – Accounting and delivery | | 665(T) | 3.5–6 – 3.5–7 |
| Preservation of Client’s Property – Care | | 664(T) | 3.5–2 |
| Preservation of client’s property – Identification | | 664(B) | 3.5–4 – 3.5–5 |
| Preservation of client’s property – Identifying client’s property | | 664(B) | 3.5–4 – 3.5–5 |
| Preservation of client’s property – Notification of receipt | | 664(M) | 3.5–3 |
| Preventing unauthorized practice | 719-720 | | 7.6–1 – 7.6–1.4 |
| Principal – Duties of articling principal | | 697(T) | 6.2–2 |
| Private or extra–professional activities – Integrity | | 620(M) | 2.1–1[4] |
| Pro bono client – Definition – Conflicts of interest | | 652(B) | 3.4–16.2 |
| Pro bono services – Can be provided for short–term limited legal services | | 653(T) | 3.4–16.4 |
| Pro bono services – When cannot provide | | 652(B)-653(T) | 3.4–16.3 |
| Pro bono services – Where acting adversely to a co–worker's client – Protect confidence | | 652(B)-653(T) | 3.4–16.3 |
| Pro Bono services – Where cannot assist pro bono client | | 652(B) | 3.4–16.3 |
| Professional integrity and judgment – Outside interests | 715 | | 7.3–1 – 7.3–2 |
| **Professional misconduct** – Definition | | 619(M) | 1.1–1 |
| Professional misconduct – Discipline | 725 | | 7.8.2–2 |
| Prohibited marketing tactics – Marketing of professional services | | 677(T) | 4.2–1[1] |
| Prohibition – Recording conversation | 707 | | 7.2–3 |
| Prohibition – Sexual harassment | | 699(T) | 6.3–3 |
| Prohibition on acting against former clients – Conflicts of interest | | 648(M) | 3.4–10 |
| Prohibition on acting as surety for accused – Judicial interim release – Conflicts of interest | | 663(M) | 3.4–40 – 3.4–41 |
| Prohibition on acting for borrower and lender – Conflicts of interest | | 651(M)-652(M) | 3.4–12 – 3.4–16 |
| Prohibition on audio recording – Courtesy and good faith – Responsibility to lawyers | 707 | | 7.2–3 |
| Prohibition on borrowing from clients – Conflicts of interest | | 660(M) | 3.4–31 |
| Prohibition on communicating with jurors before trial | | 690(T) | 5.5–1 |
| Prohibition on delegating access to E–Reg – Relationship to students, employees, others | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Prohibition on discrimination – Employment Practices | 701 | | 6.3.1-3 |
| Prohibition on discrimination – Services | 701 | | 6.3.1–2 |
| Prohibition on guarantees by a lawyer – Conflicts of interest | | 662(M) | 3.4–35 |
| Prohibition on holding syndicated mortgages or loans in trust – Conflicts of interest | | 661(M) | 3.4–34.1 |
| Prompt Reply – Responsibility to lawyers | 708 | | 7.2–5 |
| Property – Accounting and delivery – Preservation of client’s property | | 665(T) | 3.5–6 – 3.5–7 |
| Property – Identifying client’s property – Preservation of client’s property | | 664(B) | 3.5–4 – 3.5–5 |
| Property – Preservation of client’s property | | 664(T)-665(M) | 3.5–2 – 3.5–7 |
| Property, notification of receipt – Preservation of client’s property | | 664(M) | 3.5–3 |
| Prosecutor – Act for public and the administration of justice | | 683(M) | 5.1–3 |
| Prosecutor – Candour, fairness, courtesy, respect | | 683(M) | 5.1–3 |
| Prosecutor – Justice is done – Trial on merits | | 683(B) | 5.1–3[1] |
| Prosecutor, duty as – Lawyer as advocate | | 683(M) | 5.1–3 |
| Protect dignity of individuals – Special responsibilities – Integrity | | 620(M) | 2.1–1[4.1] |
| Public – Criticizing tribunals | | 691(M) | 5.6–1[3] |
| Public – Encouraging respect for administration of justice | | 691(T) | 5.6–1 |
| Public – Legislative change, seeking | | 691(B) | 5.6–2 |
| Public appearances | 717-718 | | 7.5–1 – 7.5–2 |
| Public confidence – Integrity | | 620(M) | 2.1–1[2] |
| Public legal services – Making available efficiently and conveniently – Practice | | 675(T) | 4.1–1 |
| Public office – Conflicts of interest | 716 | | 7.4–1 |
| Public office – If conflict exists | 716 | | 7.4–1 |
| Public office – Standard of conduct | 716 | | 7.4–1 |
| Public statements | 717-718 | | 7.5–1 – 7.5–2 |
| Punctuality – Responsibility to lawyers | 708 | | 7.2–5 |
| Purpose of the rule – Conflicts from transfer between law firms | | 655(B) | 3.4-17[1] |
| **Q** | | | |
| Quality of service – ADR – Consider and inform client | | 630(T) | 3.2–4[1] |
| Quality of service – Ascertain purpose of retainer | | 631(B) | 3.2–7.2 |
| Quality of service – Avoid becoming involved with client involved in illegal acts | | 631(M) | 3.2–7 |
