RULES OF PROFESSIONAL CONDUCT - ALPHABETICAL INDEX

|  |  |  |
| --- | --- | --- |
| Guide to the Rules of Professional Conduct | 858 | “In These Rules” |
| Abandonment of a legal services practice - Duty to report misconduct | 957(B) | 7.1-3(b) |
| Ability and capacity of lawyer - competence | 875(T) | 3.1-2[1] |
| Abuse of Process - Advocacy | 936(M) | 5.1-2(a) |
| Abusive communications - Prohibition | 960(T) | 7.2-4 |
| Acceptable mortgage or loan transactions - Lawyers in loan or mortgage transactions | 916(M) | 3.4-33.1[1] |
| Accepting Duties - Undertakings | 966(B) - 967(B) | 7.2-11 |
| Access to E-Reg - Relationship to students, employees, and others | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Accounting and delivery - Preservation of client’s property - Account for property and deliver on order or at end of retainer | 919(M) | 3.5-6 |
| Accounting and delivery - Preservation of clients property | 919(M) | 3.5-6 - 3.5-7 |
| Accused Person Restrictions - Surety / Bail Prohibited - Judicial interim release - Conflicts of Interest | 918(T) | 3.4-40 |
| Acting against client - Confidential information - May act if | 902(M) -  903(T) | 3.4-11 |
| Acting against former client - Another lawyer in firm may act if consent, no risk of disclosure to new lawyer | 902(M) -  903(T) | 3.4-11 |
| Acting against former client - Confidential information - Conflicts of interest | 902(M) -  903(T) | 3.4-11 |
| Acting against former clients, prohibition - Conflicts of interest - Unless consent | 902(T) | 3.4-10 |
| Acting as advocate - Limits on lawyer | 936(M) - 938(T) | 5.1-2 |
| Acting for borrower and lender - Conflicts of interest | 903(B) - 904(M) | 3.4-12 - 3.4-16 |
| Acting for borrower and lender - Definition of material information - Conflicts of interest | 904(M) | 3.4-15[1] |
| Acting for borrower and lender - Permitted if | 904(T) | 3.4-14 |
| Acting for borrower and lender - Prohibition - Mortgage or loan | 903(B) | 3.4-12 |
| Acting for Transferor and Transferee - Prohibition - Transfer of title | 907(M) | 3.4-16.7 |
| Adjournment - Request where - Withdrawal other than non-payment - Not sufficient time | 926(M) | 3.7-6(a) |
| Administration of justice - Criticizing tribunals | 945(M) | 5.6-1[3] |
| Administration of justice - Encouraging respect for administration of justice | 945(T) | 5.6-1 |
| Administration of justice - Legislative Change, seeking | 946(T) | 5.6-2 |
| Administration of justice - Security of court facilities | 946(M) | 5.6-3 |
| Administration of justice and public, act for - Prosecutor | 939(B) | 5.1-3 |
| Administration of justice, encouraging respect for - Lawyer and the administration of justice | 945(T) | 5.6-1 |
| Administration of justice, relationship to | 935(T) - 947(M) | 5.1-1 - 5.7-1 |
| Admission of guilt, by client - Duty of Defence | 936(T) | 5.1-1[10] |
| ADR - Consider and inform client of ADR - Quality of service | 882(M) | 3.2-4[1] |
| Advance consent - Conflicts of interest - General and open-ended usually ineffective - Where experienced and informed likely effective - Must be recorded | 898(B) - 899(T) | 3.4-2[4] - [5] |
| Adversarial proceedings - Duty of advocate | 935(T) | 5.1-1 |
| Adversarial proceedings - Lawyer as advocate | 935(T) - 936(M) | 5.1-1 |
| Adverse interest - Pro bono services - Can be provided if | 905(B) | 3.4-16.4 |
| Advertising - Fees | 933(M) | 4.2-2 |
| Advertising - Marketing legal services | 930(M) | 4.2-0 |
| Advertising - Restrictions | 930(M) | 4.2-1 |
| Advertising - Specialist practice | 933(M) | 4.3-1 |
| Advertising nature of practice - Marketing | 933(M) | 4.3-1 |
| Advertising Not Permitted Conflicts of interest - Lawyers in loans or mortgages transactions - Disclosure | 916(B) | 3.4-33.3 |
| Advertising of fees - Requirements | 933(M) | 4.2-2 |
| Advertising speciality practices - Marketing | 933(M) | 4.3-1 |
| Advertising, no - Lawyers in loans or mortgage transactions - Conflicts of interest | 916(B) | 3.4-33.3 |
| Advice from non-licensees - Competence | 877(T) | 3.1-2[11] |
| Advice on non-legal matters - Clearly distinguish from legal advice - Competence | 877(T) | 3.1-2[10] |
| Advocacy - Abuse of Process | 936(M) | 5.1-2(a) |
| Advocacy - Advocate - Shall not - Deceive the tribunal | 936(B) | 5.1-2(e) |
| Advocacy - Advocate - Shall not - Knowingly present party falsely | 937(M) | 5.1-2(k) |
| Advocacy - Advocate - Shall not - needlessly abuse witness | 937(M) | 5.1-2(m) |
| Advocacy - Advocate - Shall not - Needlessly inconvenience witness | 937(M) | 5.1-2(o) |
| Advocacy - Advocate - Shall not - Threaten criminal charges to gain a benefit | 937(M) | 5.1-2(n) |
| Advocacy - Agreement on guilty plea | 941(M-B) | 5.1-7 - 5.1-8 |
| Advocacy - Communication with represented person, prohibition | 960(B) - 961(T) | 7.2-6 |
| Advocacy - Discovery obligations | 940(T) | 5.1-3.1 |
| Advocacy - Dishonest or Dishonourable Conduct, Prohibition | 936(B) | 5.1-2(b) |
| Advocacy - Duties of Advocate | 935(T) | 5.1-1 |
| Advocacy - Duty of prosecutor | 939(B) | 5.1-3 |
| Advocacy - Duty to Client | 935(T) | 5.1-1 |
| Advocacy - Encouraging respect for administration of justice | 945(T) | 5.6-1 |
| Advocacy - Error or omission, disclosure of | 940(M) | 5.1-4 |
| Advocacy - Incriminating Physical Evidence - Lawyer must ensure - no destruction/ concealment/alteration of evidence | 939(B) | 5.1-2A [6] |
| Advocacy - Interviewing Witnesses | 942(B) | 5.3-1 |
| Advocacy - Jurors - advocate shall not communicate | 944(T) | 5.5-1 |
| Advocacy - Jurors - Disclosure of improper conduct | 944(M) | 5.5-3 |
| Advocacy - Jurors, disclosure of juror’s interest in case | 944(M) | 5.5-2 |
| Advocacy - Jurors, prohibition on communication before trial | 944(T) | 5.5-1 |
| Advocacy - Jurors, prohibition on communication during trial | 944(B) | 5.5-4 |
| Advocacy - Lawyer as advocate | 935(T) - 936(MB) | 5.1-1 - 5.1-2 |
| Advocacy - Lawyer as witness - Submission of affidavit | 942(T) | 5.2-1 |
| Advocacy - Lawyer as witness - Submission of testimony | 942(T) | 5.2-1 |
| Advocacy - Lawyer having relationship with Judicial Officer - Impartial - Duty of lawyer | 936(B) | 5.1-2(c) |
| Advocacy - Lawyer shall not - As advocate | 936(M) - 938(M) | 5.1-2(a-p) |
| Advocacy - Retired judges appearing as counsel | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Advocacy - Shall not counsel or participate - concealment of incriminating physical evidence | 938(T) | 5.1-2A |
| Advocacy - Tribunal - Definition | 871(T) | 1.1-1 |
| Advocacy - Undertakings by advocate | 941(T) | 5.1-6 |
| Advocacy - witness interviewing - Lawyer shall - disclose lawyer’s interest | 942(B) | 5.3-1 |
| Advocacy - witness interviewing - Lawyer shall - take care not to subvert/supresss witness evidence | 942(B) | 5.3-1 |
| Advocacy - Witness, lawyer as | 942(T) | 5.2-1 - 5.2-2 |
| Advocacy - Witness, lawyer as - Appeals | 930(M) | 5.2-2 |
| Advocate - Duty to be courteous | 935(T) | 5.1-1 |
| Advocate, lawyer as - Agreement on guilty plea | 941(M-B) | 5.1-7 - 5.1-8 |
| Advocate, lawyer as - Candour, honour, fairness | 935(T) - 936(M) | 5.1-1 |
| Advocate, lawyer as - Courtesy | 940(B) | 5.1-5 |
| Advocate, lawyer as - Disclosure of error or omission | 940(M) | 5.1-4 |
| Advocate, lawyer as - Discovery obligations | 940(T) | 5.1-3.1 |
| Advocate, lawyer as - Lawyer shall not | 936(M) - 938(T) | 5.1-2 |
| Advocate, lawyer as - Limits | 936(M) - 938(T) | 5.1-2 |
| Advocate, lawyer as - Restrictions | 936(M) - 938(T) | 5.1-2 |
| Advocate, lawyer as - Undertakings | 941(T) | 5.1-6 |
| Affidavit - Submission of - Lawyer shall not submit own affidavit to tribunal | 942(T) | 5.2-1 |
| Affiliated entities - Conflicts of interest | 902(B) - 903(M) | 3.4-11.1 - 3.4-11.3 |
| Affiliated entities - Consent required - Conflicts of interest | 903(M) | 3.4-11.2 |
| Affiliated entities - Disclosure - Conflicts of interest | 902(B) - 903(T) | 3.4-11.1 |
| Affiliated entities - Search system for conflicts required - Conflicts of interest | 903(M) | 3.4-11.3 |
| Affiliated entities and their affiliation with lawyers - Conflicts of interest | 902(B) - 903(M) | 3.4-11.1 - 3.4-11.3 |
| Affiliated entity - Definition | 867(T) | 1.1-1 |
| Affiliation - Definition | 867(T) | 1.1-1 |
| Affiliation - Where exists - Establish system of search for conflict | 903(M) | 3.4-11.3 |
| Affiliations between lawyers and affiliated entities - Conflicts of interest | 902(B) - 903(M) | 3.4-11.1 - 3.4-11.3 |
| Agreement - Reasonable requests - Responsibility to lawyers and others | 960(M) | 7.2-1.1 |
| Agreement on guilty plea - discussion with prosecutor | 941(M) | 5.1-7 |
| Agreement on guilty plea - When can make | 941(M) | 5.1-7 |
| Agreement on guilty please - Lawyer as advocate | 941(M-B) | 5.1-7 - 5.1-8 |
| Allowed - Communication with represented person - Second Opinion | 961(M) | 7.2-7 |
| Alternative Dispute Resolution - Advising | 882(M) | 3.2-4[1] |
| Alternative dispute resolution - Mediator, lawyer as mediator | 946(B) | 5.7-1 |
| Appeals - Lawyer as witness | 930(M) | 5.2-2 |
| Appearance as counsel - Retired appellate judge or judge | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Appearances before public bodies | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Application - modifications necessary when this rule applies to exams out of court | 944(T) | 5.4-2[7] |
| Application - this rule applies to exams out of court | 944(T) | 5.4-2[7] |
| Appropriation of funds - Fees and disbursements | 923(B) - 924(T) | 3.6-10 - 3.6-11 |
| Arbitrator - Tribunal - Definition | 871(T) | 1.1-1 |
| Articling - Recruitment procedures | 950(B) | 6.2-1 |
| Articling student, duties of - Relationship to students | 951(T) | 6.2-3 |
| Ascertain purpose of retainer - Quality of service | 883(B) | 3.2-7.2 |
| Associate - Definition | 867(M) | 1.1-1 |
| Assume complete responsibility for practice of law - Supervision | 948(T) | 6.1-1(a) |
| Audio recording - Courtesy and good faith - Consent required | 960(M) | 7.2-3 |
| Availability of legal services - Practice of law | 929(T) | 4.1-1 - 4.1-2 |
| Avoid bold or over-confident assurances - Competence | 876(B) | 3.1-2[9] |
| Avoid prejudice, minimize expense, facilitate transfer - Manner of withdrawal from representation | 927(T) | 3.7-8 |
| Avoidance of conflict of interest - Can’t act unless informed and voluntary consent | 898(T) | 3.4-2 |
| Avoidance of conflict of interest - Duty | 895(T) | 3.4-1 |
| Bail - Conflicts of interest - Judicial interim release - Accused Person Restrictions | 918(T) | 3.4-40 |
| Borrower and lender - Mortgage or loan transaction - Conflicts of interest | 903(B) | 3.4-12 |
| Borrower and lender in mortgage or loan transaction - Must disclose material info if act for both | 904(M) | 3.4-15 |
| Borrower and lender, acting for - Conflicts of interest | 903(B) - 904(M) | 3.4-12 - 3.14-16 |
| Borrowing from client - Spousal interest in borrowing entity - Conflicts of interest - Independent legal representation required | 913(M) | 3.4-29 |
| Borrowing from client, prohibition - Unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Borrowing from clients - Conflicts of interest - Must not unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Bright line rule - Cannot act directly adverse to immediate legal interests of current client w/o client’s consent - Conflicts of interest - Current client conflicts | 896(T) | 3.4-1[7] |
| By-law 8 - Duty to report certain offences | 959(M) | 7.1-4.4 |
| Candour - Duty of prosecutor | 939(B) | 5.1-3 |
| Candour - Lawyer as advocate | 935(T) - 936(M) | 5.1-1 |
| Candour, fairness, courtesy, respect - Prosecutor | 939(B) | 5.1-3 |
| Capacity - Limited scope retainer - Carefully asses competence | 879(M) | 3.2-1A.1[5.2] |
| Capacity, lacking - Disclosure of confidential information - Authority to do so may be inferred where client lacking capacity - Factors to consider | 891(M) | 3.3-1[10] |
| Cause and Notice - Withdrawal from representation | 924(T) | 3.7-1 |
| Certificate of independent legal advice, w/ signature - Required - Transaction between client and another lawyer | 913(M) | 3.4-29 |
| Change of employment - Disclosure of confidential information - Only to determine conflicts  SEE 3.3-7 [3] - [4] - Only names of persons and entities involved, to as few lawyers as possible | 893(B)  894(M) | 3.3-7 |
| Change, legislative or administrative - Seeking - Administration of justice | 946(T) | 5.6-2 |
| Child - Best interest, in Adversarial proceedings | 935(M) | 5.1-1[4] |
| Citation and interpretation - Citation | 867 | 1.0 |
| Citation and interpretation - Definitions | 867 - 871 | 1.1 |
| Client - Accounting and delivery - Preservation of client’s property - Account for property and deliver on order or at end of retainer | 919(M) | 3.5-6 |
| Client - Agreement on guilty plea - Discussion with prosecutor | 941(M) | 5.1-7 |
| Client - Client under disability | 887(T) | 3.2-9 |
| Client - Definition | 867(M) | 1.1-1 |
| Client - Dishonesty or Fraud - Lawyer shall not - Relationship to client | 883(B) | 3.2-7 |
| Client - Files, care of - Details | 918(B) | 3.5-2[2] |
| Client - Guilt, admission of - Duty of Defence | 936(T) | 5.1-1[10] |
| Client - Guilty plea - Lawyer as advocate | 941(M) | 5.1-8 |
| Client - Lacking Capacity - Disclosure of confidential information - Authority to do so may be inferred where client lacking capacity - Factors to consider | 891(M) | 3.3-1[10] |
| Client - Preservation of property | 918(M) - 919(M) | 3.5-2 - 3.5-7 |
| Client - Property - Clear identification of and distinguish from own property | 919(T) | 3.5-4 |
| Client - Property - Identifying client’s property - Preservation of client’s property - Maintain records | 919(T) | 3.5-5 |
| Client - Property, accounting and delivery - Tribunal if unsure of recipient of client property | 919(M) | 3.5-7 |
| Client - Property, care of - Careful and prudent owner | 918(M) | 3.5-2 |
| Client - Property, Notification of receipt of - Prompt | 919(T) | 3.5-3 |
| Client - Under disability or minority - Quality of service | 887(T) | 3.2-9 |
| Client - Withdrawal from representation | 924(T) - 928(M) | 3.7-1 -  3.7-10 |
| Client Funds Held in Trust, Appropriation of - For Fees - Only as permitted by *Law Society Act* | 923(B) | 3.6-10 |
| Client instructions violate LSUC rules or by-laws - Mandatory withdrawal | 926(B) | 3.7-7(b) |
| Client involved in illegal acts, avoid - Quality of service | 884(T) | 3.2-7[2] |
| Client is an organization - Quality of service | 881(B) | 3.2-3 |
| Client is an organization - Quality of service - May accept joint retainer | 881(B) | 3.2-3[2] |
| Client of minority - Quality of service | 887(T) | 3.2-9 |
| Client property - Identifying | 919(T) | 3.5-4 - 3.5-5 |
| Client relations - Dealing with an unrepresented person on the client’s behalf - Person must be made to understand that their interests will not be protected by lawyers | 965(B)  -  966(T) | 7.2-9 |
| Client under age of majority - Quality of Service | 887(T) | 3.2-9 |
| Client with diminished capacity - Quality of service | 887(T) | 3.2-9 |
| Client, has choice - Withdrawal from representation - Lawyer leaving firm | 924(B) | 3.7-1[4] |
| Clients - Borrowing from - Conflicts of interest - Must not unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Clients - Not to do business with unless fair and reasonable, consent, independent legal advice - Conflicts of interest | 912(B) | 3.4-28 |
| Clients - Transactions with - Conflicts of Interest - Require (a) disclosure of conflict (b) independent legal advise (c) consent | 913(M) | 3.4-29 |
| Communication - Inadvertent | 966(T) | 7.2-10 |
| Communication during trial - Relations with jurors | 944(B) - 945(T) | 5.5-4 |
| Communication with represented person - Allowed - Second Opinion | 961(M) | 7.2-7 |
| Communication with the public allowed - Obligation to the client | 969(M) | 7.5-1 |
| Communication with witnesses giving evidence - Cross-examination | 943(M) | 5.4-2(b-c.3) |
| Communication with witnesses giving evidence - Examination-in-chief | 943(T) | 5.4-2(a) |
| Communication with witnesses giving evidence - lawyer who will re-examine should not communicate between cross-exam and re-exam | 943(M) | 5.4-2(c.1) |
| Communication with witnesses giving evidence - Re-examination | 943(B) | 5.4-2(c.4) |