| Quality of service – Candour | | 628(T) | 3.2–2 |
| Quality of service – Client dishonesty or fraud, discouraging | | 631(M) | 3.2–7 |
| Quality of service – Client under disability | | 634(B) | 3.2–9 |
| Quality of service – Client with diminished capacity | | 634(B) | 3.2–9 |
| Quality of service – Compromise or settlement – Encouraging | | 630(T) | 3.2–4 |
| Quality of service – Discourage useless legal proceedings | | 630(T) | 3.2–4 |
| Quality of service – Dishonesty, fraud etc. by client or others | | 631(M) | 3.2–7 |
| Quality of service – Dishonesty, fraud, etc. when client an organization | | 633(M) | 3.2–8 |
| Quality of service – Encouraging compromise or settlement – Criminal, quasi–criminal and regulatory proceedings | | 630(T) | 3.2–4[1.1] |
| Quality of service – Encouraging compromise or settlement – Unrepresented complainant | | 630(M) | 3.2–4[1.2] |
| Quality of service – Exception to prohibition against advising client on how to break the law for *bona fide* test cases | | 632(M) | 3.2–7.3[4] |
| Quality of service – Exception to prohibition against threatening regulatory action | | 630(B) | 3.2–5.1 |
| Quality of service – Exception to Rule 3.2–5(b) | | 630(B) | 3.2–5.1 |
| Quality of service – Exception to Rule 3.2–7 for *bona fide* test cases | | 632(M) | 3.2–7.3[4] |
| Quality of service – Facilitating dishonesty, fraud, crime or illegal conduct | | 631(M) | 3.2–7.1 |
| Quality of service – Generally | | 626(T) | 3.2–1 |
| Quality of service – Honesty | | 628(T) | 3.2–2 |
| Quality of service – Honesty and candour | | 628(T) | 3.2–2 |
| Quality of service – Honesty and candour – Arises from fiduciary obligations | | 628(M) | 3.2–2[1.1] |
| Quality of service – Honesty and candour – When acting for both the borrower and lender in mortgage or loan transaction | | 628(B) | 3.2–2[2.1] |
| Quality of service – Honesty and candour – When to withhold information | | 628(M) | 3.2–2[1.2] |
| Quality of service – Identifying “red flags” | | 632(M) | 3.2–7.3[3.1] |
| Quality of service – Identifying fraudulent real estate transactions | | 632(B)-633(T) | 3.2–7.3[4.1] |
| Quality of service – Instructing client on how to violate law and avoid punishment | | 631(M) | 3.2–7 |
| Quality of Service – Language Rights | | 628(B)-629(T) | 3.2-2A – 3.2-2B |
| Quality of Service – Language Rights – Advise as soon as possible | | 629(T) | 3.2-2B[1] |
| Quality of Service – Language Rights – Consider competence | | 629(M) | 3.2-2B[3] |
| Quality of Service – Language Rights – Consider language competency | | 629(T) | 3.2-2B[2] |
| Quality of Service – Language Rights – Not choice of lawyer | | 629(T) | 3.2-2B[2] |
| Quality of service – Legal services under a limited scope retainer | | 626(B)-628(T) | 3.2–1A  3.2–1A.2 |
| Quality of Service – Limited scope retainer – Additional to Rule 3.2-9 confirm lawyer competence | | 627(M) | 3.2-1A.1[5.2] |
| Quality of service – **Limited scope retainer** – Advise client – Nature, scope and finances | | 626(B) | 3.2–1A |
| Quality of Service – Limited scope retainer – Avoid appearing as if under full retainer | | 627(T) | 3.2-1A.1[2] |
| Quality of service – Limited scope retainer – Communications from opposing counsel | | 627(T) | 3.2–1A.1[4] |
| Quality of Service – Limited scope retainer – Consider disclosure to tribunal, opposing counsel | | 627(M) | 3.2-1A.1[5.4] |
| Quality of Service – Limited scope retainer – Don’t mislead tribunal | | 627(M) | 3.2-1A.1[5.3] |
| Quality of service – Limited scope retainer – Exception duty counsel | | 627(B) | 3.2–1A.2(a) |
| Quality of service – Limited scope retainer – Exception summary advice | | 627(B) | 3.2–1A.2 (b–e) |
| Quality of Service – Limited scope retainer – Reducing to writing to understand limitations and risks | | 626(B) | 3.2-1A.1[1] |
| Quality of service – Limited scope retainer – Written confirmation needed | | 626(B) | 3.2–1A.1 |
| Quality of service – Limited scope retainer – Written confirmation when client in custody | | 626(B) | 3.2–1A.1[1.1] |
| Quality of Service – Limited scope retainer – Written confirmation of completion | | 627(T) | 3.2-1A.1[2] |
| Quality of service – Medical–legal reports | | 635(B) | 3.2–9.1 |
| Quality of service – Medical–legal reports – Frank discussion with physician | | 635(B) | 3.2–9.1[1] |
| Quality of service – Meeting deadlines | | 626(M) | 3.2–1[6] |