| Communications - Abusive communications, prohibition | 960(T) | 7.2-4 |
| Communications - Exception to prohibition on represented corporation or organization - Consent of legal practitioner | 962(M) | 7.2-8.1 |
| Communications - Interference with right to fair trial or hearing, shall not communicate | 970(M) | 7.5-2 |
| Communications - Jurors, prohibition on communications before trial | 944(T) | 5.5-1 |
| Communications - Jurors, prohibition on communications during trial | 944(B) | 5.5-4 |
| Communications - Lawyer - Reasonable Promptness | 960(B) | 7.2-5 |
| Communications - Public appearances | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Communications - Public statements | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Communications - Punctuality in fulfilling commitments | 960(B) | 7.2-5 |
| Communications - Reasonably prompt answer | 960(B) | 7.2-5 |
| Communications - Represented corporation or organization, prohibition | 962(T) | 7.2-8 |
| Communications - Represented person, prohibition | 960(B) - 961(T) | 7.2-6 |
| Communications - Responsibility to lawyers and others | 960(M) | 7.2-4 - 7.2-5 |
| Communications - Witness giving evidence | 943(T) | 5.4-2 |
| Communications - Witness, Interviewing | 942(B) | 5.3-1 |
| Communications before trial - Prohibition on communicating with jurors | 944(T) | 5.5-1 |
| Communications before trial - Relations with jurors | 944(T) | 5.5-1 |
| Communications from Law Society - Relationship to Law Society - Lawyer shall reply promptly | 957(T) | 7.1-1 |
| Communications with a represented corporation or organization - Responsibility to lawyers and others | 962(T) -  965(B) | 7.2-8 - 7.2-9 |
| Communications with media - Interference with right to fair trial or hearing | 970(M) | 7.5-2 |
| Communications with represented person - Responsibility to lawyers | 960(B) - 961(T) | 7.2-6 - 7.2-6A |
| Communications with represented person prohibition - Exception - Limited scope retainer | 961(T) | 7.2-6A |
| Communications with witnesses giving evidence | 943(T) - 944(T) | 5.4-2 |
| Communications, inadvertent - Responsibility to lawyers and others | 966(T) | 7.2-10 |
| Competence | 874(T) | 3.1 |
| Competence - Ability and capacity | 875(T) | 3.1-2[1] |
| Competence - Advice from non-licensees | 877(T) | 3.1-2[11] |
| Competence - Advice on non-legal matters - Clearly distinguish from legal advice | 877(T) | 3.1-2[10] |
| Competence - Avoid bold or over-confident assurances | 876(B) | 3.1-2[9] |
| Competence - Competent lawyer - Definition | 874(T) | 3.1-1 |
| Competence - Conduct that interferes with competence - Refrain from | 877(M) | 3.1-2[13] |
| Competence - Consequences of incompetence | 877(M) | 3.1-2[14] |
| Competence - Declining to act for client | 876(T) | 3.1-2[6] |
| Competence - Deficiencies - List of three | 877(B) | 3.1-2[15.1] |
| Competence - Degree of proficiency - Often that of general practitioner, sometimes expertise | 875(M) | 3.1-2[4] |
| Competence - Effective communication | 876(B) | 3.1-2[8.1] |
| Competence - Ethical and legal principles | 875(M) | 3.1-2[2] |
| Competence - Failure to meet standards of professional competence - If three deficiencies | 877(B) | 3.1-2[15.1] |
| Competence - Incompetence, negligence and mistakes | 877(B) | 3.1-2[15] |
| Competence - Investigate in sufficient detail - Opinion | 876(B) | 3.1-2[8] |
| Competence - Lacking competence | 876(T) | 3.1-2[6] |
| Competence - Limited scope retainer | 876(M) | 3.1-2[7A] |
| Competence - Relevant factors | 874(B) | 3.1-2[3](a-e) |
| Competence - Relevant knowledge, skills and attributes | 874(T) - 875(M) | 3.1-1(a-k) |
| Competence - Seeking external advice | 876(M) | 3.1-2[7] |
| Competence - Shall not be compromised by outside interests | 968(T) | 7.3-1 |
| Competence - Specify facts, circumstances, and assumptions - Opinion | 876(B) | 3.1-2[8] |
| Competence - Standard of legal services | 877(B) | 3.1-2 |
| Competence - Timely service | 877(T) | 3.1-2[12] |
| Competence - Undertaking a matter | 875(T) | 3.1-2[5] |
| Competence, carefully assess - Limited scope retainer - Diminished capacity | 879(M) | 3.2-1A.1[5.2] |
| Competence, not - Mandatory withdrawal | 926(B) | 3.7-7(c) |
| Competent lawyer - Definition | 874(T) | 3.1-1 |
| Competent lawyer - Relevant knowledge, skills and attributes | 874(T) - 875(M | 3.1-1(a-k) |
| Compliance with rules - Complying with the letter and spirit of rules | 875(T) | 3.1-1(g) |
| Compliance with these Rules - Responsibility in multi-discipline practices | 975(T) | 7.8.1-1 |
| Complying with letter and spirit of rules - Competence | 875(T) | 3.1-1(g) |
| Compromise or settlement - Encouraging - Quality of service | 882(M) | 3.2-4 |
| Conduct unbecoming a barrister or solicitor - Discipline | 975(M) | 7.8.2-3 |
| Conduct unbecoming a lawyer - Definition | 868(M) | 1.1-1 |
| Confidence - Loss of - Optional withdrawal from representation by lawyer | 925(T) | 3.7-2 |
| Confidential information - Disclosure only if - (a) authorized by client (b) required by law (c) required by LSUC (d) permitted by Rules 3.3-2 to 3.3-6 | 889(B) | 3.3-1  (a) - (d) |
| Confidential information - Disclosure only if - Permitted by Rules 3.3-2 to 3.3-6 | 889(B) | 3.3-1(d) |
| Confidential information - Disclosure only if - Required by law | 889(B) | 3.3-1(b) |
| Confidential information - Disclosure only if - Required by Law Society | 889(B) | 3.3-1(c) |
| Confidential information - Disclosure upon change of employment - Consent required if prejudice, breach of privilege | 894(B) | 3.3-7[6] |
| Confidential information - Disclosure upon law firm transfer - Consent required if prejudice, breach of privilege | 894(B) | 3.3-7[6] |
| Confidential information - Lawyer transferring between firms - Non lawyer not to disclose | 911(M) | 3.4-23(b) |
| Confidential information - Not to be divulged except under (a) - (d) - Confidentiality | 889(B) | 3.3-1 |
| Confidentiality | 889(B) | 3.3 |
| Confidentiality - Disclosure - Avoid gossip and indiscreet conversations | 890(B) | 3.3-1[8] |
| Confidentiality - Disclosure - Collection of fees | 893(B) | 3.3-5 |
| Confidentiality - Disclosure - Decline employment to avoid improper disclosure | 890(B) | 3.3-1[6] |
| Confidentiality - Disclosure - Defend against allegations of professional misconduct | 893(M) | 3.3-4 |
| Confidentiality - Disclosure - Prevent bodily harm - Factors to consider | 882(M) | 3.3-3[3] |
| Confidentiality - Disclosure - Prevent bodily harm - Psychological harm = bodily harm | 882(M) | 3.3-3[2] |
| Confidentiality - Disclosure - Prevent imminent risk of death or serious bodily harm  SEE 3.3-3[2]-[3] | 882(T)  882(M) | 3.3-3  3.3-3[2]-[3] |
| Confidentiality - Disclosure - Procedures following disclosure under Rule 3.3-3 - Written note with 3 requirements | 892(M) | 3.3-3[5] |
| Confidentiality - Disclosure - Required by law | 889(B)  891(B) | 3.3-1(b)  3-3-1.1 |
| Confidentiality - Disclosure - Sole practitioners - Risk of inadvertent disclosure | 890(B) | 3.3-1[7] |
| Confidentiality - Disclosure of confidential information - Authority to do so may be inferred where client lacking capacity - Factors to consider | 891(M) | 3.3-1[10] |
| Confidentiality - Disclosure of information - Transferring between law firms - Only to determine conflicts  SEE 3.3-7 [3] - [4] - Only names of persons and entities involved, to as few lawyers as possible | 893(B)  894(M) | 3.3-7 |
| Confidentiality - Disclosure only if - Authorized by Client | 889(B) | 3.3-1(a) |
| Confidentiality - Disclosure to another lawyer to secure legal advice | 893(B) | 3.3-6 |
| Confidentiality - Duty broader than solicitor-client privilege | 890(T) | 3.3-1[2] |
| Confidentiality - Duty survives lawyer-client relationship | 890(T) | 3.3-1[3] |
| Confidentiality - Inferring authority from client to disclose | 891(M) | 3.3-1[9] |
| Confidentiality - Joint retainer - Lending client and borrowing client - Mortgage or loan | 904(M) | 3.4-16 |
| Confidentiality - Joint retainers - Must advise | 899(B) | 3.4-5 |
| Confidentiality - Justified or permitted disclosure | 891(B) - 893(B) | 3.3-1.1 to 3.3-7 |
| Confidentiality - Literary works - Consent required | 891(B) | 3.3-1[11.1] |
| Confidentiality - Mediation, lawyer as mediator | 946(B) | 5.7-1 |
| Confidentiality - Obligation to disclose pursuant to rules 5.5-2, 5.5-3 and 5.6-3 | 891(M) | 3.3-1[11] |
| Confidentiality - Organization as the client - Operation of rules re: misconduct - Lawyer as whistle-blower  SEE 3.2-8 Whistleblowing steps | 893(T)  885(B) | 3.3-3[5.1]  3.2-8 |
| Confidentiality - Preliminary client meeting - Conflict | 890(M) | 3.3-1[4] |
| Confidentiality - Prohibition on benefiting from a client’s confidential information | 891(B) | 3.3-1[11.1] |
| Conflict of interest - Consent - Essential element is disclosure | 898(T) | 3.4-2[1] |
| Conflict of interest - Definition | 868(M) | 1.1-1 |
| Conflict of interest - Definition - Substantial risk - Significant and plausible even if not certain or probable | 895(M) | 3.4-1[3] |
| Conflict of interest - Definition - Where substantial risk that representation adversely affected by own interest, duties to other clients or persons  SEE Rule 1.1-1 Definition | 895(T)  863(M) | 3.4-1[1] |
| Conflict of interest - Disclosure - Full and fair disclosure in sufficient time of all relevant information - Must ensure understanding | 898(T) | 3.4-2[2] |
| Conflict of interest - Disclosure of confidential information to determine whether exist - Transfer or change of employment  SEE 3.3-7 [3] - [4] - Only names of persons and entities involved, to as few lawyers as possible | 893(B)  894(M) | 3.3-7 |
| Conflict of interest - Guarantees by lawyer permitted if - Business venture with client - Must (i) comply with Conflict rules 3.4 (ii) independent legal representation | 917(T) | 3.4-35(c) |
| Conflict of interest - Independent legal advice - Not required | 898(M) | 3.4-2[2A] |
| Conflict of interest - Informing client of error or omission on part of lawyer - Disclosure | 940(M) | 5.1-4 |
| Conflict of interest - Lawyer shall not act where | 895(T) | 3.4-1 |
| Conflict of interest - Mortgage or loan - Not to sell or arrange for clients - Except in accordance w/ skill of lawyer | 916(B) | 3.4-33.1(c) |
| Conflict of interest - Personal Interest | 895(B) | 3.4-1[4] - [5] |
| Conflict of interest - Personal interest - Close personal relationship w/ client, sexual or other | 895(B) | 3.4-1[4] |
| Conflict of interest - Personal Interest - Own, partner or associate, family member has material direct or indirect financial interest | 895(B) | 3.4-1[4] |
| Conflict of Interest - Personal interest of one lawyer not imputed to firm | 895(B) | 3.4-1[5] |
| Conflict of interest - Pro bono services - Cease and not to seek waiver of conflict | 905(B) | 3.4-16.5 |
| Conflict of interest - Pro bono services - Confidential information of one lawyer not imputed to associates or partners | 906(B) | 3.4-16.6[5] |
| Conflict of interest - Protects duty of loyalty | 895(T) | 3.4-1[2] |
| Conflict of interest - Public office | 968(B) | 7.4-1 |
| Conflicts from transfer between law firms - Application of rule | 908(T) | 3.4-18 |
| Conflicts from transfer between law firms - Confidential information - Disqualification unless | 909(M) | 3.4-20 |
| Conflicts from transfer between law firms - Conflicts of interest | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Conflicts from transfer between law firms - Disqualification of new law firm, unless | 909(M) | 3.4-20 |
| Conflicts from transfer between Law Firms - Government lawyer exempt if same employer | 909(T) | 3.4-19 |
| Conflicts from transfer between law firms - Matter, definition | 907(B) | 3.4-17 |
| Conflicts from transfer between law firms - Matters to consider | 907(B) - 911(B) | 3.4-17 - 3.4-23 |
| Conflicts from transfer between law firms - New firm not to discuss unless consent | 911(T) | 3.4-22 |
| Conflicts of interest - Acceptable mortgage or loan transactions | 916(M) | 3.4-33.1[1] |
| Conflicts of interest - Acting against former clients, prohibition - Unless consent | 902(T) | 3.4-10 |
| Conflicts of interest - Acting for borrower and lender | 903(B) - 904(M) | 3.4-12 - 3.14-16 |
| Conflicts of interest - Acting for borrower and lender - Definition of material information | 904(M) | 3.4-15[1] |
| Conflicts of interest - Acting for borrower and lender - Permitted if | 904(T) | 3.4-14 |
| Conflicts of interest - Acting for borrower and lender - Prohibition - Mortgage or loan | 903(B) | 3.4-12 |
| Conflicts of interest - Acting for transferor and transferee in transfers of title | 907(M) | 3.4-16.7 - 3.4-16.9 |
| Conflicts of interest - Advance consent - General and open-ended usually ineffective - Where experienced and informed likely effective - Must be recorded | 898(B) - 899(T) | 3.4-2[4] - [5] |
| Conflicts of interest - Affiliated entities - Search system for conflicts required | 903(M) | 3.4-11.3 |
| Conflicts of interest - Affiliations between lawyers and affiliated entities | 902(B) - 903(M) | 3.4-11.1 - 3.4-11.3 |
| Conflicts of interest - Arising from duties to other persons | 896(T) | 3.4-1[11] |
| Conflicts of interest - Borrowing from client - Spousal interest in borrowing entity - Independent legal representation required | 913(M) | 3.4-29 |
| Conflicts of interest - Borrowing from clients - Must not unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Conflicts of interest - Certificate of independent legal advice w/ signature required - Where transaction between client and another lawyer | 913(M) | 3.4-29 |
| Conflicts of interest - Client borrowing money - Must (a) disclose conflict (b) independent legal advice (c) consent | 913(M) | 3.4-29 |
| Conflicts of interest - Conflicts from transfer between law firms - Interpretation and application of rule | 907(B) - 909(T) | 3.4-17 - 3.4-19 |
| Conflicts of interest - Conflicts from transfer between law firms | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Conflicts of interest - Consent in advance - General and open-ended usually ineffective - Where experienced and informed likely effective - Must be recorded | 898(B) - 899(T) | 3.4-2[4] - [5] |
| Conflicts of interest - Consent required - Affiliated entities | 903(M) | 3.4-11.2 |
| Conflicts of interest - Current client conflicts | 896(T) | 3.4-1[6] - [9] |
| Conflicts of interest - Current client conflicts - Applies to associations | 896(M) | 3.4-1[8] |
| Conflicts of interest - Current client conflicts - Bright line rule - Cannot act directly adverse to immediate legal interests of current client w/o client’s consent | 896(T) | 3.4-1[7] |
| Conflicts of interest - Current client conflicts - Examples | 896(T) | 3.4-1[6] |
| Conflicts of interest - Definition | 868(M) | 1.1-1 |
| Conflicts of interest - Disclosure - Affiliated entities | 902(B) - 903(T) | 3.4-11.1 |
| Conflicts of interest - Disclosure of material info - If act for borrower and lender in mortgage or loan transaction | 904(M) | 3.4-15 |
| Conflicts of interest - Dispute - Cannot act for opposing parties | 899(M) | 3.4-3 |
| Conflicts of interest - Doing business with a client - Must not unless - Fair and reasonable, consent and independent legal advice | 912(B) | 3.4-28 |
| Conflicts of interest - Doing business with a client - Not a conflict when lawyer paid remuneration for legal services | 913(T) | 3.4-28[2] |
| Conflicts of interest - Former client conflicts | 896(B) | 3.4-1[10] |
| Conflicts of interest - Guarantees by a lawyer | 916(B) | 3.4-34 - 3.4-35 |
| Conflicts of interest - Guarantees by lawyer - Permitted if - Benefit of non-profit or charity | 917(T) | 3.4-35(b) |
| Conflicts of interest - Independent legal advice - Definition | 869(M) | 1.1-1 |
| Conflicts of interest - Joint retainer - Exception to Rule 3.4-8 (contentious issue) | 901(B) | 3.4-9 |
| Conflicts of Interest - Joint retainer where continuing relationship with one party - Independent legal advise for other party | 901(T) | 3.4-6 |
| Conflicts of interest - Joint retainers - Consent required | 901(T) | 3.4-7 |
| Conflicts of interest - Joint retainers - Contentious issues - Shall advise (a) - (b) | 901(M) | 3.4-8 |