| Quality of service – Obligation to stay current on real estate red flags | | 633(M) | 3.2–7.3[4.2] |
| Quality of service – Omissions by client who is an organization | | 634(T) | 3.2–8[3] |
| Quality of service – Organization as client – May accept joint retainer | | 629(B) | 3.2–3[2] |
| Quality of service – Red flags in real estate transactions | | 632(B)-633(T) | 3.2–7.3[4.1] |
| Quality of service – Reporting on mortgage transactions | | 636(B)-637(T) | 3.2–9.8  3.2–9.9 |
| Quality of service – Steps to take when client is an organization and is acting fraudulently | | 633(M) | 3.2–8  (a–c) |
| Quality of service – Strict use of client trust account | | 631(B) | 3.2–7.3 |
| Quality of service – Threatening criminal proceedings – Impropriety = use of threat to gain civil advantage | | 631(T) | 3.2–5.1[2] |
| Quality of service – Threatening criminal proceedings – Prohibition against | | 630(B) | 3.2–5(a–b) |
| Quality of service – Threatening regulatory action | | 630(B) | 3.2–5(b) |
| Quality of service – Threatening regulatory action – When regulatory authority exercises essentially civil jurisdiction | | 631(T) | 3.2–5.1[2.1] |
| Quality of service – Title insurance – Disclosure of no commission | | 636(B) | 3.2–9.6 |
| Quality of service – Title insurance – Lawyer shall not receive compensation from insurer | | 636(M) | 3.2–9.5 |
| Quality of service – Title insurance – Prohibition on acceptance of hidden fees | | 636(B) | 3.2–9.6[1] |
| Quality of service – Title insurance in real estate conveyancing | | 636(M) | 3.2–9.4 |
| Quality of service – TitlePLUS | | 636(B) | 3.2–9.7 |
| Quality of service – When acting for lender and loan secured by mortgage | | 636(B)-637(T) | 3.2–9.8  3.2–9.9 |
| Quality of service – When client is an organization | | 629(B) | 3.2–3 |
| Quality of service – Wilful blindness – Facilitating fraud, dishonesty, etc. | | 631(B) | 3.2–7.3[1] |
| **R** | | | |
| Real Estate – Supervision of students, employees and others – Direct supervision required | | 694(B) | 6.1-1[5.3] – [5.4] |
| Real estate conveyancing – Title insurance | | 636(M) | 3.2–9.4 |
| Reasonable fees and disbursements | | 666(T) | 3.6–1 – 3.6–1.1 |
| Reasonable notice – Withdrawal from representation | | 670(T) | 3.7–1 |
| Recognize diversity of Ontario – Special responsibilities – Integrity | | 620(M) | 2.1–1[4.1] |
| Recording conversation – Prohibition | 707 | | 7.2–3 |
| Recording, audio – Courtesy and good faith – Responsibility to lawyers and others | 707 | | 7.2–3 |
| Recruitment and engagement procedures – Students | | 697(T) | 6.2–1 |
| Recruitment procedures | | 697(T) | 6.2–1 |
| Red flags in real estate transactions – Quality of service | | 632(B)-633(T) | 3.2–7.3[4.1] |
| Red flags, identifying – Quality of service | | 632(M) | 3.2–7.3[3.1] |
| Reducing to writing to understand limitations and risks – Limited scope retainer – Quality of Service | | 626(B) | 3.2-1A.1[1] |
| Re–examination – Communication with witnesses giving evidence | | 688(B) | 5.4–2(c.4) |
| Referral fees | | 668(T) | 3.6–5 – 3.6–7 |
| Referral fees – Fees and disbursements | | 668(T) | 3.6–5 – 3.6–7 |
| Refund – Fees | | 669(B) | 3.6–11 |
| Registration of title documents, electronic – Relationship to students, employees, others | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Related Persons – Definition – Lawyers in loan or mortgage transactions | | 661(M) | 3.4–34 |
| Relations with clients – Joint retainers – Conflicts of interest | | 674(B) | 3.4–5 |
| Relations with jurors – Communication during trial | | 690(B) | 5.5–4 |
| Relations with jurors – Communications before trial | | 690(T) | 5.5–1 |
| Relations with jurors – Disclosure of information | | 690(M) | 5.5–2 – 5.5–3 |
| Relations with jurors – Relationship to the administration of justice | | 690(T) | 5.5–1 – 5.5–4 |
| Relationship to administration of justice – Lawyer shall not | | 680(M)-681(M) | 5.1–2(a–p) |
| Relationship to client –  SEE: Doing business with client | | 659(T)  659(T) | 3.4–28  3.4–28 |
| Relationship to client – ADR – Consider and inform client of ADR | | 630(T) | 3.2–4[1] |
| Relationship to client – Ascertain purpose of retainer | | 631(B) | 3.2–7.2 |
| Relationship to client – Care of client’s property | | 664(T) | 3.5–2 |
| Relationship to client – Client under a disability | | 634(B) | 3.2–9 |
| Relationship to client – Encouraging compromise or settlement | | 630(T) | 3.2–4 |
| Relationship to client – Guilty plea – Lawyer as advocate | | 685(M) | 5.1–8 |
| Relationship to client – Honesty and Candour | | 628(T) | 3.2–2 |
| Relationship to client – Lawyer shall not encourage or assist dishonesty | | 631(M) | 3.2–7 |
| Relationship to client – Manner of withdrawal from representation | | 672(B)-673(T) | 3.7–8 – 3.7–9 |
| Relationship to client – Organization as client – Dishonesty, fraud, etc. | | 633(M) | 3.2–8 |
| Relationship to client – Preservation of client’s property | | 664(T)-665(M) | 3.5–2 – 3.5–7 |
| Relationship to Client – Quality of service – Honesty and candour | | 628(T) | 3.2–2 |
| Relationship to client – When client is an organization | | 629(B) | 3.2–3 |
| Relationship to client – Withdrawal from representation | | 670(T)-674(M) | 3.7–1 –  3.7–10 |
| Relationship to clients – Division of fees – Fees and disbursements | | 668(T) | 3.6–5 – 3.6–7 |
| Relationship to Law Society and other lawyers | 704-725 | | 7.1–1 – 7.8.2–3 |
| Relationship to Law Society and other lawyers – Communications from the Law Society | 704 | | 7.1–1 |
| Relationship to Law Society and other lawyers – Communications, other lawyers | 708 | | 7.2–4 – 7.2–5 |
| Relationship to Law Society and other lawyers – Discipline | 725 | | 7.8.2–1 – 7.8.2–3 |
| Relationship to Law Society and other lawyers – Duty to report certain offences | 706 | | 7.1–4.4 |
| Relationship to Law Society and other lawyers – Duty to report misconduct | 704-705 | | 7.1–3 |
| Relationship to Law Society and other lawyers – Encouraging client to report dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| Relationship to Law Society and other lawyers – Errors and omissions | 722-723 | | 7.8–1 – 7.8–5 |
| Relationship to Law Society and other lawyers – Financial obligations | 704 | | 7.1–2 |
| Relationship to Law Society and other lawyers – Lawyers in public office | 716 | | 7.4–1 |
| Relationship to Law Society and other lawyers – Outside interests and the practice of law | 715 | | 7.3–1 – 7.3–2 |
| Relationship to Law Society and other lawyers – Preventing unauthorized practice | 719-720 | | 7.6–1 – 7.6–1.4 |
| Relationship to Law Society and other lawyers – Public appearances and public statements | 717-718 | | 7.5–1 – 7.5–2 |
| Relationship to Law Society and other lawyers – Responsibility in multi–discipline practices | 724 | | 7.8.1–1 |
| Relationship to students – Duties of principal | | 697(T) | 6.2–2 |
| Relationship to students – Recruitment and engagement procedures | | 697(T) | 6.2–1 |
| Relationship to students, employees and others – Discrimination | 700-703 | | 6.3.1–1 – 6.3.1–3 |
| Relationship to students, employees and others – Discrimination – Services | 701-702 | | 6.3.1–3 |
| Relationship to students, employees and others – Discrimination – Employment practices | 701-703 | | 6.3.1–3 |
| Relationship to students, employees and others – Employment practices – Discrimination | 701-703 | | 6.3.1–3 |
| Relationship to students, employees and others – Services – Discrimination | 701-702 | | 6.3.1–3 |
| Relationship to students, employees and others – Sexual harassment | | 699(T) | 6.3–3 |
| Relationship to students, employees and others – Signing E–Reg documents | | 696(B) | 6.1–6.2 |
| Relationship to students, employees and others – Title insurance | | 696(M) | 6.1–6.1 |
| Relationship to students, employees, and others | | 694(T)-695(T) | 6.1–1 |
| Relationship to the administration of justice | | 679(T)-693(B) | 5.1–1 – 5.7–1 |
| Relationship to the administration of justice – Communication with witness giving evidence | | 688(T) | 5.4–2 |
| Relationship to the administration of justice – Interviewing witnesses | | 687(T) | 5.3–1 |
| Relationship to the Administration of justice – Lawyer as advocate | | 679(T)-685(B) | 5.1–1 – 5.1–8 |
| Relationship to the administration of justice – Lawyer as witness | | 686(T) | 5.2–1 – 5.2–2 |
| Relationship to the administration of justice – Lawyers as mediators | | 693(T) | 5.7–1 |
| Relationship to the administration of justice – Relations with jurors | | 690(T) | 5.5–1 – 5.5–4 |
| Relationship with the administration of Justice – Incriminating physical evidence – Shall not destroy | | 682(T) | 5.1-2A |
| Relevant factors – Competence | | 623(B) | 3.1–2[3](a–e) |
| Relevant knowledge, skills and attributes – Competent lawyer | | 622(T)-623(M) | 3.1–1(a–k) |
| Repayment – Fees | | 669(B) | 3.6–11 |