| Conflicts of interest - Joint retainers - Continuing relationship with one - Advise other to obtain independent legal advise | 901(T) | 3.4-6 |
| Conflicts of interest - Joint retainers - Independent legal advice - Not required but may advise | 900(T) | 3.4-5[1] |
| Conflicts of interest - Joint retainers - Must advise | 899(B) | 3.4-5 |
| Conflicts of interest - Joint retainers - Wills for spouses or partners - Must advise - 3 requirements | 900(T) | 3.4-5[2] |
| Conflicts of interest - Joint retainers vs. separate/competing retainers | 900(B) | 3.4-5[3.1] |
| Conflicts of interest - Judicial interim release - Accused Person Restrictions - Surety / Bail Prohibited | 918(T) | 3.4-40 |
| Conflicts of interest - Judicial interim release - Family relationship exception | 918(T) | 3.4-40 |
| Conflicts of interest - Judicial interim release - May be surety, deposit money or supervise if family | 918(T) | 3.4-40 |
| Conflicts of interest - Judicial interim release - Not to be surety, deposit money/security or supervise client  SEE 3.4-41 - Unless family | 918(T)  918(T) | 3.4-40 |
| Conflicts of interest - Law firm disqualification unless - Law firm transfers | 909(M) | 3.4-20 |
| Conflicts of interest - Law firm transfer | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Conflicts of interest - Law firm transfer - Government lawyers exempt if same employer | 909(T) | 3.4-19 |
| Conflicts of interest - Law firm transfers - Law firm disqualification unless | 909(M) | 3.4-20 |
| Conflicts of interest - Law firm transfers - No law firm disqualification where | 909(M) | 3.4-20 |
| Conflicts of interest - Lawyer can guarantee if (a) financial institution lender providing loan to spouse, parent or child (b) benefit of non-profit or charity (c) business venture with client | 917(T) | 3.4-35 |
| Conflicts of interest - Lawyer can't act for transferee and transferor | 907(M) | 3.4-16.7 |
| Conflicts of interest - Lawyers acting for transferor and transferee in transfers of title | 907(M) | 3.4-16.7 - 3.4-16.9 |
| Conflicts of interest - Lawyers cannot hold syndicated mortgages or loans in trust for client unless (i) reporting letter (ii) trust declaration (iii) copy of mortgage or security instrument | 915(M) | 3.4-33.1(a) |
| Conflicts of interest - Lawyers in loan or mortgage transactions | 913(M) | 3.4-29 |
| Conflicts of interest - Lawyers in loans or mortgages transactions - No advertising | 916(B) | 3.4-33.3 |
| Conflicts of interest - Lawyers in loans or mortgages transactions - Advertising Not Permitted | 916(B) | 3.4-33.3 |
| Conflicts of interest - Lawyers in loans or mortgages transactions - Disclose priority and relevant info | 916(M) | 3.4-33.2 |
| Conflicts of interest - Lending money to client - Consent required | 913(B) | 3.4-29(c) |
| Conflicts of interest - Lending money to client - Disclosure of conflict | 913(M) | 3.4-29(a) |
| Conflicts of interest - Lending money to client - Independent legal advice required | 913(M) | 3.4-29(b) |
| Conflicts of interest - Loan or mortgage transactions | 903(B) - 904(M) | 3.4-12 - 3.4-16 |
| Conflicts of interest - May act for borrower and lender if (exception to general prohibition) | 904(T) | 3.4-14 |
| Conflicts of interest - May act if consent from client - Informed and voluntary | 898(T) | 3.4-2 |
| Conflicts of interest - May borrow from client only if (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Conflicts of interest - Multi-discipline practice - Non-licensee partners must observe 3.4 Conflict rules | 905(T) | 3.4-16.1 |
| Conflicts of interest - Must not arrange or recommend client invest in syndicated mortgage or loan where lawyer investor, unless independent legal advice | 915(B) | 3.4-33.1(b) |
| Conflicts of interest - Non-licensee partners must observe r.4 Conflict rules - Multi-discipline practice | 905(T) | 3.4-16.1 |
| Conflicts of interest - Not to do business with unless fair and reasonable, consent, independent legal advice | 912(B) | 3.4-28 |
| Conflicts of interest - Ongoing duty to determine if exists throughout duration of retainer | 897(T) | 3.4-1[12] |
| Conflicts of interest - Other LSUC rules to consider | 897(M) | 3.4-1[13] |
| Conflicts of interest - Pro bono client - Definition | 905(M) | 3.4-16.2 |
| Conflicts of interest - Pro bono client - Short-term limited legal services | 905(M) | 3.4-16.2 - 3.4-16.6 |
| Conflicts of interest - Prohibition on acting for borrower and lender | 903(B) - 904(M) | 3.4-12 - 3.14-16 |
| Conflicts of interest - Prohibition on borrowing from clients - Unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Conflicts of interest - Prohibition on guarantees by a lawyer of client’s loan  SEE 3.4-35 - Guarantee allowed when | 917(T)  916(B) | 3.4-34 |
| Conflicts of interest - Prohibition on holding syndicated mortgages/ loans in trust for client - Unless (i) reporting letter (ii) trust declaration (iii) copy of mortgage or security instrument | 915(M) | 3.4-33.1(a) |
| Conflicts of interest - Representing both sides of a dispute - Prohibition | 899(M) | 3.4-3 |
| Conflicts of interest - Retaining jointly - Must advise | 899(B) | 3.4-5 |
| Conflicts of interest - Short-term legal services - Actual knowledge standard | 906(T) | 3.4-16.6[2] |
| Conflicts of interest - Short-term limited legal services | 905(M) | 3.4-16.2 - 3.4-16.6 |
| Conflicts of interest - Short-term limited legal services - Disclosure and determination whether additional services required | 905(B) | 3.4-16.6 |
| Conflicts of interest - Short-term limited legal services - Screening measures | 906(B) | 3.4-16.6[6] |
| Conflicts of interest - Testamentary instruments and gifts - Not to draft gift to self or associate unless family | 917(B) | 3.4-38 |
| Conflicts of interest - Testamentary instruments and gifts - Where clause to retain drafting lawyer - Must advise trustee non-binding | 917(B) | 3.4-38 |
| Conflicts of interest - Transactions with clients - Consent required | 913(B) | 3.4-29(c) |
| Conflicts of interest - Transactions with clients - Independent legal advice required | 913(M) | 3.4-29(b) |
| Conflicts of interest - Transactions with clients - Payment by share or interest - Must recommend independent legal advice | 913(M) | 3.4-29 |
| Conflicts of interest - Transactions with Clients - Require (a) disclosure of conflict (b) independent legal advise (c) consent | 913(M) | 3.4-29 |
| Conflicts of interest - Transactions with clients - Require (a) disclosure of conflict (b) independent legal advise (c) consent | 913(M) | 3.4-29 |
| Conflicts of interest - Transfer between law firms | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Conflicts of interest - Transfer between law firms - Rules triggered when | 908(T) | 3.4-18 |
| Conflicts of interest - Transferring between firms - Due diligence of non lawyers | 911(M) | 3.4-23 |
| Conflicts of interest - Transferring lawyer possesses information - New firm not to discuss unless consent | 911(T) | 3.4-22 |
| Conflicts of interest - Transfers of title - Acting for both the transferor and transferee | 907(M) | 3.4-16.7 - 3.4-16.9 |
| Conflicts of Interest - Transfers of title - Can represent both parties, if | 907(M) | 3.4-16.9 |
| Conflicts of interest - Transfers of title - Different lawyers at firm can represent | 907(M) | 3.4-16.8 |
| Conflicts of interests - Transactions with clients - Disclosure required | 913(M) | 3.4-29(a) |
| Consent - Acting against former client - Conflicts of interest - Another lawyer in firm may act if consent, no risk of disclosure to new lawyer | 902(M) -  903(T) | 3.4-11 |
| Consent - Conflict of interest - Essential element is disclosure | 898(T) | 3.4-2[1] |
| Consent - Definition | 868(B) | 1.1-1 |
| Consent - Disqualification - Where lawyer transferring law firms | 909(M) | 3.4-20 |
| Consent - Referral fees - Allowed if (a) reasonable and no fee increase to client (b) informed consent | 922(T) | 3.6-6 |
| Consent - Required if prejudice, breach of privilege - Disclosure of confidential info - Where transfer between law firms | 894(B) | 3.3-7[6] |
| Consent - Where conflict of interest - Informed and voluntary - May act if obtained | 898(T) | 3.4-2 |
| Consent in advance - Conflicts of interest - General and open-ended usually ineffective - Where experienced and informed likely effective - Must be recorded | 898(B) - 899(T) | 3.4-2[4] - [5] |
| Consent required - Audio recording - Responsibility to lawyers and others | 960(M) | 7.2-3 |
| Consent required - Confidential information - Literary works | 891(B) | 3.3-1[11.1] |
| Consent required - Doing business with a client - Conflicts of interest | 912(B) | 3.4-28 |
| Consent required - Joint retainer | 901(T) | 3.4-7 |
| Consent required- Affiliated entities - Conflicts of interest | 903(M) | 3.4-11.2 |
| Consent required- Affiliations between lawyers and affiliated entities | 903(M) | 3.4-11.2 |
| Consent, required - Division of fees - Between licensees in different firms - In proportion - Fees and disbursements | 922(T) | 3.6-5 |
| Consent, required - Lending money to client - Conflicts of interest | 913(B) | 3.4-29(c) |
| Consent, required - Transactions with clients - Conflicts of interest | 913(B) | 3.4-29(c) |
| Consequences of incompetence - Competence | 877(M) | 3.1-2[14] |
| Contingency fees and contingency fee agreements - Allowed, except in family, criminal and quasi-criminal | 921(M) | 3.6-2 |
| Contingent Fees - Allowed, except in family, criminal and quasi-criminal | 921(M) | 3.6-2 |
| Corporation, represented - Communications with | 962(T) -  965(B) | 7.2-8 - 7.2-9 |
| Counsel - Retired judge appearing as counsel | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Court date, not far enough - Criminal proceedings - Prohibition on withdrawal from representation for non-payment | 926(T) | 3.7-5 |
| Court facilities, security of - Lawyer and the administration of justice - shall give particulars | 946(M) | 5.6-3 |
| Courtesy - Agree to reasonable requests - Trial dates, procedural formalities, etc. | 960(M) | 7.2-1.1 |
| Courtesy - Duty of prosecutor | 939(B) | 5.1-3 |
| Courtesy - Good faith - Lawyer as advocate | 940(B) | 5.1-5 |
| Courtesy - In the course of litigation | 935(T) | 5.1-1 |
| Courtesy and good faith - Responsibility to other lawyers | 959(B) | 7.2-1 |
| Criminal proceedings - Withdrawal by Lawyer - Steps to take | 925(B) | 3.7-4 |
| Criminal proceedings - Withdrawal by lawyer other than non-payment - Insufficient time - Seek adjournment | 926(M) | 3.7-6(a) |
| Criminal proceedings - Withdrawal by lawyer other than non-payment - Insufficient time - Permission from court required | 926(M) | 3.7-6(b) |
| Criminal proceedings - Withdrawal from representation | 925(B) - 926(M) | 3.7-4 - 3.7-6 |
| Criticizing legal system - Lawyer and the administration of justice - criticisms should be bona fide and reasoned | 935(B) | 5.6-1[4] |
| Criticizing tribunals - Respect for the administration of justice | 945(M) | 5.6-1[3] |
| Cross-examination - Communication with witnesses giving evidence | 943(M) | 5.4-2(b-c.3) |
| Current client conflicts - Conflicts of interest | 896(T) | 3.4-1[6] - [9] |
| Current client conflicts - Conflicts of interest - Applies to associations | 896(M) | 3.4-1[8] |
| Current client conflicts - Conflicts of interest - Bright line rule - Cannot act directly adverse to immediate legal interests of current client w/o client’s consent | 896(T) | 3.4-1[7] |
| Current client conflicts - Conflicts of interest - Examples | 896(T) | 3.4-1[6] |
| Deadlines, meeting them - Quality of service | 878(T) | 3.2-1[6] |
| Dealing with unrepresented persons on client’s behalf - Person must be made to understand that their interests will not be protected by lawyers | 965(B)  -  966(T) | 7.2-9 |
| Decline representation - Making legal services available - Practice of law | 929(M) | 4.1-1[4] |
| Declining to act for client - Competence | 876(T) | 3.1-2[6] |
| Defence counsel - Duty of advocate | 936(T) | 5.1-1[9] |
| Defence counsel, duty as - Lawyer as advocate | 936(T) | 5.1-1[9] |
| Deficiencies - List of three - Competence | 877(B) | 3.1-2[15.1] |
| Definition - Affiliated entity | 867(T) | 1.1-1 |
| Definition - Affiliation | 867(T) | 1.1-1 |
| Definition - Associate | 867(M) | 1.1-1 |
| Definition - Client | 867(M) | 1.1-1 |
| Definition - Competent Lawyer | 874(T) | 3.1-1 |
| Definition - Conduct unbecoming a lawyer | 868(M) | 1.1-1 |
| Definition - Conflict of interest | 868(M) | 1.1-1 |
| Definition - Conflict of interest - Where substantial risk that representation adversely affected by own interest, duties to other clients or persons  SEE Rule 1.1-1 Definition | 895(T)  863(M) | 3.4-1[1] |
| Definition - Consent | 868(B) | 1.1-1 |
| Definition - Incriminating Physical Evidence | 938(T) | 5.1-2A [1] |
| Definition - Independent legal advice | 869(M) | 1.1-1 |
| Definition - Independent legal representation | 869(B) | 1.1-1 |
| Definition - Interprovincial law firm | 869(B) | 1.1-1 |
| Definition - Law firm | 869(B) | 1.1-1 |
| Definition - Law Society | 870(T) | 1.1-1 |
| Definition - Lawyer | 870(T) | 1.1-1 |
| Definition - Legal Practitioner | 870(M) | 1.1-1 |
| Definition - Lending client | 903(B) | 3.4-13 |
| Definition - Licensee | 870(M) | 1.1-1 |
| Definition - Limited Scope Retainer | 870(M) | 1.1-1 |
| Definition - Marking legal services | 930(M) | 4.2-0 |
| Definition - Matter, where lawyer transferring between law firms | 907(B) | 3.4-17 |
| Definition - Organization re Rule 7.2-8 | 962(M) | 7.2-8.2 |
| Definition - Paralegal | 870(B) | 1.1-1 |
| Definition - Professional Misconduct | 870(B) | 1.1-1 |
| Definition - Related persons - Lawyers in loan or mortgage transactions | 913(M) | 3.4-29 |
| Definition - Related persons, where lawyer doing business with a client | 913(M) | 3.4-29 |
| Definition - Retired appellate judge | 972(M) | 7.7-1.1 |
| Definition - Retired judge in Rule 7.1-1.1 | 972(M) | 7.7-1.1 |
| Definition - Sexual harassment | 951(M) | 6.3-0 |
| Definition - Short term legal service | 905(M) | 3.4-16.2 |
| Definition - Society - Law Society | 870(T) | 1.1-1 |
| Definition - Substantial risk - Significant and plausible even if not certain or probable - Conflict of interest | 895(M) | 3.4-1[3] |
| Definition - Substantial risk of conflict of interest | 868(M) | 1.1-1 |
| Definition - Syndicated mortgage | 913(M) | 3.4-29 |
| Definition - Syndicated mortgage, where lawyer doing business with a client | 913(M) | 3.4-29 |
| Definition - Tribunal | 871(T) | 1.1-1 |
| Degree of proficiency - Often that of general practitioner, sometimes expertise - Competence | 875(M) | 3.1-2[4] |
| Delegation -  SEE: Supervision | 948(T)  948(T) | 6.1-1(b)  6.1-1(b) |
| Dignity - duty to protect dignity of individuals | 872(B) | 2.1-1[4.1] |
| Diminished capacity, client with - Quality of service | 887(T) | 3.2-9 |
| Disability or minority - Relationship with client under a disability | 887(T) | 3.2-9 |
| Disbarred Persons - Working with | 970(M) | 7.6-1.2 |
| Disbursement - Fair, Reasonable and Timely  SEE 3.6-1[1] - What is fair and reasonable | 919(B)  920(T) | 3.6-1 |
| Disbursements and fees | 919(T) - 924(T) | 3.6-1 - 3.6-11 |
| Discharged by client - Mandatory withdrawal | 926(B) | 3.7-7(a) |
| Discipline - Authority | 975(M) | 7.8.2-1 |
| Discipline - Conduct unbecoming a lawyer | 975(M) | 7.8.2-3 |
| Discipline - Disciplinary authority | 975(M) | 7.8.2-1 |
| Discipline - Professional misconduct | 975(M) | 7.8.2-2 |
| Disclose interest - Interviewing witnesses | 942(B) | 5.3-1 |
| Disclosure - Affiliated entities - Conflicts of interest | 902(B) - 903(T) | 3.4-11.1 |
| Disclosure - Authorized by client - Confidentiality | 889(B) | 3.3-1(a) |
| Disclosure - Collection of fees | 893(B) | 3.3-5 |
| Disclosure - Confidential information - To the extent necessary to determine conflicts of interest - Upon change of employment  SEE 3.3-7 [3] - [4] - Only names of persons and entities involved, to as few lawyers as possible | 893(B)  894(M) | 3.3-7 |
| Disclosure - Confidential information - Upon change of employment - Consent required if prejudice, breach of privilege | 894(B) | 3.3-7[6] |
| Disclosure - Confidential information - Upon law firm transfer - Consent required if prejudice, breach of privilege | 894(B) | 3.3-7[6] |