| Reporting on mortgage transactions – Quality of service | | 636(B)-636(T) | 3.2–9.8  3.2–9.9 |
| Reporting, encouraging client to – Dishonest conduct | 705-706 | | 7.1–4 – 7.1–4.3 |
| Represent client honourably – Lawyer as advocate | | 679(T) | 5.1–1 |
| Representation, withdrawal from | | 670(T)-674(M) | 3.7–1 –  3.7–10 |
| Represented corporation or organization – Communications with | 709-713 | | 7.2–8 – 7.2–9 |
| Represented corporation or organization, prohibition – Communications | 709 | | 7.2–8 |
| Represented person – Communication | 708 | | 7.2–6 |
| Reputation and standards – Duty to uphold | | 620(B) | 2.1–2 |
| Respect for administration of justice – Lawyer and the administration of justice | | 691(T) | 5.6–1 |
| Respect for the administration of justice – Criticizing tribunals | | 691(M) | 5.6–1[3] |
| Respect human rights laws – Special responsibilities – Integrity | | 620(M) | 2.1–1[4.1] |
| Responsibility in multi–discipline practices – Compliance with these Rules | 724 | | 7.8.1–1 |
| Responsibility to Law Society and other lawyers – Responsibility to other lawyers | 707-714 | | 7.2–1 – 7.2–11 |
| Responsibility to lawyers and others – Audio recording, prohibition | 707 | | 7.2–3 |
| Responsibility to lawyers and others – Communications | 708 | | 7.2–4 – 7.2–5 |
| Responsibility to lawyers and others – Communications with a represented person | 708 | | 7.2–6 – 7.2–6A |
| Responsibility to lawyers and others – Communications with a represented corporation or organization | 709-713 | | 7.2–8 – 7.2–9 |
| Responsibility to lawyers and others – Dealing with unrepresented persons | 712-713 | | 7.2–9 |
| Responsibility to lawyers and others – Inadvertent communications | 713 | | 7.2–10 |
| Responsibility to lawyers and others – Reasonable requests – Agreement | 707 | | 7.2–1.1 |
| Responsibility to lawyers and others – Second opinions | 708-709 | | 7.2–7 |
| Responsibility to lawyers and others – Undertakings and trust conditions | 713-714 | | 7.2–11 |
| Responsibility to other lawyers | 707-714 | | 7.2–1 – 7.2–11 |
| Responsibility to other lawyers – Courtesy and good faith | 707 | | 7.2–1 |
| Responsibility, assume complete – Supervision | | 694(T) | 6.1–1(a) |
| Responsibility, special of lawyer – Discrimination | 700 | | 6.3.1–1 |
| Restrictions – Lawyer as advocate | | 680(M) | 5.1–2 |
| Restrictions – Marketing | | 677(T) | 4.2–1 |
| Restrictions on marketing of fees | | 677(B) | 4.2–2 |
| Restrictions on offering legal services – Making legal services available | | 675(B)-676(T) | 4.1–2 |
| Retainer, joint – Fees and disbursements | | 668(T) | 3.6–4 |
| Retaining jointly – Conflicts of interest | | 674(M) | 3.4–5 |
| Retired Judges – Appearance as counsel | 721 | | 7.7–1.2 – 7.7–1.4 |
| Retired Judges – Retired appellate judge, definition | 721 | | 7.7–1.1 |
| Retired judges – Retired judge, definition | 721 | | 7.7–1.1 |
| Returning to practice – Retired judges | 721 | | 7.7–1.1 – 7.7–1.4 |
| Right to decline representation – Making legal services available – Practice of law | | 675(M) | 4.1–1[4] |
| Role in adversarial proceedings – Lawyer as advocate | | 679(T) | 5.1–1[1] |
| Rule 3.2-9, confirm competence in addition to – Limited scope retainer | | 627(M) | 3.2-1A.1[5.2] |
| Rule does not apply – Incriminating physical evidence | | 682(M) | 5.1-2A[2] |
| **S** | | | |
| Second Opinions | 708-709 | | 7.2–7 |
| Second Opinions-Disinterested lawyer-Permission to give | 708-709 | | 7.2-7 |
| Second Opinions-Permission to give-Disinterested lawyer | 708-709 | | 7.2-7 |
| Security of court facilities | | 692(T) | 5.6–3 |
| Security of court facilities – Lawyer and the administration of justice | | 692(T) | 5.6–3 |
| Seeking external advice – Competence | | 624(M) | 3.1–2[7] |
| Seeking legislative or administrative change | | 691(B) | 5.6–2 |
| Seeking legislative or administrative change – Lawyer and the administration of justice | | 691(B) | 5.6–2 |
| Service, quality of – Generally | | 626(T) | 3.2–1 |
| Services – Discrimination | 701 | | 6.3.1–2 |
| Services – Prohibition on discrimination | 701 | | 6.3.1–2 |
| Settlement or compromise – Encouraging – Quality of service | | 630(T) | 3.2–4 |
| Sexual harassment – Definition | | 698(T) | 6.3–0 |
| Sexual harassment – Prohibition | | 699(T) | 6.3–3 |
| Sharp practice, avoiding – Responsibility to lawyers | 707 | | 7.2–2 |
| Short–term limited legal services – Conflicts of interest | | 652(B)-654(M) | 3.4–16.2 – 3.4–16.6 |