| Disclosure - Confidentiality - Procedures following disclosure under Rule 3.3-3 - Written note with 3 requirements | 892(M) | 3.3-3[5] |
| Disclosure - Confidentiality - Sole practitioners - Risk of inadvertent disclosure | 890(B) | 3.3-1[7] |
| Disclosure - Conflict of Interest - Essential element of consent | 898(T) | 3.4-2[1] |
| Disclosure - Conflict of Interest - Must be full and fair disclosure in sufficient time of all relevant information - Must ensure understanding | 898(T) | 3.4-2[2] |
| Disclosure - Decline employment to avoid improper disclosure - Confidentiality | 890(B) | 3.3-1[6] |
| Disclosure - Defend against allegations of professional misconduct | 893(M) | 3.3-4 |
| Disclosure - Discovery obligations - Lawyer as advocate | 940(T) | 5.1-3.1 |
| Disclosure - Error or omission - Lawyer as advocate | 940(M) | 5.1-4 |
| Disclosure - Improper conduct of juror | 944(M) | 5.5-3 |
| Disclosure - Inferring authority from client - Confidentiality | 891(M) | 3.3-1[9] |
| Disclosure - Juror interests | 944(M) | 5.5-2 |
| Disclosure - Justified or permitted - Confidentiality | 891(B) - 893(B) | 3.3-1.1 to 3.3-7 |
| Disclosure - Lawyer transferring between firms - Non lawyer not to disclose | 911(M) | 3.4-23(b) |
| Disclosure - Obligation to disclose pursuant to rules 5.5-2, 5.5-3 and 5.6-3 - Confidentiality | 891(M) | 3.3-1[11] |
| Disclosure - Of retainer or consultation - Prohibited - Confidentiality | 890(M) | 3.3-1[5](a-b) |
| Disclosure - Otherwise permitted by Rules 3.3-2 to 3.3-6 - Confidentiality | 889(B) | 3.3-1(d) |
| Disclosure - Prevent imminent risk of death or serious bodily harm  SEE 3.3-3[2]-[3] | 882(T)  882(M) | 3.3-3  3.3-3[2]-[3] |
| Disclosure - Required by law - Confidentiality | 889(B)  891(B) | 3.3-1(b)  3.3-1.1 |
| Disclosure - Required by Law Society - Confidentiality | 889(B) | 3.3-1(c) |
| Disclosure - Security of court facilities - lawyer must have reasonable grounds to believe that a dangerous situation is likely to occur and give particulars | 946(M) | 5.6-3 |
| Disclosure - To another lawyer to secure legal advice - Confidentiality | 893(b) | 3.3-6 |
| Disclosure of confidential information - Consent required - Literary works - Confidentiality | 891(B) | 3.3-1[11.1] |
| Disclosure of error or omission - Lawyer as advocate | 940(M) | 5.1-4 |
| Disclosure of information - Relations with jurors | 944(M) | 5.5-2 - 5.5-3 |
| Disclosure of interest - Seeking legislative or administrative change - Lawyer and the administration of justice | 946(T) | 5.6-2 |
| Disclosure, and determination whether additional services required - Short-term limited legal services - Conflicts of interest | 905(B) | 3.4-16.6 |
| Disclosure, authority for - Confidential information - May be inferred where client lacking capacity - Factors to consider | 891(M) | 3.3-1[10] |
| Disclosure, of conflict - Lending money to client - Conflicts of interest | 913(M) | 3.4-29(a) |
| Disclosure, of conflict required - Transactions with clients - Conflicts of interest | 913(M) | 3.4-29(a) |
| Disclosure, of material info - Required if act for borrower and lender in mortgage or loan transaction | 904(M) | 3.4-15 |
| Disclosure, of priority and relevant info - Lawyers in loans or mortgages transactions - Conflicts of interest | 916(M) | 3.4-33.2 |
| Discourage useless legal proceedings - Quality of service | 882(M) | 3.2-4 |
| Discovery obligations | 940(T) | 5.1-3.1 |
| Discovery obligations - Assist client in full disclosure | 940(T) | 5.1-3.1(b) |
| Discovery obligations - Explain the need and duty to client | 940(T) | 5.1-3.1(a) |
| Discovery obligations - Lawyer as advocate | 940(T) | 5.1-3.1 |
| Discovery obligations - No frivolous requests or demands | 940(M) | 5.1-3.1(c) |
| Discovery obligations - Prohibition on frivolous requests - Lawyer as advocate | 940(M) | 5.1-3.1(c) |
| Discrimination - Employment practices | 955(T) -  956 (T) | 6.3.1-3 |
| Discrimination - Relationship to students, employees and others | 952(B) - 956(T) | 6.3.1-1 - 6.3.1-3 |
| Discrimination - Services | 954(B) | 6.3.1-2 |
| Discrimination - Special responsibility of lawyer | 952(B) - 953(T) | 6.3.1-1 |
| Dishonest conduct - Encouraging client to report | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Dishonesty, fraud etc. by client or others - Quality of service | 883(B) | 3.2-7 |
| Dishonesty, fraud, etc. when client an organization - Steps to take - Quality of service | 885(T) - 886(B) | 3.2-8  (a) - (c) |
| Dishonourable or questionable conduct - Integrity | 872(M) | 2.1-1[3] |
| Diskette - Electronic registration of title documents - Relationship to students, etc. | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Dispute - Conflicts of interest - Cannot act for opposing parties | 899(M) | 3.4-3 |
| Diversity - duty to protect diversity of community | 872(B) | 2.1-1[4.1] |
| Division of fees - Between licensees in different firms - In proportion - With consent - Fees and disbursements | 922(T) | 3.6-5 |
| Doing business with a client - Applicable transactions - Conflicts of interest | 913(T) | 3.4-28[1] |
| Doing business with a client - Conflicts of interest - Must not unless fair and reasonable, consent and independent legal advice | 912(B) | 3.4-28 |
| Doing business with a client - No advertising for investment where lawyer has interest | 916(B) | 3.4-33.3 |
| Doing business with a client - Not a conflict when lawyer paid remuneration for legal services - Conflicts of interest | 913(T) | 3.4-28[2] |
| Doing business with a client - Payment by share or interest - Must recommend independent advice | 913(M) | 3.4-29 |
| Duties - Accepting Undertakings - Shall fulfill | 966(B) - 967(B) | 7.2-11 |
| Duties - Articling student | 951(T) | 6.2-3 |
| Duties - Principal | 950(B) | 6.2-2 |
| Duties of principal - Relationship to students | 950(B) | 6.2-2 |
| Duties of principal - Supervision of students, employees and others | 948(T) | 6.1-1 |
| Duties to other persons - Conflicts of interest | 896(T) | 3.4-1[11] |
| Duty - Defence counsel | 936(T) | 5.1-1[9] |
| Duty - Fulfill undertakings and trust conditions - Shall fulfill | 967(B) | 7.2-11 |
| Duty - Lawyer as advocate - Courtesy | 935(T) | 5.1-1 |
| Duty - Lawyer, encourage client to report misconduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Duty - Lawyer, report misconduct | 957(B) - 958(M) | 7.1-3 |
| Duty - Lawyer, report offences | 959(M) | 7.1-4.4 |
| Duty - To avoid conflicts of interest | 895(T) | 3.4-1 |
| Duty as prosecutor - Lawyer as advocate | 939(B) | 5.1-3 |
| Duty of Loyalty- Conflicts of interest not to impair | 895(T) | 3.4-1[2] |
| Duty of successor licensee - Former licensee must approve, withdraw or be discharged | 928(M) | 3.7-10 |
| Duty to provide courteous, thorough and prompt service - Quality of service | 878(T) | 3.2-1 |
| Duty to report certain offences - By-law 8 | 959(M) | 7.1-4.4 |
| Duty to Report Misconduct - Law Society | 957(B) | 7.1-3 |
| Duty to report misconduct - Relationship to Law Society and other lawyers | 957(B) - 958(M) | 7.1-3 |
| Duty to supervise - Students, employees and others | 948(T) | 6.1-1(b) |
| E-Reg Documents | 949(M) | 6.1-5 - 6.1-6 |
| E-Reg documents, signing - Relationship to students, employees and others | 950(M) | 6.1-6.2 |
| Effective communication - Competence | 876(B) | 3.1-2[8.1] |
| Efficient and convenient manner - Making legal services available to the public - Practice of law | 929(T) | 4.1-1 |
| Electronic registration of title documents - Relationship to students, employees and others | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Employees, students, and others - Relationship to | 948(T) - 956(M) | 6.1-1 - 6.3.1-3 |
| Employment practices - Discrimination | 955(T) -  956 (T) | 6.3.1-3 |
| Employment practices - Responsibility to not discriminate | 955(T) | 6.3.1-3 |
| Encouraging client to report dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Encouraging compromise or settlement - criminal, quasi-criminal and regulatory proceedings | 882(M) | 3.2-4[1.1] |
| Encouraging compromise or settlement - Quality of service | 882(M) | 3.2-4 |
| Encouraging compromise or settlement - Unrepresented complainant | 882(M) | 3.2-4[1.2] |
| Encouraging respect for administration of justice | 945(T) | 5.6-1 |
| Enhance profession through activities - perception of lawyer-client relationship | 872(B) | 2.1-2[2] |
| Enhance profession through activities - Standards and reputation of legal profession | 872(B) | 2.1-2[1] |
| Equality of result - Discrimination - Differentiation, regard for personal characteristics | 952(B) - 956(T) | 6.3.1-1 - 6.3.1-3 |
| Error or omission - Co-operation with insurer | 974(B) | 7.8-3 |
| Error or omission - Independent legal advice, recommend | 973(M) | 7.8-1(b) |
| Error or omission - Informing client of error or omission promptly | 973(M) | 7.8-1(a) |
| Error or omission - Notify insurer | 973(B) | 7.8-2 |
| Error or omission - Responding to client’s claim | 975(T) | 7.8-4 - 7.8.5 |
| Error or omission - Stop acting for client | 973(M) | 7.8-1(c) |
| Error or Omission, Disclosure of - Advocacy | 940(M) | 5.1-4 |
| Error or omission, disclosure of - Lawyer as advocate | 940(M) | 5.1-4 |
| Error or omission, disclosure of - Notice of claim | 973(B) - 974(B) | 7.8-2 |
| Errors and omissions - Relationship to the Law Society and other lawyers | 973(T) - 975(B) | 7.8-1 - 7.8-5 |
| Ethical and legal principles - Competence | 875(M) | 3.1-2[2] |
| Evidence, communications with witnesses giving | 943(T) - 944(T) | 5.4-2 |
| Evidence, submission of - Lawyer as witness | 942(T) | 5.2-1 |
| Examination-in-chief - between exam and cross-exam - lawyer should not dicuss evidence | 943(T) | 5.4-2(a.2) |
| Examination-in-chief - Communication with witnesses giving evidence | 943(T) | 5.4-2(a) |
| Examination-in-chief - witness unsympathetic to examining lawyer - during exam, lawyer not examining may discuss evidence with witness | 943(T) | 5.4-2(a.1) |
| Exception - Communication with represented person - Limited scope retainer | 961(T) | 7.2-6A |
| Exception to no-sharing for multi-discipline practices and interprovincial and international law firms - fees and disbursements | 923(T) | 3.6-8 |
| Exception to prohibition against threatening regulatory action - Quality of service | 883(M) | 3.2-5.1 |
| Exception to Rule 3.2-5(b) - Quality of Service | 883(M) | 3.2-5.1 |
| Exception to Rule 3.2-7 (not to assist in fraud, crime) for *bona fide* test cases - Quality of service | 884(B) | 3.2-7[4] |
| Exception to Rule 3.4-8 (contentious issue) - Joint retainer - Conflicts of interest | 901(B) | 3.4-9 |
| Extra-professional or private activities - Integrity | 872(M) | 2.1-1[4] |
| Facilitating dishonesty, fraud, crime or illegal conduct - Shall not - Quality of service | 883(B) | 3.2-7.1 |
| Failure to meet professional competence | 876(T) | 3.1-2[6] |
| Failure to meet standards of professional competence - If three deficiencies - Competence | 877(B) | 3.1-2[15.1] |
| Fair and reasonable fees and disbursements | 919(B) | 3.6-1 - 3.6-1.1 |
| Fairness - Lawyer as advocate | 935(T) - 936(M) | 5.1-1 |
| Fees - Advertising | 933(M) | 4.2-2 |
| Fees - Advertising of fees | 933(M) | 4.2-2 |
| Fees - Appropriation of Client’s Funds Held in Trust - Only as permitted by *Law Society Act* | 923(B) | 3.6-10 |
| Fees - Appropriation of funds | 923(B) - 924(T) | 3.6-10 - 3.6-11 |
| Fees - Contingent fees - Allowed, except in family, criminal and quasi-criminal | 921(M) | 3.6-2 |
| Fees - Disbursements | 919(T) - 924(T) | 3.6-1 - 3.6-11 |
| Fees - Fair, Reasonable and Timely  SEE 3.6-1[1] - What is fair and reasonable | 919(B)  920(T) | 3.6-1 |
| Fees - Interest, thereon - Prohibited, except under *Solicitors Act* or law | 919(B) | 3.6-1.1 |
| Fees - Joint retainer - Equitable division unless agreement otherwise | 921(B) | 3.6-4 |
| Fees - Marketing of fees | 933(M) | 4.2-2 |
| Fees - Reasonableness and disclosure | 919(B) | 3.6-1 - 3.6-1.1 |
| Fees - Reduction - Repayment to client | 924(T) | 3.6-11 |
| Fees - Referral fees - Allowed if (a) reasonable and no fee increase to client (b) informed consent | 922(T) | 3.6-6 |
| Fees - Refund - Where reduction | 924(T) | 3.6-11 |
| Fees - Restriction on division and referral - Not with non-licensees  SEE 3.6-8 - Not applicable when  SEE 3.7-7[1] Does not prohibit | 922(M)  923(T)  922(B) | 3.6-7 |
| Fees and disbursements | 919(T) - 924(T) | 3.6-1 - 3.6-11 |
| Fees and disbursements - Appropriation of funds | 923(B) - 924(T) | 3.6-10 - 3.6-11 |
| Fees and disbursements - Assessment - Client should be informed of right to have accounts assessed | 921(T) | 3.6-1.1[4.1] |
| Fees and disbursements - Contingency fees and contingency fee agreements - Allowed, except in family, criminal and quasi-criminal | 921(M) | 3.6-2 |
| Fees and disbursements - Division of fees and referral fees - Not with non-licensees  SEE 3.6-8 - Not applicable when  SEE 3.7-7[1] Does not prohibit | 922(M)  923(T)  922(B) | 3.6-7 |
| Fees and disbursements - Exception to no-sharing for multi-discipline practices and interprovincial and international law firms | 923(T) | 3.6-8 |
| Fees and disbursements - Joint retainer - Equitable division unless agreement otherwise | 921(B) | 3.6-4 |
| Fees and disbursements - No hidden fees or fees from third parties - Unless disclosure and consent | 920(B) | 3.6-1.1[2] |
| Fees and disbursements - Payment and Appropriation of funds | 923(B) - 924(T) | 3.6-10 - 3.6-11 |
| Fees and disbursements - Separate on - Statement of account | 921(B) | 3.6-3 |
| Fees and disbursements, reasonable | 919(B) | 3.6-1 - 3.6-1.1 |
| Fees, non-payment - Withdrawal from representation - Unless prejudice | 925(M) | 3.7-3 |
| Files - Care of | 918(B) | 3.5-2[2] |
| Financial obligations - Lawyer meeting promptly | 957(M) | 7.1-2 |
| Financial obligations, meeting promptly - Relationship to Law Society and lawyers | 957(M) | 7.1-2 |
| Former client conflicts - Conflicts of interest | 896(B) | 3.4-1[10] |
| Fraudulent real estate transactions, identifying - Quality of service | 885(T) | 3.2-7[4.1]  (a) - (f) |
| Fulfill undertakings - Lawyer as advocate | 941(T) | 5.1-6 |
| Fulfill undertakings - Responsibility to lawyers and others | 966(B) - 967(B) | 7.2-11 |
| Fundamental quality - Integrity | 872(T) | 2.1-1[1] |
| Gifts and testamentary dispositions - Conflicts of interest - Not to draft gift to self or associate unless family | 917(B) | 3.4-38 |
| Gifts and testamentary dispositions - Conflicts of interest - Where clause to retain drafting lawyer - Must advise trustee non-binding | 917(B) | 3.4-38 |
| Good faith - Courtesy - Lawyer as advocate | 940(B) | 5.1-5 |
| Good faith - Duties of articling student | 951(T) | 6.2-3 |
| Good faith and courtesy - Responsibility to other lawyers | 959(B) | 7.2-1 |
| Guarantee - Of clients loan by lawyer - Prohibition - Conflict of interest  SEE 3.4-35 - Guarantee allowed when | 917(B)  916(B) | 3.4-34 |
| Guarantees by a lawyer - Conflicts of interest | 916(B) | 3.4-34 - 3.4-35 |
| Guarantees by lawyer - Permitted if - Benefit of non-profit or charity | 917(T) | 3.4-35(b) |
| Guarantees by lawyer - Permitted if - Business venture - Must (i) comply with Conflict rules 3.4 (ii) independent legal representation | 917(T) | 3.4-35(c) |
| Guarantees by lawyers allowed if - (a) financial institution lender providing loan to spouse, parent or child (b) benefit of non-profit or charity (c) business venture with client - Conflicts of interest | 917(T) | 3.4-35 |
| Guilt, admission of - Client - Duty of Defence | 936(T) | 5.1-1[10] |
| Guilty plea - Agreement on | 941(M-B) | 5.1-7 - 5.1-8 |
| Guilty Plea - discussion with prosecutor | 941(M) | 5.1-7 |
| Guilty plea - proper administration should not be sacrificed for expediency | 941(B) | 5.1-8[1] |
| Guilty plea - Requirements - Lawyer as advocate | 941(M) | 5.1-8 |
| Guilty plea - When can make agreement | 941(M-B) | 5.1-7 - 5.1-8 |
| Guilty plea, agreement on - Lawyer as advocate | 941(M-B) | 5.1-7 - 5.1-8 |
| Harassment - Sexual - Supervision of students, employees and others | 951(M) | 6.3-0 |
| Hidden fees - Prohibited, unless disclosure and consent | 920(B) | 3.6-1.1[2] |
| Honesty and candour - Quality of service | 880(M) | 3.2-2 |
| Honesty and candour - Quality of service - Arises from fiduciary obligations | 880(M) | 3.2-2[1.1] |
| Honesty and candour - Quality of service - When to withhold information | 880(B) | 3.2-2[1.2] |
| Honour - Lawyer as advocate | 935(T) - 936(M) | 5.1-1 |
| Honour and integrity - Standards of the legal profession | 872(T) | 2.1-2 |
| Honour undertakings - Responsibility to lawyers and others | 966(B) - 967(B) | 7.2-11 |
| Human right laws - duty to respect | 872(B) | 2.1-1[4.1] |
| Identifying client's property - Relationship with clients | 919(T) | 3.5-4 - 3.5-5 |
| Identifying client’s property - Preservation of client’s property - Maintain records | 919(T) | 3.5-5 |
| Identifying client’s property - Preservation of client’s property - Clear identification, distinguishable from own property | 919(T) | 3.5-4 |
| Identifying fraudulent real estate transactions - Quality of service | 885(T) | 3.2-7[4.1]  (a) - (f) |
| Identifying red flags - Quality of service | 884(B) | 3.2-7[3.1] |
| Impairment because of minority - Client - Quality of service | 887(T) | 3.2-9 |
| Improper conduct of juror - Disclosure | 944(M) | 5.5-3 |
| Inadvertent communications - Prompt notification | 966(T) | 7.2-10 |
| Inadvertent communications - Responsibility to lawyers and others | 966(T) | 7.2-10 |
| Incompetence, negligence and mistakes - Competence | 877(B) | 3.1-2[15] |
| Incriminating Physical Evidence - Lawyer in possession - Lawyer should consider whether to retain independent legal counsel | 938(B) | 5.1-2A [3](a) |
| Incriminating Physical Evidence - Lawyer retained counsel - Lawyer and counsel should consider - whether counsel should disclose or deliver evidence to authorities/prosecution | 938(B) | 5.1-2A [3](a)(ii) |
| Incriminating Physical Evidence - Lawyer retained counsel - Lawyer and counsel should consider whether identity of client should be disclosed | 938(B) | 5.1-2A [3](a)(i) |
| Incriminating Physical Evidence - Definition | 938(T) | 5.1-2A [1] |
| Incriminating Physical Evidence - Exception - If inculpatory and exculpatory, lawyer must not conceal | 938(M) | 5.1-2A [2] |
| Incriminating Physical Evidence - Exception - If inculpatory, lawyer must not conceal | 938(M) | 5.1-2A [2] |
| Incriminating Physical Evidence - Exception - Lawyer can conceal evidence that establishes innocence | 938(M) | 5.1-2A [2] |
| Incriminating Physical Evidence - Exception - Lawyer can conceal alibi | 938(M) | 5.1-2A [2] |
| Incriminating Physical Evidence - Exception - Lawyer must use prudent judgement in determining whether evidence is exculpatory to then conceal | 938(M) | 5.1-2A [2] |
| Incriminating Physical Evidence - Lawyer balances duty of loyalty to client vs duty to administration | 939(M) | 5.1-2A [4] |
| Incriminating Physical Evidence - Lawyer can - deliver evidence to authorities or prosecution | 939(T) | 5.1-2A [3](b) |
| Incriminating Physical Evidence - Lawyer can - deliver evidence to prosecution and prepare to argue for admissibility | 939(T) | 5.1-2A [3](d) |
| Incriminating Physical Evidence - Lawyer can - delivering evidence to tribunal | 939(T) | 5.1-2A [3](c) |
| Incriminating Physical Evidence - Lawyer cannot hinder investigation | 939(M) | 5.1-2A [5] |
| Incriminating Physical Evidence - Lawyer has no duty to assist authorities in gathering | 939(M) | 5.1-2A [5] |
| Incriminating Physical Evidence - Lawyer in possession - What lawyer should consider | 938(B) - 939 (M) | 5.1-2A [3](a-d) |
| Incriminating Physical Evidence - Lawyer is never required - to disclose existence of incriminating physical evidence | 938(B) | 5.1-2A [3] |
| Incriminating Physical Evidence - Lawyer is never required - to keep incriminating physical evidence | 938(B) | 5.1-2A [3] |
| Incriminating Physical Evidence - Lawyer may determine necessary - Testing, examination, copying of evidence | 939(B) | 5.1-2A [6] |
| Incriminating Physical Evidence - Lawyer must ensure - no destruction/ concealment/alteration of evidence | 939(B) | 5.1-2A [6] |
| Incriminating Physical Evidence - Lawyer must use caution | 939(B) | 5.1-2A [6] |
| Incriminating Physical Evidence - Shall not - otherwise obstruct justice | 938(T) | 5.1-2A |
| Incriminating Physical Evidence - Shall not counsel or participate - concealment, destruction, alteration of evidence | 938(T) | 5.1-2A |
| Incriminating Physical Evidence - When disclosing to authorities, lawyer has duty to protect client’s confidentiality (privilege) | 939(M) | 5.1-2A [4] |
| Incriminating Physical Evidence - When disclosing to authorities, lawyer has duty to protect client’s identity | 939(M) | 5.1-2A [4] |
| Independence - Shall not be compromised by outside interests | 968(T) | 7.3-1 |
| Independent legal advice - Certificate of - With signature - Borrowing from a client | 913(M) | 3.4-29 |
| Independent legal advice - Conflict of interest - Not required | 898(M) | 3.4-1[2A] |
| Independent legal advice - Definition | 869(M) | 1.1-1 |
| Independent legal advice - Joint retainers - Advise other client to obtain - Continuing relationship with one client | 901(T) | 3.4-6 |
| Independent legal advice - Required - Transactions with clients - Conflicts of interest | 913(M) | 3.4-29(b) |
| Independent legal advice, recommend - Error or omission | 973(M) | 7.8-1(b) |
| Independent legal advice, recommend - Payment for legal services by share or interest - Transactions with clients | 913(M) | 3.4-29 |
| Independent legal advice, required - Doing business with a client - Conflicts of interest | 912(B) | 3.4-28 |
| Independent legal advice, required - Lending money to client - Conflicts of interest | 913(M) | 3.4-29(b) |
| Independent legal advice, required - Where lawyer arranges or recommends syndicated mortgage or loan investment | 915(B) | 3.4-33.1(b) |
| Independent legal representation - Definition | 869(B) | 1.1-1 |
| Independent legal representation - Required - Borrowing from client | 913(M) | 3.4-29 |
| Inferring authority to disclose - Confidentiality | 891(M) | 3.3-1[9] |
| Information, Confidential - Confidentiality | 889(B) | 3.3-1 |
| Informing client of error or omission | 973(M) | 7.8-1 |
| Insurance - Title insurance - Quality of service - Be knowledgeable and discuss advantages and limits | 888(B) | 3.2-9.4[2] |
| Insurance - Title insurance in real estate conveyancing - Advise not mandatory nor only option | 888(M) | 3.2-9.4 |
| Insurance, title - Supervision of non-lawyer | 950(M) | 6.1-6.1 |
| Insurer - Cooperation with insurer | 974(B) | 7.8-3 |
| Insurer - Informing client of error or omission | 973(B) | 7.8-2 |
| Insurer - Notice of claim | 973(B) - 974(B) | 7.8-2 |
| Insurer - Responding to client’s claim - Errors or omissions | 975(T) | 7.8-4 - 7.8.5 |
| Integrity | 872(T) | 2.1 |
| Integrity - Dishonourable or questionable conduct | 872(M) | 2.1-1[3] |
| Integrity - Duty to uphold reputation and standards of legal profession | 872(M) | 2.1-2 |
| Integrity - Extra-professional or private activities | 872(M) | 2.1-1[4] |
| Integrity - Fundamental quality | 872(T) | 2.1-1[1] |
| Integrity - Making legal services available | 929(T) | 4.1-1 |
| Integrity - perception of lawyer-client relationship | 872(T) | 2.1-2[2] |
| Integrity - Private or extra-professional activities | 872(M) | 2.1-1[4] |
| Integrity - Public confidence | 872(T) | 2.1-1[2] |
| Integrity - Reputation and standards - Duty to uphold reputation and standards | 872(B) | 2.1-2 |
| Integrity - Special responsibilities | 872(B) | 2.1-1[4.1] |
| Integrity - Standards and reputation of legal profession - Enhance profession through activities | 872(B) | 2.1-2[1] |
| Integrity and honour - Standards of the Legal profession | 872(M) | 2.1-2 |
| Integrity of lawyer - Outside interests | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Interest - Fees and Disbursements - Prohibited, except under *Solicitors Act* or law | 919(B) | 3.6-1.1 |
| Interference with competence - Conduct that does so, refrain from - Competence | 877(M) | 3.1-2[13] |
| Interference with Right to Fair Trial or Hearing - Shall not communicate- Public appearances and statements | 970(M) | 7.5-2 |
| Interim release, judicial - Conflicts of interest - Not to be surety, deposit money/security or supervise client  SEE 3.4-41 - Unless family | 918(T)  918(T) | 3.4-40 |
| International law firms - Fees and disbursements - Exception to no-sharing rule | 923(T) | 3.6-8 |
| Interpretation and citation - Definitions | 867 - 871 | 1.1 |
| Interprovincial law firm - Definition | 869(B) | 1.1-1 |
| Interprovincial law firms - Fees and disbursements - Exception to no-sharing rule | 923(T) | 3.6-8 |
| Interviewing witnesses | 942(B) | 5.3-1 |
| Interviewing witnesses - Disclose interest | 942(B) | 5.3-1 |
| Investigate in sufficient detail - Opinion - Competence | 876(B) | 3.1-2[8] |
| Investment by client where another lawyer has interest - Independent legal advice - Certificate with signature - Required | 913(M) | 3.4-29 |
| Joint retainer - Confidentiality - Must advise | 899(B) | 3.4-5 |
| Joint Retainer - Continuing relationship - Advise independent legal advise | 901(T) | 3.4-6 |
| Joint retainer - Exception to Rule 3.4-8 (contentious issue) - Conflict of interest | 901(B) | 3.4-9 |
| Joint retainer - Fees and disbursements - Equitable division unless agreement otherwise | 921(B) | 3.6-4 |
| Joint retainer - Lending client and borrowing client - Mortgage or loan | 904(M) | 3.4-16 |
| Joint retainer - Shall advise clients - Must advise | 899(B) | 3.4-5 |
| Joint retainers - Conflicts of interest - Must advise | 899(B) | 3.4-5 |
| Joint retainers - Consent required - Conflicts of interest | 901(T) | 3.4-7 |
| Joint retainers - Contentious issues - Conflicts of interest - Shall advise (a) - (b) | 901(M) | 3.4-8 |
| Joint retainers - Continuing relationship - Conflicts of interest - Advise independent legal advice | 901(T) | 3.4-6 |
| Joint retainers - Independent legal advice - Conflicts of interest - Not required but may advise | 900(T) | 3.4-5[1] |
| Joint retainers - Obtain consent - Conflicts of interest | 901(T) | 3.4-7 |
| Joint retainers - Wills for spouses or partners - Conflicts of interest - Must advise - 3 requirements | 900(T) | 3.4-5[2] |
| Joint retainers vs. separate/competing retainers - Conflicts of interest | 900(B) | 3.4-5[3.1] |
| Judge, retired - Appearance as counsel | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Judgment of lawyer - Outside interests | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Judicial interim release - Conflicts of Interest - Accused Person Restrictions - Surety / Bail Prohibited | 918(T) | 3.4-40 |
| Judicial interim release - Conflicts of interest - May be surety, deposit money or supervise if family | 918(T) | 3.4-40 |
| Judicial interim release - Family relationship exception - Conflicts of interest | 918(T) | 3.4-40 |
| Judicial Officer - Impartial - Due to lawyer having relationship with - Duty of lawyer | 936(B) | 5.1-2(c) |
| Jurors - Disclosure of connection with judge | 944(M) | 5.5-2 |
| Jurors - Disclosure of connection with witness | 944(M) | 5.5-2 |
| Jurors - Disclosure of juror’s interest in the case | 944(M) | 5.5-2 |
| Jurors - Prohibition on communications before trial | 944(T) | 5.5-1 |
| Jurors - Prohibition on communications during trial | 944(B) | 5.5-4 |
| Jurors, relations with - Disclosure of information | 944(M) | 5.5-2 - 5.5-3 |
| Jurors, relations with - Relationship to the administration of justice | 944(T) - 945(T) | 5.5-1 - 5.5-4 |
| Justified or permitted disclosure - Confidentiality | 891(B) - 893(B) | 3.3-1.1 to 3.3-7 |
| Lacking competence - Competence | 876(T) | 3.1-2[6] |
| Language rights - Advise of right to use (a) official language (b) language recognized in jurisdictions legislature, aboriginal - Quality of service | 881(T) | 3.2-2A |
| Language rights - Not to undertake unless competent in language - Quality of service | 881(T) | 3.2-2B |
| Law firm - Definition | 869(B) | 1.1-1 |
| Law firm - Interprovincial law firm definition | 869(B) | 1.1-1 |
| Law firm disqualification unless - Law firm transfers - Conflicts of interest | 909(M) | 3.4-20 |
| Law firm transfer - Conflicts of interest | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Law firm transfer - Conflicts of interest - Due diligence of non lawyers | 911(M) | 3.4-23 |
| Law Society - Definition | 870(T) | 1.1-1 |
| Law Society - Disciplinary authority | 975(M) | 7.8.2-1 |
| Law Society - Encouraging client to report dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Law Society - Misconduct - Duty to Report | 957(B) | 7.1-3 |
| Law Society - Relationship to Law Society and other lawyers | 957(T) - 975(M) | 7.1-1 - 7.8.2-3 |
| Law Society, communications from - Relationship to Law Society - Lawyer shall reply promptly | 957(T) | 7.1-1 |
| LawPRO - Title insurance | 889(T) | 3.2-9.7 |
| LawPRO and TitlePLUS, disclose relationship - Quality of service | 889(T) | 3.2-9.7 |
| Lawyer - Abusive communications, prohibition | 960(T) | 7.2-4 |
| Lawyer - Acting for transferor and transferee in transfer of title | 907(M) | 3.4-16.7 - 3.4-16.9 |
| Lawyer - As witness - Submission of testimony | 942(T) | 5.2-1 - 5.2-2 |
| Lawyer - Communications - Reasonable Promptness | 960(B) | 7.2-5 |
| Lawyer - Communications from the Society - Lawyer shall reply promptly | 957(T) | 7.1-1 |
| Lawyer - Conduct unbecoming a lawyer | 975(M) | 7.8.2-3 |
| Lawyer - Conduct unbecoming of, definition | 868(M) | 1.1-1 |
| Lawyer - Definition | 870(T) | 1.1-1 |
| Lawyer - Duty to report certain offences - Relationship to Law Society | 959(M) | 7.1-4.4 |
| Lawyer - Duty to report misconduct | 957(B) - 958(M) | 7.1-3 |
| Lawyer - Encouraging client to report dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Lawyer - Error or omission, informing client of | 973(M) | 7.8-1(a) |
| Lawyer - Financial obligations, meeting promptly | 957(M) | 7.1-2 |
| Lawyer - Misconduct, duty to report | 957(B) - 958(M) | 7.1-3 |
| Lawyer - Multi-discipline practices, compliance with Rules | 975(T) | 7.8.1-1 |
| Lawyer - Outside interests | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Lawyer - Preventing Unauthorized Practice | 970(B) | 7.6-1 |
| Lawyer - Public appearances | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Lawyer - Public statements | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Lawyer - Recording conversation, prohibition - consent required | 960(M) | 7.2-3 |
| Lawyer - shall not, as advocate | 936(M) - 938(M) | 5.1-2(a-p) |
| Lawyer - Sharp practice, avoiding | 960(M) | 7.2-2 |
| Lawyer - Supervision, duty of  SEE: Supervision of students,  employees and others | 948(T)  948(T) | 6.1-1(b)  6.1-1(b) |
| Lawyer and the administration of justice - Criticizing legal system - criticisms should be bona fide and reasoned | 945(B) | 5.6-1[4] |
| Lawyer and the administration of justice - Criticizing tribunals | 945(M) | 5.6-1[3] |
| Lawyer and the administration of justice - Encouraging respect for administration of justice | 945(T) | 5.6-1 |
| Lawyer and the administration of justice - Security of court facilities - reasonable grounds to believe that a dangerous situation is likely to occur and give particulars - shall give particulars | 946(M) | 5.6-3 |
| Lawyer and the administration of justice - Seeking legislative or administrative change | 946(T) | 5.6-2 |
| Lawyer as advocate - Agreement on guilty plea | 941(M-B) | 5.1-7 - 5.1-8 |
| Lawyer as advocate - Candour, honour, fairness | 935(T) - 936(M) | 5.1-1 |
| Lawyer as advocate - Courtesy | 940(B) | 5.1-5 |
| Lawyer as advocate - Disclosure of error or omission | 940(M) | 5.1-4 |
| Lawyer as advocate - Discovery obligations | 940(T) | 5.1-3.1 |
| Lawyer as advocate - Discussing case with opposing counsel | 941(M-B) | 5.1-7 - 5.1-8 |
| Lawyer as advocate - Duty as defence counsel | 936(T) | 5.1-1[9] |
| Lawyer as advocate - Duty as prosecutor | 939(B) | 5.1-3 |
| Lawyer as advocate - Good faith - Courtesy | 940(B) | 5.1-5 |
| Lawyer as advocate - Lawyer shall not | 936(M) - 938(T) | 5.1-2 |
| Lawyer as advocate - Limits | 936(M) - 938(T) | 5.1-2 |
| Lawyer as advocate - Making a deal (guilty plea) | 941(M-B) | 5.1-7 - 5.1-8 |
| Lawyer as advocate - professional misconduct - may not constitute contempt | 940(B) | 5.1-5[1] |
| Lawyer as advocate - professional misconduct - pattern of disruptive behaviour | 940(B) | 5.1-5[1] |