| Short–term limited legal services – Definition | | 652(B) | 3.4–16.2 |
| Short–term limited legal services – Disclosure – Conflicts of interest | | 653(M) | 3.4–16.6 |
| Short–term limited legal services – Pro bono client – Conflicts of interest | | 652(B)-653(M) | 3.4–16.3 – 3.4–16.5 |
| Signing E–Reg documents – Relationship to students, employees and others | | 696(B) | 6.1–6.2 |
| Society – Communications with lawyer | 704 | | 7.1–1 |
| Society – Disciplinary authority | 725 | | 7.8.2–1 |
| Society – Misconduct, duty to report | 704-705 | | 7.1–3 |
| Society, the Law – Disciplinary authority | 725 | | 7.8.2–1 |
| Sole practitioners – Disclosure – Confidentiality | | 630(T) | 3.3–1[7] |
| Special responsibilities – Integrity | | 620(M) | 2.1–1[4.1] |
| Special responsibilities – Protect dignity of individuals – Integrity | | 620(M) | 2.1–1[4.1] |
| Special responsibilities – Recognize diversity of Ontario – Integrity | | 620(M) | 2.1–1[4.1] |
| Special responsibilities – Respect human rights laws – Integrity | | 620(M) | 2.1–1[4.1] |
| Special responsibility – Protection  SEE: Diversity – duty to protect diversity of community | | 620(M) | 2.1–1[4.1] |
| Special responsibility of lawyer – Discrimination | 700 | | 6.3.1–1 |
| Speciality practices, advertising – Marketing | | 678(T) | 4.3–1 |
| Specify facts, circumstances, and assumptions – Competence | | 624(B) | 3.1–2[8] |
| Spousal interest in borrowing entity – Independent legal advice – Conflicts of interest | | 661(T) | 3.4–33 |
| Standard of conduct – Lawyer in public office | 716 | | 7.4–1 |
| Standard of conduct – Public office | 716 | | 7.4–1 |
| Standard of legal services – Competence | | 623(M) | 3.1–2 |
| Standards of the legal profession – Duty to respect human rights law | | 620(M) | 2.1–1[4.1] |
| Standards of the legal profession – Duty to uphold reputation and standards | | 620(B) | 2.1–2 |
| Standards of the legal profession – Protect dignity of individuals | | 620(M) | 2.1–1[4.1] |
| Standards of the legal profession – Recognize diversity | | 620(M) | 2.1–1[4.1] |
| Statement of account – Fees and disbursements | | 667(B) | 3.6–3 |
| Student–at–law – Duties of articling student | | 697(M) | 6.2–3 |
| Student–at–law – Duties of principal | | 697(T) | 6.2–2 |
| Student–at–law – Recruitment procedures | | 697(T) | 6.2–1 |
| Students – Recruitment and engagement procedures | | 697(T) | 6.2–1 |
| Students, employees, and others – Relationship to | | 694(T)-695(T) | 6.1–1 |
| Submission of affidavit – Lawyer as witness | | 686(T) | 5.2–1 |
| Submission of evidence – Lawyer as witness | | 686(T) | 5.2–1 |
| Submission of testimony – Lawyer as witness | | 686(T) | 5.2–1 – 5.2–2 |
| Substantial risk of conflict of interest – Definition | | 617(M) | 1.1–1 |
| Successor licensee, duty of – Withdrawal from representation | | 674(T) | 3.7–10 |
| Supervision – Assume complete responsibility for practice of law | | 694(T) | 6.1–1(a) |
| Supervision – Duties of articling student | | 697(M) | 6.2–3 |
| Supervision – Duties of articling student – Act in good faith | | 697(M) | 6.2–3 |
| Supervision – Restrictions on non–lawyers and title insurance | | 696(M) | 6.1–6.1 |
| Supervision – Title insurance and non–lawyer | | 696(M) | 6.1–6.1 |
| Supervision of students – Duties of principal | | 697(T) | 6.2–2 |
| Supervision of students, employees and others – Direct supervision required – Real estate | | 694(B) | 6.1-1[5.3] – [5.4] |
| Supervision of students, Employees and others – Direct supervision required – Corporate and commercial | | 695(T) | 6.1-1[5.5] |
| Supervision of students, employees and others – Discrimination | 700-703 | | 6.3.1–1 – 6.3.1–3 |
| Supervision of students, employees and others – Duties of principal | | 694(T) | 6.1–1 |
| Supervision of students, employees and others – Duty to supervise | | 694(T) | 6.1–1(b) |
| Supervision of Students, Employees and Others – Electronic Registration of Title Documents | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Supervision of students, employees and others – Services – Discrimination | 702 | | 6.3.1–3 |
| Supervision of students, employees and others – Sexual harassment | | 699(T) | 6.3–3 |
| Supervision of students, employees and others – Signing E–Reg documents | | 696(B) | 6.1–6.2 |
| Supervision of students, employees and others – Wills, Trusts and Estates – Direct supervision required | | 695(T) | 6.1-1[5.6] |