| Lawyer as advocate - professional misconduct - pattern of provocative behaviour | 940(B) | 5.1-5[1] |
| Lawyer as advocate - professional misconduct - pattern of rude behaviour | 940(B) | 5.1-5[1] |
| Lawyer as advocate - Relationship to the administration of justice | 935(T) - 941(B) | 5.1-1 - 5.1-8 |
| Lawyer as advocate - Requirements for entering a guilty plea | 941(M) | 5.1-8 |
| Lawyer as advocate - Restrictions | 936(M) - 938(T) | 5.1-2 |
| Lawyer as advocate - Undertakings | 941(T) | 5.1-6 |
| Lawyer as advocate - When acting as advocate | 936(M) - 938(T) | 5.1-2 |
| Lawyer as witness | 942(T) | 5.2-1 - 5.2-2 |
| Lawyer as witness - Acting as lawyer on appeal if first witness | 930(M) | 5.2-2 |
| Lawyer as witness - Appeals | 930(M) | 5.2-2 |
| Lawyer as witness - Shall not provide own evidence unless | 942(T) | 5.2-1 |
| Lawyer as witness - Submission of evidence | 942(T) | 5.2-1 |
| Lawyer can guarantee if - (a) financial institution lender providing loan to spouse, parent or child (b) benefit of non-profit or charity (c) business venture with client - Conflicts of interest | 917(T) | 3.4-35 |
| Lawyer not competent - Mandatory withdrawal | 926(B) | 3.7-7(c) |
| Lawyer shall not - Lawyer as advocate | 936(M) - 938(T) | 5.1-2 |
| Lawyer-client relationship - perception - integrity | 872(T) | 2.1-2[2] |
| Lawyer, special responsibility of - Discrimination | 952(B) - 953(T) | 6.3.1-1 |
| Lawyer’s Professional Indemnity Company | 889(T) | 3.2-9.7 |
| Lawyer’s Professional Indemnity Company - Informing client of error or omission | 973(M) | 7.8-1(a) |
| Lawyer’s professional indemnity company - Notice of claim | 973(B) - 974(B) | 7.8-2 |
| Lawyer’s professional indemnity company - Responding to client’s claim | 975(T) | 7.8-4 - 7.8.5 |
| Lawyer’s Professional Indemnity Company - TitlePLUS Insurance | 889(T) | 3.2-9.7 |
| Lawyers as mediators - No solicitor-client privilege | 946(B) | 5.7-1(b) |
| Lawyers as mediators - Relationship to the administration of justice | 946(B) | 5.7-1 |
| Lawyers as mediators - Shall not - Give legal advice | 947(T) | 5.7-1[1] |
| Lawyers as mediators - Should - Encourage seeking separate legal advice | 947(T) | 5.7-1[3] |
| Lawyers in loan or mortgage transactions - Acceptable transactions | 916(M) | 3.4-33.1[1] |
| Lawyers in loan or mortgage transactions - Conflicts of interest | 913(M) | 3.4-29 |
| Lawyers in loan or mortgage transactions - Holding syndicated mortgages/ loans in trust for client - Prohibited unless (i) reporting letter (ii) trust declaration (iii) copy of mortgage or security instrument | 915(M) | 3.4-33.1(a) |
| Lawyers in loan or mortgage transactions - Must not arrange or recommend client invest in syndicated mortgage or loan where lawyer investor, unless independent legal advice | 915(B) | 3.4-33.1(b) |
| Lawyers in loan or mortgage transactions - Not to sell or arrange for clients - Except in accordance w/ skill of lawyer | 916(B) | 3.4-33.1(c) |
| Lawyers in loans or mortgage transactions - No advertising - Conflicts of interest | 916(B) | 3.4-33.3 |
| Lawyers in loans or mortgages transactions - Advertising Not Permitted - Conflicts of interest | 916(B) | 3.4-33.3 |
| Lawyers in loans or mortgages transactions - Disclose priority and relevant info - Conflicts of interest | 916(M) | 3.4-33.2 |
| Leaving the Firm, by lawyer - Withdrawal from representation - Client choice | 924(B) | 3.7-1[4] |
| Legal advice - perception - integrity - enhance profession through activities | 872(B) | 2.1-2[2] |
| Legal aid - Making legal services available - Practice of law | 929(M) | 4.1-1[3] |
| Legal practitioner - Definition | 870(M) | 1.1-1 |
| Legal services - Limited scope retainer - Advise client - Nature, scope and finances | 878(M) | 3.2-1A |
| Legal services available to the public, making - Practice of law | 929(T) | 4.1-1 |
| Legal Services under a limited scope retainer - Avoid acting like full retainer - Quality of Service | 879(T) | 3.2-1A.1[2] |
| Legal services under a limited scope retainer - Quality of service | 878(B) - 879(M) | 3.2-1A  3.2-1A.1 |
| Legislative or administrative change - Lawyer and the administration of justice | 946(T) | 5.6-2 |
| Lending Client - Definition | 903(B) | 3.4-13 |
| Lending money to client - Consent required - Conflicts of interest | 913(B) | 3.4-29(c) |
| Lending money to client - Disclosure of conflict - Conflicts of interest | 913(M) | 3.4-29(a) |
| Lending money to client - Independent legal advice required - Conflicts of interest | 913(M) | 3.4-29(b) |
| Letterhead - Marketing legal services | 930(M) | 4.2-0 |
| Licensee - Definition | 870(M) | 1.1-1 |
| Licensee, successor - Duty of - Withdrawal from representation - Former licensee must approve, withdraw or be discharged | 928(M) | 3.7-10 |
| Limited scope retainer - Advise client - Nature, scope and finances | 878(M) | 3.2-1A |
| Limited scope retainer - Capacity diminished - Carefully assess competence | 879(M) | 3.2-1A.1[5.2] |
| Limited scope retainer - Communications from opposing counsel - Quality of service | 879(M) | 3.2-1A.1[4] |
| Limited scope retainer - Competence | 876(M) | 3.1-2[7A] |
| Limited Scope Retainer - Definition | 870(M) | 1.1-1 |
| Limited scope retainer - Exception duty counsel | 879(B) | 3.2-1A.2(a) |
| Limited scope retainer - Exception summary advice | 879(B) - 880(T) | 3.2-1A.2 (b-e) |
| Limited scope retainer - Exception to prohibition on communication with represented person | 961(T) | 7.2-6A |
| Limited scope retainer - Exception to Rule 3.2-1A.1 (written confirmation) | 879(B) | 3.2-1A.2 |
| Limited scope retainer - When written confirmation not needed | 879(B) | 3.2-1A.2 |
| Limited scope retainer - Written confirmation needed | 878(B) | 3.2-1A.1 |
| Limited scope retainer - Written confirmation when client in custody - Quality of service | 878(B) | 3.2-1A.1[1.1] |
| Limits on lawyers as advocates | 936(M) - 938(T) | 5.1-2 |
| Literary works - Confidentiality - Consent required | 891(B) | 3.3-1[11.1] |
| Litigation - Courtesy | 935(T) | 5.1-1 |
| Litigation - Undertaking - Advocate | 941(T) | 5.1-6 |
| Litigation guardian, when to get - Client with diminished capacity - Quality of service | 887(B) | 3.2-9[3] |
| Loan or mortgage - Conflict of interest - Must not arrange or recommend client invest in syndicated mortgage or loan where lawyer investor, unless independent legal advice | 915(B) | 3.4-33.1(b) |
| Loan or mortgage - Doing business with a client - Disclosure, independent legal advice, consent required | 913(M) | 3.4-29 |
| Loan or mortgage - Prohibition on selling or arranging - Except in accordance w/ skill of lawyer | 916(B) | 3.4-33.1(c) |
| Loan or mortgage transactions, lawyers in - Conflicts of interest | 913(M) | 3.4-29 |
| Loss of confidence - Optional withdrawal by lawyer | 925(T) | 3.7-2 |
| Loss of confidence - Withdrawal from representation - By lawyer | 925(T) | 3.7-2 |
| Loss of confidence - Withdrawal from representation - Examples | 925(T) | 3.7-2[1] |
| Making legal services available - Lawyer shall not | 929(T) | 4.1-2 |
| Making legal services available - Legal aid | 929(M) | 4.1-1[3] |
| Making legal services available - Practice of law | 929(T) | 4.1-1 - 4.1-2 |
| Making legal services available - Practice of law - Restrictions | 929(B) | 4.1-2 |
| Making legal services available - Pro bono services | 929(T) | 4.1-1[2] |
| Making legal services available - Restrictions | 929(T) | 4.1-2 |
| Making legal services available - Shall not do the following | 929(T) | 4.1-2 |
| Making legal services available - The practice of law | 929(T) | 4.1-1 - 4.1-2 |
| Making legal services available to the public - Efficient and convenient manner - Practice of law | 929(T) | 4.1-1 |
| Mandatory withdrawal - Client instructions violate LSUC rules or by-laws | 926(B) | 3.7-7(b) |
| Mandatory withdrawal - Discharged by client | 926(B) | 3.7-7(a) |
| Mandatory withdrawal - Not competent | 926(B) | 3.7-7(c) |
| Mandatory withdrawal - Withdrawal from representation if (a) discharged (b) instructions violate LSUC rules (c) not competent | 926(B) | 3.7-7 |
| Manner of Withdrawal - Transfer of Lawyer - Steps to take | 927(T) | 3.7-9 |
| Manner of withdrawal - Withdrawal from representation | 927(T) | 3.7-8 - 3.7-9 |
| Manner of withdrawal from representation - A lawyer shall - Steps to take | 927(T) | 3.7-9 |
| Manner of withdrawal from representation - Avoid prejudice, minimize expense, facilitate transfer | 927(T) | 3.7-8 |
| Manner of withdrawal from representation - Minimize expense, avoid prejudice, facilitate transfer | 927(T) | 3.7-8 |
| Manner of withdrawal from representation - Notification in writing | 927(T) | 3.7-9(a) |
| Marketing - Advertising nature of practice | 933(M) | 4.3-1 |
| Marketing - Marketing of professional services | 930(M) - 933(M) | 4.2-0 - 4.2-2 |
| Marketing legal services - Definition | 930(M) | 4.2-0 |
| Marketing legal services - Restrictions - When can market | 930(M) | 4.2-1 |
| Marketing of fees - Requirements | 933(M) | 4.2-2 |
| Marketing of law - Examples of prohibited marketing | 930(B) | 4.2-1[1] |
| Marketing of professional services | 930(M) - 933(M) | 4.2-0 - 4.2-2 |
| Marketing speciality practices - Advertising nature of practice | 933(M) | 4.3-1 |
| Matter, definition - Conflicts from transfer between law firms | 907(B) | 3.4-17 |
| May act for borrower and lender if (exception to general prohibition) - Conflicts of interest | 904(T) | 3.4-14 |
| Media, communication - Shall not communicate if interference with right to fair trial or hearing | 970(M) | 7.5-2 |
| Mediation - No solicitor-client privilege - Relationship to the administration of justice | 946(B) | 5.7-1(b) |
| Mediator - Lawyer as mediator | 946(B) | 5.7-1 |
| Mediator - Lawyer as mediator - Shall not - Give legal advice | 947(T) | 5.7-1[1] |
| Mediator - Lawyer as mediator - Should - Encourage seeking separate legal advice | 947(T) | 5.7-1[3] |
| Mediator - Tribunal - Definition  (mediator = tribunal) | 871(T) | 1.1-1 |
| Mediators, lawyers as - Relationship to the administration of justice | 946(B) | 5.7-1 |
| Medical-legal reports - Containing harmful opinions to the client - Dissuade from seeing | 888(T) | 3.2-9.2 |
| Medical-legal reports - Return if not to be shown to client - Quality of service | 888(T) | 3.2-9.1 |
| Medical-legal reports - Where lawyer has reservations - Client viewing | 888(M) | 3.2-9.3 |
| Medical-legal reports that cannot be shown to client - Return - Quality of service | 888(T) | 3.2-9.1 |
| Meeting deadlines - Quality of service | 878(T) | 3.2-1[6] |
| Meeting financial obligations - Relationship to Law Society and other lawyers | 957(M) | 7.1-2 |
| Minimize expense, avoid prejudice, facilitate transfer - Manner of withdrawal from representation | 927(T) | 3.7-8 |
| Minority - Client - Quality of Service | 887(T) | 3.2-9 |
| Misconduct - Duty to Report - Law Society | 957(B) | 7.1-3 |
| Misconduct - Duty to report, lawyer’s | 957(B) - 958(M) | 7.1-3 |
| Misconduct - Encouraging client to report dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Misconduct, professional - Discipline | 975(M) | 7.8.2-2 |
| Mistakes - Competence | 877(B) | 3.1-2[15] |
| Mortgage or loan - Acceptable transactions | 916(M) | 3.4-33.1[1] |
| Mortgage or loan - Conflict of interest - Must not arrange or recommend client invest in syndicated mortgage or loan where lawyer investor, unless independent legal advice | 915(B) | 3.4-33.1(b) |
| Mortgage or loan - Disclosure, independent legal advice, consent required - Doing business with a client | 913(M) | 3.4-29 |
| Mortgage or loan - Joint retainer - Lending client and borrowing client | 904(M) | 3.4-16 |
| Mortgage or loan - Prohibition on selling or arranging - Except in accordance w/ skill of lawyer | 916(B) | 3.4-33.1(c) |
| Mortgage or loan transaction - May act for borrower and lender if - Conflicts of interest | 904(T) | 3.4-14 |
| Mortgage or loan transactions - Must disclose material info if act for borrower and lender | 904(M) | 3.4-15 |
| Mortgage or loan transactions, lawyers in - Conflicts of interest | 913(M) | 3.4-29 |
| Multi-discipline practice - Avoidance of conflicts of interest - Non-licensee partners must observe 3.4 Conflict rules | 905(T) | 3.4-16.1 |
| Multi-discipline practice - Compliance with Rules | 975(T) | 7.8.1-1 |
| Multi-discipline practice - Conflicts of interest - Non-licensee partners must observe 3.4 Conflict rules | 905(T) | 3.4-16.1 |
| Multi-discipline practice - Fees and disbursements - Exception to no-sharing rule | 923(T) | 3.6-8 |
| Name, law firm - Advertising | 930(M) | 4.2-0 |
| Nature of practice, advertising - Marketing | 933(M) | 4.3-1 |
| Negligence - Competence | 877(B) | 3.1-2[15] |
| No advertising - Lawyers in loans or mortgages transactions - Conflicts of interest | 916(B) | 3.4-33.3 |
| Non lawyer - Transfer between law firms - Must comply with transfer rules 3.4-17 - 3.4.23 | 911(M) | 3.4-23(a) |
| Non-licensee partners - Ensure compliance - Responsibility in multi-discipline practices | 975(T) | 7.8.1-1 |
| Non-payment of fees - Withdrawal from representation - Unless prejudice | 925(M) | 3.7-3 |
| Not competent - Mandatory withdrawal | 926(B) | 3.7-7(c) |
| Not to act - Where conflict of interest | 895(T) | 3.4-1 |
| Notice and Cause - Withdrawal from representation | 924(T) | 3.7-1 |
| Notification - Client’s Property, Receipt of - Prompt | 919(T) | 3.5-3 |
| Notification of receipt of property - Promptly, unless aware - Preservation of client’s property | 919(T) | 3.5-3 |
| Notify - Inadvertent communications - Responsibility to lawyers and others | 966(T) | 7.2-10 |
| Notify insurer - Error or omission | 973(B) | 7.8-2 |
| Obligation to stay current on real estate red flags - Quality of service | 885(M) | 3.2-7[4.2] |
| Obligation to the client - Communication with the public allowed | 969(M) | 7.5-1 |
| Obligations - Accepting Undertakings - Shall fulfill | 966(B) - 967(B) | 7.2-11 |
| Obligations - Discovery - Lawyer as advocate | 940(T) | 5.1-3.1 |
| Offences, duty to report certain - Relationship to Law Society | 959(M) | 7.1-4.4 |
| Offensive communications - Prohibition | 960(T) | 7.2-4 |
| Offering legal services - Restrictions | 929(T) | 4.1-2 |
| Omission - Error or Omission - Informing client | 973(M) | 7.8-1 |
| Omission or error, disclosure of - Lawyer as advocate | 940(M) | 5.1-4 |
| Omissions and errors - Relationship to the Law Society and other lawyers | 973(T) - 975(B) | 7.8-1 - 7.8-5 |
| Omissions by client who is an organization - Quality of service | 886(M) | 3.2-8[3] |
| Ongoing duty - To determine conflict of interest | 897(T) | 3.4-2[12] |
| Opinion - Investigate in sufficient detail - Competence | 876(B) | 3.1-2[8] |
| Opinion - Specify facts, circumstances and assumptions - Competence | 876(B) | 3.1-2[8] |
| Opinions, second - Responsibility to lawyers and others | 961(M) - 962(T) | 7.2-7 |
| Optional withdrawal - Serious loss of confidence - Examples | 925(T) | 3.7-2[1] |
| Optional withdrawal by lawyer - Withdrawal from representation - Where loss of confidence | 925(T) | 3.7-2 |
| Optional withdrawal by lawyer- Loss of confidence | 925(T) | 3.7-2 |
| Organization - Definition re Rule 7.2-8 | 962(M) | 7.2-8.2 |
| Organization as client - Dishonesty, fraud, etc. - Steps to take | 885(T) - 886(B) | 3.2-8  (a) - (c) |
| Organization as client - Quality of service - May accept joint retainer | 881(B) | 3.2-3[2] |
| Organization as the client - Confidentiality - Misconduct - Lawyer as whistle-blower  SEE 3.2-8 Whistleblowing steps | 893(T)  882(B) | 3.3-3[5.1]  3.2-8 |
| Organizations as client - Quality of service | 881(B) | 3.2-3 |
| Outside interests - Independent judgment, shall not impair | 968(M) | 7.3-2 |
| Outside interests - Integrity of lawyer | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Outside interests and the practice of law | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Paralegal - Definition | 870(B) | 1.1-1 |
| Payment and Appropriation of funds - Fees and disbursements | 923(B) - 924(T) | 3.6-10 - 3.6-11 |
| Payment of fees, non - Withdrawal from representation - Unless prejudice | 915(M) | 3.7-3 |
| Perception - lawyer-client relationship - enhance profession through activities | 872(B) | 2.1-2[2] |
| Permission from court required - Withdrawal from criminal proceedings other than non-payment | 926(M) | 3.7-6(b) |
| Personal interest - Close personal relationship w/ client, sexual or other - Conflict of interest | 895(B) | 3.4-1[4] |
| Personal interest - Conflict of interest | 895(B) | 3.4-1[4] - [5] |
| Personal interest - Of one lawyer not imputed to firm - Conflict of interest | 895(B) | 3.4-1[5] |
| Personal interest - Own, partner or associate, family member has material direct or indirect financial interest - Conflict of interest | 895(B) | 3.4-1[4] |
| Personal promise and responsibility - Undertaking - Advocate | 941(T) | 5.1-6 [0.1] |
| Plea, guilty - Requirements - Lawyer as advocate | 941(M) | 5.1-8 |
| Potentially improper communications - Obtain consent of opposing lawyer | 943(B) | 5.4-2[0.1] |
| Practice - Advertising - fees | 933(M) | 4.2-2 |
| Practice - Letterhead - Marketing | 930(M) | 4.2-0 |
| Practice - Making legal services available | 929(T) | 4.1-1 - 4.1-2 |
| Practice - Name, law firm - Marketing | 930(M) | 4.2-0 |
| Practice - Restrictions on offering legal services | 929(T) | 4.1-2 |
| Practice - Supervision of students, employees and others | 948(T) | 6.1-1(b) |
| Practice of law | 929(T) - 933(M) | 4.1-1 - 4.3-1 |
| Practice of law - Making legal services available | 929(T) | 4.1-1 - 4.1-2 |
| Practice of law - Making legal services available - Legal aid | 929(M) | 4.1-1[3] |
| Practice of law - Making legal services available - Pro bono services | 929(T) | 4.1-1[2] |
| Practice of law - Making legal services available - Right to decline representation | 929(M) | 4.1-1[4] |
| Practice of law - Making legal services available to the public - Restrictions | 929(B) | 4.1-2 |
| Practice of law - Restrictions in offering legal services | 929(T) | 4.1-2 |
| Practice, multi-discipline - Compliance with Rules | 975(T) | 7.8.1-1 |
| Practice, unauthorized - Preventing | 970(B) | 7.6-1 |
| Practise Law Subject to Restrictions - Undertakings | 972(T) | 7.6-1.4 |
| Prejudice, avoid - Manner of withdrawal from representation | 927(T) | 3.7-8 |
| Preservation of client’s property | 918(M) - 919(M) | 3.5-2 - 3.5-7 |
| Preservation of client’s property - Accounting and delivery - Tribunal if unsure of recipient of client property | 919(M) | 3.5-7 |
| Preservation of Client’s Property - Care - As careful and prudent owner would | 918(M) | 3.5-2 |
| Preservation of client’s property - Notification of receipt - Promptly, unless aware | 919(T) | 3.5-3 |
| Preventing unauthorized practice | 970(B) - 972(T) | 7.6-1 - 7.6-1.4 |
| Principal - Duties of articling principal | 950(B) | 6.2-2 |
| Private or extra-professional activities - Integrity | 872(M) | 2.1-1[4] |
| Pro bono client - Definition - Conflicts of interest | 905(M) | 3.4-16.2 |
| Pro bono services - Can be provided if - Adverse interest | 905(B) | 3.4-16.4 |
| Pro bono services - Conflict of interest - Confidential information of one lawyer not imputed to associates or partners | 906(B) | 3.4-16.6[5] |
| Pro bono services - Making legal services available - Practice of law | 929(T) | 4.1-1[2] |
| Pro bono services - Unable to provide because - Conflict - Cease and not to seek waiver of conflict | 905(B) | 3.4-16.5 |
| Pro bono services - When cannot provide | 905(B) | 3.4-16.3 |
| Pro bono services - Where acting adversely to a co-worker's client - Protect confidence | 905(B) | 3.4-16.3 |
| Pro Bono services - Where cannot assist pro bono client | 905(B) | 3.4-16.3 |
| Professional integrity and judgment - Outside interests | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Professional Misconduct - Definition | 870(B) | 1.1-1 |
| Professional misconduct - Discipline | 975(M) | 7.8.2-2 |
| Prohibited marketing tactics - Marketing of professional services | 930(B) | 4.2-1[1] |
| Prohibition - Recording conversation without consent | 960(M) | 7.2-3 |
| Prohibition - Sexual harassment - Lawyer to staff, client, or any other person | 952(B) | 6.3-3 |
| Prohibition on - Arranging or recommending client investment in syndicated loan - Where lawyer investor - Unless independent legal advice obtained | 915(B) | 3.4-33.1(b) |
| Prohibition on acting against former clients - Conflicts of interest - Unless consent | 902(T) | 3.4-10 |
| Prohibition on acting as surety for accused - Judicial interim release - Conflicts of interest  SEE 3.4-41 - Unless family | 918(T)  918(T) | 3.4-40 |
| Prohibition on acting for borrower and lender - Conflicts of interest | 903(B) - 904(M) | 3.4-12 - 3.14-16 |
| Prohibition on audio recording without consent - Courtesy and good faith - Responsibility to lawyers | 960(M) | 7.2-3 |
| Prohibition on borrowing from clients - Conflicts of interest - Unless (a) lending/financial institution (b) related person | 913(M) | 3.4-29 |
| Prohibition on communicating with jurors before trial | 944(T) | 5.5-1 |
| Prohibition on delegating access to E-Reg - Relationship to students, employees, others | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Prohibition on discrimination - Services | 954(B) | 6.3.1-2 |
| Prohibition on holding syndicated mortgages or loans in trust for client - Conflicts of interest - Unless (i) reporting letter (ii) trust declaration (iii) copy of mortgage or security instrument | 915(M) | 3.4-33.1(a) |
| Prohibition on selling or arranging - Mortgage or loan - Except in accordance w/ skill of lawyer | 916(B) | 3.4-33.1(c) |
| Prohibition, on guarantees of client’s loan - Conflicts of interest  SEE 3.4-35 - Guarantee allowed when | 917(B)  916(B) | 3.4-34 |
| Prompt Reply - Responsibility to lawyers | 960(B) | 7.2-5 |
| Property - Accounting and delivery - Preservation of client’s property | 919(M) | 3.5-6 - 3.5-7 |
| Property - Of client - Clear identification of and distinguish from own property | 919(T) | 3.5-4 |
| Property - Of client - Identifying client’s property - Maintain records | 919(T) | 3.5-5 |
| Property - Preservation of client’s property | 918(M) - 919(M) | 3.5-2 - 3.5-7 |
| Property, notification of receipt - Prompt, unless aware - Preservation of client’s property | 919(T) | 3.5-3 |
| Prosecutor - Act for public and the administration of justice | 939(B) | 5.1-3 |
| Prosecutor - Candour, fairness, courtesy, respect | 939(B) | 5.1-3 |
| Prosecutor - Justice is done - Trial on merits | 939(B) - 940(T) | 5.1-3[1] |
| Prosecutor - should - make timely and full disclosure to defence counsel | 939(B) - 940(T) | 5.1-3[1] |
| Prosecutor - should not - do anything to prevent accused from being represented/communicating with counsel | 939(B) - 940(T) | 5.1-3[1] |
| Prosecutor, duty as - Lawyer as advocate | 939(B) - 940(T) | 5.1-3 [1] |
| Protect dignity of individuals - Special responsibilities - Integrity | 872(B) | 2.1-1[4.1] |
| Public - Criticizing tribunals | 945(M) | 5.6-1[3] |
| Public - Encouraging respect for administration of justice | 945(T) | 5.6-1 |
| Public - Legislative change, seeking | 946(T) | 5.6-2 |
| Public appearances | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Public confidence - Integrity | 872(T) | 2.1-1[2] |
| Public legal services - Making available efficiently and conveniently - Practice | 929(T) | 4.1-1 |
| Public office - Shall adhere to standard of conduct | 968(B) | 7.4-1 |
| Public statements | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Punctuality - Responsibility to lawyers | 960(B) | 7.2-5 |
| Quality of service - ADR - Consider and inform client | 882(M) | 3.2-4[1] |
| Quality of service - Ascertain purpose of retainer | 883(B) | 3.2-7.2 |
| Quality of service - Avoid becoming involved with client involved in illegal acts | 884(T) | 3.2-7[2] |
| Quality of service - Candour | 880(M) | 3.2-2 |
| Quality of service - Client dishonesty or fraud, discouraging | 883(B) | 3.2-7 |
| Quality of service - Client under disability or minority | 887(T) | 3.2-9 |
| Quality of service - Client with diminished capacity or of minority | 887(T) | 3.2-9 |
| Quality of service - Compromise or settlement - Encouraging | 882(M) | 3.2-4 |
| Quality of service - Discourage useless legal proceedings | 882(M) | 3.2-4 |
| Quality of service - Dishonesty, fraud etc. by client or others | 883(B) | 3.2-7 |
| Quality of service - Dishonesty, fraud, etc. when client an organization - Steps to take | 885(T) - 886(B) | 3.2-8  (a) - (c) |
| Quality of service - Encouraging compromise or settlement - Criminal, quasi-criminal and regulatory proceedings | 882(M) | 3.2-4[1.1] |
| Quality of service - Encouraging compromise or settlement - Unrepresented complainant | 882(M) | 3.2-4[1.2] |
| Quality of service - Exception to prohibition against advising client on how to break the law for *bona fide* test cases | 884(B) | 3.2-7[4] |
| Quality of service - Exception to prohibition against threatening regulatory action | 883(M) | 3.2-5.1 |
| Quality of service - Exception to Rule 3.2-5(b) | 883(M) | 3.2-5.1 |
| Quality of service - Exception to Rule 3.2-7 (not to assist in fraud, crime) for *bona fide* test cases | 884(B) | 3.2-7[4] |
| Quality of service - Facilitating dishonesty, fraud, crime or illegal conduct - Shall not | 883(B) | 3.2-7.1 |
| Quality of service - Generally | 878(T) | 3.2-1 |
| Quality of service - Honesty and candour | 880(M) | 3.2-2 |
| Quality of service - Honesty and candour - Arises from fiduciary obligations | 880(M) | 3.2-2[1.1] |
| Quality of service - Honesty and candour - When acting for both the borrower and lender in mortgage or loan transaction  SEE Rule 3.4-15 - Disclosure required | 880(B)  904(M) | 3.2-2[2.1]  3.4-15 |
| Quality of service - Honesty and candour - When to withhold information | 880(B) | 3.2-2[1.2] |
| Quality of service - Identifying fraudulent real estate transactions | 885(T) | 3.2-7[4.1]  (a) - (f) |
| Quality of service - Identifying red flags | 884(B) | 3.2-7[3.1] |
| Quality of service - Instructing client on how to violate law and avoid punishment | 883(B) | 3.2-7 |
| Quality of service - Language rights - Advise of right to use (a) official language (b) language recognized in jurisdictions legislature, aboriginal | 881(T) | 3.2-2A |
| Quality of service - Language rights - Not to undertake unless competent in language | 881(T) | 3.2-2B |
| Quality of service - Legal services under a limited scope retainer | 878(B) - 879(M) | 3.2-1A  3.2-1A.1 |
| Quality of service - Limited scope retainer - Advise client - Nature, scope and finances | 878(M) | 3.2-1A |
| Quality of service - Limited scope retainer - Communications from opposing counsel | 879(T) | 3.2-1A.1[4] |
| Quality of service - Limited scope retainer - Exception duty counsel | 879(B) | 3.2-1A.2(a) |
| Quality of service - Limited scope retainer - Exception summary advice | 871(M) - 871(B) | 3.2-1A.2 (b-e) |
| Quality of service - Limited scope retainer - Written confirmation needed | 878(B) | 3.2-1A.1 |
| Quality of service - Limited scope retainer - Written confirmation when client in custody | 878(B) | 3.2-1A.1[1.1] |
| Quality of service - Litigation guardian, when to get - Client with diminished capacity | 887(B) | 3.2-9[3] |
| Quality of service - Medical-legal reports - Frank discussion with physician | 888(T) | 3.2-9.1[1] |
| Quality of service - Medical-legal reports - Return if not to be shown to client | 888(T) | 3.2-9.1 |
| Quality of service - Meeting deadlines | 878(T) | 3.2-1[6] |
| Quality of service - Obligation to stay current on real estate red flags | 885(M) | 3.2-7[4.2] |
| Quality of service - Omissions by client who is an organization | 886(M) | 3.2-8[3] |
| Quality of service - Organization as client - May accept joint retainer | 881(B) | 3.2-3[2] |
| Quality of service - Red flags in real estate transactions | 885(T) | 3.2-7[4.1]  (a) - (f) |
| Quality of service - Reporting on mortgage transactions - Applies where discharge outstanding  SEE Rule 3.2-9.8 - Reporting rule | 889(M)  889(T) | 3.2-9.9  3.2-9.8 |
| Quality of service - Reporting on mortgage transactions - Report + copy mortgage w/i 60 days | 889(T) | 3.2-9.8 |
| Quality of service - Steps to take when client is an organization and is acting fraudulently | 885(T) - 886(B) | 3.2-8  (a) - (c) |
| Quality of service - Strict use of client trust account | 884(T) | 3.2-7.3 |
| Quality of service - Threatening criminal proceedings - Impropriety = use of threat to gain civil advantage | 883(M) | 3.2-5.1[2] |
| Quality of service - Threatening criminal proceedings - Prohibition against | 882(B) | 3.2-5(a-b) |
| Quality of service - Threatening regulatory action - Not improper - When regulatory authority exercises essentially civil jurisdiction | 883(M) | 3.2-5.1[2.1] |
| Quality of service - Threatening regulatory action - Prohibition against | 882(T) | 3.2-5(b) |
| Quality of Service - Title insurance - Be knowledgeable and discuss advantages and limits | 888(B) | 3.2-9.4[2] |
| Quality of service - Title insurance - Disclosure of no commission | 889(T) | 3.2-9.6 |
| Quality of service - Title insurance - Lawyer shall not receive compensation from insurer | 888(B) | 3.2-9.5 |
| Quality of service - Title insurance - Prohibition on acceptance of hidden fees | 888(B) | 3.2-9.6[1] |
| Quality of service - Title insurance in real estate conveyancing - Advise not mandatory nor only option | 888(M) | 3.2-9.4 |
| Quality of service - TitlePLUS | 889(T) | 3.2-9.7 |
| Quality of service - When acting for lender and loan secured by mortgage - Report + copy mortgage w/i 60 days | 889(T) | 3.2-9.8 |
| Quality of service - When client is an organization | 881(B) | 3.2-3 |
| Quality of service - Wilful blindness - Facilitating fraud, dishonesty, etc. | 883(B) | 3.2-7[1] |
| Quality of Services - Legal services under limited scope retainer - Avoid acting like full retainer | 879(T) | 3.2-1A.1[2] |
| Re-examination - Communication with witnesses giving evidence | 943(B) | 5.4-2(c.4) |
| Real estate conveyancing - Title insurance - Advise not mandatory nor only option | 888(M) | 3.2-9.4 |
| Real estate conveyancing - Title insurance - Be knowledgeable and discuss advantages and limits - Quality of service | 880(B) | 3.2-9.4[2] |
| Reasonable fees and disbursements | 919(B) | 3.6-1 - 3.6-1.1 |
| Reasonable fees and disbursements - Client should be informed of right to have accounts assessed | 921(T) | 3.6-1.1[4.1] |
| Reasonable notice - Withdrawal from representation | 924(T) | 3.7-1 |
| Reasonable requests - Agreement - Responsibility to lawyers and others | 960(M) | 7.2-1.1 |
| Recognize diversity of Ontario - Special responsibilities - Integrity | 872(B) | 2.1-1[4.1] |
| Recording conversation - without consent - Prohibition | 960(M) | 7.2-3 |
| Recording, audio - Courtesy and good faith - Responsibility to lawyers and others | 960(M) | 7.2-3 |
| Recruitment and engagement procedures - Students | 950(B) | 6.2-1 |
| Recruitment procedures | 950(B) | 6.2-1 |
| Red flags in real estate transactions - Quality of service | 885(T) | 3.2-7[4.1]  (a) - (f) |
| Red flags, identifying - Quality of service | 884(B) | 3.2-7[3.1] |
| Referral fees - Allowed if (a) reasonable and no fee increase to client (b) informed consent | 922(T) | 3.6-6 |
| Referral fees - Fees and disbursements - Allowed if (a) reasonable and no fee increase to client (b) informed consent | 922(T) | 3.6-6 |
| Refund - Fees that are reduced | 924(T) | 3.6-11 |
| Registration of title documents, electronic - Relationship to students, employees, others | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Related Persons - Definition - Lawyers in loan or mortgage transactions | 913(M) | 3.4-29 |
| Relations with clients - Joint retainers - Conflicts of interest - Must advise | 899(B) | 3.4-5 |
| Relations with jurors - Communication during trial | 944(B) - 945(T) | 5.5-4 |
| Relations with jurors - Communications before trial | 944(T) | 5.5-1 |
| Relations with jurors - Disclosure of information | 944(M) | 5.5-2 - 5.5-3 |
| Relations with jurors - Relationship to the administration of justice | 944(T) - 944(B) | 5.5-1 - 5.5-4 |
| Relationship to administration of justice - Lawyer shall not | 936(M) - 938(M) | 5.1-2(a-p) |
| Relationship to client - ADR - Consider and inform client of ADR | 882(M) | 3.2-4[1] |
| Relationship to client - Ascertain purpose of retainer | 883(B) | 3.2-7.2 |