| Surety – Judicial interim release – Conflicts of interest | | 663(M) | 3.4–40 – 3.4–41 |
| Suspended lawyers – Practice prohibited | 719 | | 7.6–1.2 |
| Syndicated mortgage – Definition | | 661(M) | 3.4–34 |
| **T** | | | |
| Testamentary instruments and gifts – Conflicts of interest | | 663(T) | 3.4–37 – 3.4–38 |
| The Lawyer as advocate – Relationship to the administration of justice | | 679(T)-685(B) | 5.1–1 – 5.1–8 |
| The lawyer as witness | | 686(T) | 5.2–1 – 5.2–2 |
| The lawyer in public office – Standard of conduct | 716 | | 7.4–1 |
| The practice of law | | 675(T)-678(M) | 4.1–1 – 4.3–1 |
| **Threatening** criminal proceedings – Impropriety = use of threat to gain civil advantage | | 631(T) | 3.2–5.1[2] |
| Threateningcriminal proceedings – Prohibition against | | 630(B) | 3.2–5(a–b) |
| Threatening criminal proceedings – Quality of service | | 630(B) | 3.2–5(a–b) |
| Threatening regulatory action – Quality of service | | 630(B) | 3.2–5(b) |
| Threatening regulatory action – When regulatory authority exercises essentially civil jurisdiction | | 631(T) | 3.2–5.1[2.1] |
| Timely service – Competence | | 625(T) | 3.1–2[12] |
| Timing, advise as soon as possible – Language Rights | | 629(T) | 3.2-2B[1] |
| Title documents, electronic registration – Relationship to students, employees, others | | 695(M)-696(M) | 6.1–5 – 6.1–6 |
| Title Insurance – Supervision of non–lawyer | | 696(M) | 6.1–6.1 |
| Title insurance in real estate conveyancing – Compensation from insurer | | 636(M) | 3.2–9.5 |
| Title insurance in real estate conveyancing – Disclosure – No commission | | 636(B) | 3.2–9.6 |
| Title insurance in real estate conveyancing – Lawyer shall not receive compensation from insurer | | 636(M) | 3.2–9.5 |
| Title insurance in real estate conveyancing – Quality of service | | 636(M) | 3.2–9.4 |
| Title insurance in real estate conveyancing – TitlePLUS – Disclose relationship | | 636(B) | 3.2–9.7 |
| Title insurance not mandatory – Real estate conveyancing – Quality of service | | 636(M) | 3.2–9.4 |
| TitlePLUS – Title insurance in real estate conveyancing – Disclose relationship | | 636(B) | 3.2–9.7 |
| Transactions with Clients – Conflicts of interest | | 659(B) | 3.4–29 |
| Transfer between law firms – Application of rule | | 655(T)-656(T) | 3.4–17 – 3.4–19 |
| Transfer between law firms – Confidential information – Must | | 656(M) | 3.4–20 |
| Transfer between law firms – Conflicts – Duties imposed distinct from general ethical duty | | 655(B) | 3.4-17[2] |
| Transfer between law firms – Conflicts of interest | | 655(T)-658(B) | 3.4–17 – 3.4–23 |
| Transfer between law firms – Disqualification, law firm –  SEE: 3.4–21 if reference to AG | | 658(M) | 3.4–20  3.4–21 |
| Transfer between law firms – Disqualification, transferring lawyer | | 658(T) | 3.4–21 – 3.4-22 |
| Transfer between law firms – Due diligence | | 658(M) | 3.4–23 |
| Transfer between law firms – Matter, definition | | 655(T) | 3.4–17 |
| Transferring lawyer disqualification – Conflicts of interest | | 658(T) | 3.4–23 – 3.4–24 |
| Transfers of title – Acting for both parties – Conflicts of interest | | 654(B)-655(T) | 3.4–16.7 – 3.4–16.9 |
| Transfers, disclosure across firms relating to – Consent - permitted disclosure | | 642(B) | 3.3-7[6] |
| Transfers, disclosure across firms relating to – Permitted disclosure | | 641(B)-642(B) | 3.3-7[1]-[6] |
| Trial on merits – Prosecutor – Justice is done – Lawyer as advocate | | 683(B) | 5.1–3[1] |
| Trial, communications before – Relations with jurors | | 690(T) | 5.5–1 |
| Tribunal – Definition | | 619(B) | 1.1–1 |
| Tribunal, consider disclosing limited scope retainer – Quality of Service | | 627(M) | 3.2-1A.1[5.4} |
| Tribunal, don’t mislead – Limited scope retainer | | 627(M) | 3.2-1A.1[5.3] |
| Trust account – Quality of service | | 631(B) | 3.2–7.3 |
| Trust conditions and trust conditions – Duty to honour | 714 | | 7.2-11 |
| Trust conditions and undertakings – Responsibility to lawyers and others | 713-714 | | 7.2–11 |
| Trusts, Wills and Estates – Supervision of students, employees and others – Direct supervision required | | 695(T) | 6.1-1[5.6] |
| **U** | | | |
| Unauthorized Practice – Preventing | 719 | | 7.6–1 |
| Unauthorized Practice – Suspended lawyers | 719 | | 7.6–1.2 |
| Unauthorized practice – Working with or employing disbarred persons | 719 | | 7.6–1.1 |
| Undertaking – Advocate – Personal responsibility | | 685(T) | 5.1–6 |
| Undertaking a matter – Competence | | 624(T) | 3.1–2[5] |
| Undertaking to practice law subject to restrictions | 720 | | 7.6–1.4 |
| Undertakings – Duties | 713-714 | | 7.2–11 |
| Undertakings – Lawyer as advocate | | 685(T) | 5.1–6 |
| Undertakings – Not to practice law | 720 | | 7.6–1.3 |
| **Undertakings and trust conditions** – Duty to honour | 714 | | 7.2-11 |
| Undertakings and trust conditions – Responsibility to lawyers and others | 713-714 | | 7.2–11 |
| Undertakings, fulfill – Advocate, lawyer as | | 685(T) | 5.1–6 |
| Unrepresented persons – Dealing with on client’s behalf | 712-713 | | 7.2–9 |
| Use of trust account – Quality of service | | 631(B) | 3.2–7.3 |
| **V** | | | |
| **W** | | | |
| Wilful blindness – Quality of service – Facilitating fraud, dishonesty, etc. | | 631(M) | 3.2–7.1 |
| Wills, Trusts and Estates – Supervision of students, employees and others – Direct supervision required | | 695(T) | 6.1-1[5.6] |
| Withdrawal – Lawyer to minimize expense, avoid prejudice and orderly transfer | | 672(B) | 3.7–8 |
| Withdrawal from criminal proceedings – Not permitted – Not sufficient time – Adjournment would prejudice | | 672(T) | 3.7–6 |
| Withdrawal from criminal proceedings – Permission from court | | 672(T) | 3.7–6(b) |
| Withdrawal from criminal proceedings – Prohibition on withdrawal if court date is close | | 672(T) | 3.7–5 |
| Withdrawal from criminal proceedings – Steps to take | | 671(M) | 3.7–4 |
| Withdrawal from criminal proceedings – Withdrawal from representation | | 671(M)-672(M) | 3.7–4 – 3.7–6 |
| Withdrawal from representation | | 670(T)-674(M) | 3.7–1 –  3.7–10 |
| Withdrawal from representation – Cause | | 670(T) | 3.7–1 |
| Withdrawal from representation – Criminal proceedings | | 671(M)-672(M) | 3.7–4 – 3.7–6 |
| Withdrawal from representation – Duty of successor licensee | | 674(T) | 3.7–10 |
| Withdrawal from representation – Good cause and reasonable notice | | 670(T) | 3.7–1 |
| Withdrawal from representation – Loss of confidence | | 670(B) | 3.7–2 |
| Withdrawal from representation – Mandatory withdrawal | | 672(M) | 3.7–7 |
| Withdrawal from representation – Mandatory, where | | 672(M) | 3.7–7 |
| Withdrawal from representation – Manner of withdrawal | | 673(B)-673(T) | 3.7–8 – 3.7–9 |
| Withdrawal from representation – Non–payment of fees | | 671(T) | 3.7–3 |
| Withdrawal from representation – Notice | | 670(T) | 3.7–1 |
| Withdrawal from representation – Optional withdrawal | | 670(B) | 3.7–2 |
| Withdrawal from representation – Prohibition on threat of withdrawal | | 671(T) | 3.7–2[1] |
| Withdrawal from representation – Reasonable notice | | 670(T) | 3.7–1 |
| Withdrawal from representation – Serious loss of confidence | | 670(B) | 3.7–2 |
| Withdrawal from representation – Successor Lawyer, duty of | | 674(T) | 3.7–10 |
| Withdrawal from representation – Upon withdrawal, lawyer shall | | 673(B)-673(T) | 3.7–8 – 3.7–9 |
| Withdrawal from representation – Withdrawal from criminal proceedings | | 671(M)-672(M) | 3.7–4 – 3.7–6 |
| Withdrawal, criminal proceedings – Permitted – Cause or non–payment | | 671(M)-672(M) | 3.7–4 – 3.7–6 |
| Witness – Communication with represented person, prohibition | 708 | | 7.2–6 |
| Witness – Communication with Witness giving Evidence | | 688(T) | 5.4–2 |
| Witness – interviewing witnesses | | 687(T) | 5.3–1 |
| Witness – Lawyer as – Submission of affidavit | | 686(T) | 5.2–1 |
| Witness communications – Before completion of examination–in–chief | | 688(T) | 5.4–2(a) |
| Witness communications – During cross examination – Sympathetic witness | | 688(M) | 5.4–2(c.3) |
| Witness communications – During cross–examination – Unsympathetic witness | | 688(M) | 5.4–2(c.2) |
| Witness communications – During cross–examination by opposing counsel | | 688(M) | 5.4–2(b) |
| Witness communications – Re–examination of opposing side witness | | 688(B) | 5.4–2(c.4) |
| Witness, interviewing – Disclose interest | | 687(T) | 5.3–1 |
| Witness, lawyer as – Appeals | | 686(M) | 5.2–2 |
| Witness, lawyer as – Submission of evidence | | 686(T) | 5.2–1 |
| Witnesses giving evidence, communications with | | 688(T) | 5.4–2 |
| Witnesses, interviewing | | 687(T) | 5.3–1 |
| Written confirmation needed – Limited scope retainer – Quality of service | | 626(B) | 3.2–1A.1 |
| Written confirmation of completion – Limited scope retainer | | 627(T) | 3.2-1A.1[2] |