| Relationship to client - Care of client’s property and money - Careful and prudent owner | 918(M) | 3.5-2 |
| Relationship to client - Client under a disability or minority | 887(T) | 3.2-9 |
| Relationship to client - Encouraging compromise or settlement | 882(M) | 3.2-4 |
| Relationship to client - Guilty plea - Lawyer as advocate | 941(M) | 5.1-8 |
| Relationship to client - Honesty and Candour | 880(M) | 3.2-2 |
| Relationship to client - Lawyer shall not encourage or assist dishonesty | 883(B) | 3.2-7 |
| Relationship to client - Manner of withdrawal from representation | 927(T) | 3.7-8 - 3.7-9 |
| Relationship to client - Organization as client - Dishonesty, fraud, etc. - Steps to take | 885(T) - 886(B) | 3.2-8  (a) - (c) |
| Relationship to client - Preservation of client’s property | 918(M) - 919(M) | 3.5-2 - 3.5-7 |
| Relationship to Client - Quality of service - Honesty and candour | 880(M) | 3.2-2 |
| Relationship to client - When client is an organization | 881(B) | 3.2-3 |
| Relationship to client - Withdrawal from representation | 924(T) - 928(M) | 3.7-1 -  3.7-10 |
| Relationship to clients - Division of fees - Fees and disbursements - Not with non-licensees  SEE 3.6-8 - Not applicable when  SEE 3.7-7[1] Does not prohibit | 922(M)  923(T)  922(B) | 3.6-7 |
| Relationship to Law Society and other lawyers | 957(T) - 975(M) | 7.1-1 - 7.8.2-3 |
| Relationship to Law Society and other lawyers - Communications from the Law Society - Lawyer shall reply promptly | 957(T) | 7.1-1 |
| Relationship to Law Society and other lawyers - Communications, other lawyers | 960(M) | 7.2-4 - 7.2-5 |
| Relationship to Law Society and other lawyers - Discipline | 975(M) | 7.8.2-1 - 7.8.2-3 |
| Relationship to Law Society and other lawyers - Duty to report certain offences | 959(M) | 7.1-4.4 |
| Relationship to Law Society and other lawyers - Duty to report misconduct | 957(B) - 958(M) | 7.1-3 |
| Relationship to Law Society and other lawyers - Encouraging client to report dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Relationship to Law Society and other lawyers - Errors and omissions | 973(T) - 975(B) | 7.8-1 - 7.8-5 |
| Relationship to Law Society and other lawyers - Financial obligations - Lawyer shall promptly meet | 957(M) | 7.1-2 |
| Relationship to Law Society and other lawyers - Outside interests and the practice of law | 968 (T)- (M) | 7.3-1 - 7.3-2 |
| Relationship to Law Society and other lawyers - Preventing unauthorized practice | 970(B) - 972(T) | 7.6-1 - 7.6-1.4 |
| Relationship to Law Society and other lawyers - Public appearances and public statements | 969(M) - 970(M) | 7.5-1 - 7.5-2 |
| Relationship to Law Society and other lawyers - Responsibility in multi-discipline practices | 975(T) | 7.8.1-1 |
| Relationship to students - Duties of principal | 950(B) | 6.2-2 |
| Relationship to students - Recruitment and engagement procedures | 950(B) | 6.2-1 |
| Relationship to students, employees and others - Discrimination | 952(B) - 956(T) | 6.3.1-1 - 6.3.1-3 |
| Relationship to students, employees and others - Discrimination - Services | 954(B) | 6.3.1-2 |
| Relationship to students, employees and others - Discrimination - Employment practices | 955(T) -  956 (T) | 6.3.1-3 |
| Relationship to students, employees and others - Employment practices - Discrimination | 955(T) -  956 (T) | 6.3.1-3 |
| Relationship to students, employees and others - Sexual harassment | 952(B) | 6.3-3 |
| Relationship to students, employees and others - Signing E-Reg documents | 950(M) | 6.1-6.2 |
| Relationship to students, employees and others - Title insurance | 950(M) | 6.1-6.1 |
| Relationship to students, employees, and others | 948(T) - 956(M) | 6.1-1 - 6.3.1-3 |
| Relationship to the administration of justice | 935(T) - 947(M) | 5.1-1 - 5.7-1 |
| Relationship to the administration of justice - Communication with witness giving evidence | 943(T) - 944(T) | 5.4-2 |
| Relationship to the administration of justice - Interviewing witnesses | 942(B) | 5.3-1 |
| Relationship to the Administration of justice - Lawyer as advocate | 935(T) -  942(M) | 5.1-1 - 5.1-8  5.2-1 - 5.2-2 |
| Relationship to the administration of justice - Lawyers as mediators | 946(B) | 5.7-1 |
| Relationship to the administration of justice - Relations with jurors | 944(T) - 944(B) | 5.5-1 - 5.5-4 |
| Relevant factors - Competence | 874(B) | 3.1-2[3](a-e) |
| Relevant knowledge, skills and attributes - Competent lawyer | 874(T) - 875(M | 3.1-1(a-k) |
| Repayment - Fees that are reduced | 924(T) | 3.6-11 |
| Reporting on mortgage transactions - Applies where discharge outstanding - Quality of service  SEE Rule 3.2-9.8 - Reporting rule | 889(M)  889(T) | 3.2-9.9  3.2-9.8 |
| Reporting on mortgage transactions - Quality of service - Report + copy mortgage w/i 60 days | 889(T) | 3.2-9.8 |
| Reporting, encouraging client to - Dishonest conduct | 958(B)  -  959(T) | 7.1-4 - 7.1-4.3 |
| Represent client honourably - Lawyer as advocate | 935(T) - 936(M) | 5.1-1 |
| Representation, withdrawal from | 924(T) - 928(M) | 3.7-1 -  3.7-10 |
| Represented corporation or organization - Communications - Exception to prohibition with consent of legal practitioner | 962(M) | 7.2-8.1 |
| Represented corporation or organization - Communications with | 962(T) -  965(B) | 7.2-8 - 7.2-9 |
| Represented corporation or organization, prohibition - Communications | 962(T) | 7.2-8 |
| Represented person - Communication prohibition | 960(B) - 961(T) | 7.2-6 |
| Reputation and standards - Duty to uphold | 872(B) | 2.1-2 |
| Respect for administration of justice - Lawyer and the administration of justice | 945(T) | 5.6-1 |
| Respect for the administration of justice - Criticizing tribunals | 945(T) | 5.6-1 |
| Respect human rights laws - Special responsibilities - Integrity | 872(B) | 2.1-1[4.1] |
| Responsibility in multi-discipline practices - Compliance with these Rules | 975(T) | 7.8.1-1 |
| Responsibility to Law Society and other lawyers - Responsibility to other lawyers | 959(B) - 967(B) | 7.2-1 - 7.2-11 |
| Responsibility to lawyers and others - Audio recording, prohibition - consent | 960(M) | 7.2-3 |
| Responsibility to lawyers and others - Communications | 960(M) | 7.2-4 - 7.2-5 |
| Responsibility to lawyers and others - Communications with a represented person | 960(B) - 961(T) | 7.2-6 - 7.2-6A |
| Responsibility to lawyers and others - Communications with a represented corporation or organization | 962(T) -  965(B) | 7.2-8 - 7.2-9 |
| Responsibility to lawyers and others - Dealing with unrepresented persons - Person must be made to understand that their interests will not be protected by lawyers | 965(B)  -  966(T) | 7.2-9 |
| Responsibility to lawyers and others - Inadvertent communications | 966(T) | 7.2-10 |
| Responsibility to lawyers and others - Reasonable requests - Agreement | 960(M) | 7.2-1.1 |
| Responsibility to lawyers and others - Second opinions | 961(M) - 962(T) | 7.2-7 |
| Responsibility to lawyers and others - Undertakings and trust conditions- Shall fulfill | 966(B) - 967(B) | 7.2-11 |
| Responsibility to not discriminate - Employment practices | 955(T) | 6.3.1-3 |
| Responsibility to other lawyers | 959(T) - 967(B) | 7.2-1 - 7.2-11 |
| Responsibility to other lawyers - Courtesy and good faith | 959(B) | 7.2-1 |
| Responsibility, assume complete - Supervision | 948(T) | 6.1-1(b) |
| Responsibility, special of lawyer - Discrimination | 952(B) - 953(T) | 6.3.1-1 |
| Restrictions - Lawyer as advocate | 936(M) - 938(T) | 5.1-2 |
| Restrictions - Marketing | 930(M) | 4.2-1 |
| Restrictions on marketing of fees | 933(M) | 4.2-2 |
| Restrictions on offering legal services - Making legal services available | 929(T) | 4.1-2 |
| Retainer, joint - Fees and disbursements - Equitable division unless agreement otherwise | 921(B) | 3.6-4 |
| Retaining jointly - Conflicts of interest - Must advise | 899(B) | 3.4-5 |
| Retired appellate judges - definition | 972(M) | 7.7-1.1 |
| Retired Judges - Appearance as counsel | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Retired Judges - definition | 972(M) | 7.7-1.1 |
| Returning to practice - Retired judges | 972(M) - 973(T) | 7.7-1.1 - 7.7-1.4 |
| Role in adversarial proceedings - Lawyer as advocate | 935(TM) | 5.1-1[1] |
| Role of advocate - Adversarial proceedings | 935(T) | 5.1-1[1] |
| Second Opinion - Allowed Communication with represented person | 961(M) | 7.2-7 |
| Second Opinions | 961(M) - 962(T) | 7.2-7 |
| Security of court facilities | 946(M) | 5.6-3 |
| Security of court facilities - Lawyer and the administration of justice | 946(M) | 5.6-3 |
| Seeking external advice - Competence | 876(M) | 3.1-2[7] |
| Seeking legislative or administrative change | 946(T) | 5.6-2 |
| Seeking legislative or administrative change - Lawyer and the administration of justice | 946(T) | 5.6-2 |
| Service, quality of - Generally | 878(T) | 3.2-1 |
| Services - Discrimination | 954(B) | 6.3.1-2 |
| Services - Prohibition on discrimination | 954(B) | 6.3.1-2 |
| Settlement or compromise - Encouraging - Quality of service | 882(M) | 3.2-4 |
| Sexual harassment - Definition | 951(M) | 6.3-0 |
| Sexual harassment - Prohibition | 952(B) | 6.3-3 |
| Sharp practice, avoiding - Responsibility to lawyers | 960(M) | 7.2-2 |
| Short-term legal services - Conflicts of interest - Actual knowledge standard | 906(T) | 3.4-16.6[2] |
| Short-term legal services - Conflicts of interest - Screening measures | 906(B) | 3.4-16.6[6] |
| Short-term limited legal services - Conflicts of interest | 905(M) | 3.4-16.2 - 3.4-16.6 |
| Short-term limited legal services - Definition | 905(M) | 3.4-16.2 |
| Short-term limited legal services - Disclosure and determination whether additional services required - Conflicts of interest | 905(B) | 3.4-16.6 |
| Short-term limited legal services - Pro bono client - Conflicts of interest | 905(M) | 3.4-16.2 - 3.4-16.6 |
| Signing E-Reg documents - Relationship to students, employees and others | 950(M) | 6.1-6.2 |
| Society - Communications with lawyer - Lawyer shall reply promptly | 957(T) | 7.1-1 |
| Society - Disciplinary authority | 975(M) | 7.8.2-1 |
| Society - Law Society - Definition | 870(T) | 1.1-1 |
| Society - Misconduct, duty to report | 957(B) - 958(M) | 7.1-3 |
| Society, the Law - Disciplinary authority | 975(M) | 7.8.2-1 |
| Sole practitioners - Disclosure - Confidentiality - Risk of inadvertent disclosure | 890(B) | 3.3-1[7] |
| Special responsibilities - Integrity | 872(B) | 2.1-1[4.1] |
| Special responsibilities - Protect dignity of individuals - Integrity | 872(B) | 2.1-1[4.1] |
| Special responsibilities - Recognize diversity of Ontario - Integrity | 872(B) | 2.1-1[4.1] |
| Special responsibilities - Respect human rights laws - Integrity | 872(B) | 2.1-1[4.1] |
| Special responsibility - Protection  SEE: Diversity - duty to protect diversity of community | 872(B) | 2.1-1[4.1] |
| Special responsibility of lawyer - Discrimination | 952(B) - 953(T) | 6.3.1-1 |
| Specialist Practice - Marketing | 933(M) | 4.3-1 |
| Specify facts, circumstances, and assumptions - Opinion - Competence | 876(B) | 3.1-2[8] |
| Spousal interest in borrowing entity - Borrowing from client - Independent legal representation required - Conflicts of interest | 913(M) | 3.4-29 |
| Standard of conduct - Public office | 968(B) | 7.4-1 |
| Standard of legal services - Competence | 877(B) | 3.1-2 |
| Standards of the legal profession - Duty to respect human rights law | 872(B) | 2.1-1[4.1] |
| Standards of the legal profession - Duty to uphold reputation and standards | 872(B) | 2.1-2 |
| Standards of the legal profession - Protect dignity of individuals | 872(B) | 2.1-1[4.1] |
| Standards of the legal profession - Recognize diversity | 872(B) | 2.1-1[4.1] |
| Statement of account - Fees and disbursements - To be separately detailed | 921(B) | 3.6-3 |
| Student-at-law - Duties of articling student | 951(T) | 6.2-3 |
| Student-at-law - Duties of principal | 950(B) | 6.2-2 |
| Student-at-law - Recruitment procedures | 950(B) | 6.2-1 |
| Students - Recruitment and engagement procedures | 950(B) | 6.2-1 |
| Students, employees, and others - Relationship to | 948(T) - 956(M) | 6.1-1 - 6.3.1-3 |
| Submission of affidavit - Lawyer as witness | 942(T) | 5.2-1 |
| Submission of evidence - Lawyer as witness | 942(T) | 5.2-1 |
| Submission of testimony - Lawyer as witness | 942(T) | 5.2-1 - 5.2-2 |
| Substantial risk - Definition - Where conflict of interest - Significant and plausible even if not certain or probable | 895(M) | 3.4-1[3] |
| Substantial risk of conflict of interest - Definition | 868(M) | 1.1-1 |
| Successor licensee, duty of - Withdrawal from representation - Former licensee must approve, withdraw or be discharged | 928(M) | 3.7-10 |
| Supervision - Assume complete responsibility for practice of law | 948(T) | 6.1-1(a) |
| Supervision - Duties of articling student - Act in good faith | 951(T) | 6.2-3 |
| Supervision - Restrictions on non-lawyers and title insurance | 950(M) | 6.1-6.1 |
| Supervision - Title insurance and non-lawyer | 950(M) | 6.1-6.1 |
| Supervision of students - Duties of principal | 950(B) | 6.2-2 |
| Supervision of students, employees and others - Discrimination | 952(B) - 956(T) | 6.3.1-1 - 6.3.1-3 |
| Supervision of students, employees and others - Duties of principal | 948(T) | 6.1-1 |
| Supervision of students, employees and others - Duty to supervise | 948(T) | 6.1-1(b) |
| Supervision of Students, Employees and Others - Electronic Registration of Title Documents | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Supervision of students, employees and others - Services - Discrimination | 954(B) | 6.3.1-2 |
| Supervision of students, employees and others - Sexual harassment | 952(B) | 6.3-3 |
| Supervision of students, employees and others - Signing E-Reg documents | 950(M) | 6.1-6.2 |
| Surety, prohibition - Judicial interim release - Conflicts of interest  SEE 3.4-41 - Unless family | 918(T)  918(T) | 3.4-40 |
| Suspended lawyers - Practice prohibited | 970(M) | 7.6-1.2 |
| Suspended Lawyers - Working with | 970(M) | 7.6-1.2 |
| Syndicated mortgage - Conflict of interest - Must not arrange or recommend client invest in syndicated mortgage or loan where lawyer investor, unless independent legal advice | 915(B) | 3.4-33.1(b) |
| Syndicated mortgage - Definition | 913(M) | 3.4-29 |
| Syndicated mortgage or loan - Prohibition on holding in trust for client - Unless (i) reporting letter (ii) trust declaration (iii) copy of mortgage or security instrument | 915(M) | 3.4-33.1(a) |
| Testamentary instruments and gifts - Conflicts of interest - Not to draft gift to self or associate unless family | 917(B) | 3.4-38 |
| Testamentary instruments and gifts - Conflicts of interest - Where clause to retain drafting lawyer - Must advise trustee non-binding | 917(B) | 3.4-38 |
| The Lawyer as advocate - Relationship to the administration of justice | 935(T) - 941(B) | 5.1-1 - 5.1-8 |
| The lawyer as witness | 942(T) | 5.2-1 - 5.2-2 |
| The lawyer in public office - Shall adhere to standard of conduct | 968(B) | 7.4-1 |
| Threaten criminal charges to gain a civil benefit - Abuse of court | 938(T) | 5.1-2[3] |
| Threatening criminal proceedings - Impropriety = use of threat to gain civil advantage | 883(M) | 3.2-5.1[2] |
| Threatening criminal proceedings - Prohibition against | 882(B) | 3.2-5(a-b) |
| Threatening criminal proceedings - Prohibition against - Quality of service | 882(B) | 3.2-5(a-b) |
| Threatening regulatory action - Not improper - When regulatory authority exercises essentially civil jurisdiction | 883(M) | 3.2-5.1[2.1] |
| Threatening regulatory action - Prohibition against - Quality of service | 883(T) | 3.2-5(b) |
| Timely service - Competence | 877(T) | 3.1-2[12] |
| Title documents, electronic registration - Relationship to students, employees, others | 949(M)  -  950(T) | 6.1-5 - 6.1-6 |
| Title Insurance - Be knowledgeable and discuss advantages and limits - Quality of service | 888(M) | 3.2-9.4[2] |
| Title Insurance - Supervision of non-lawyer | 950(M) | 6.1-6.1 |
| Title insurance in real estate conveyancing - Compensation from insurer - Prohibition | 888(B) | 3.2-9.5 |
| Title insurance in real estate conveyancing - Disclosure - No commission | 889(T) | 3.2-9.6 |
| Title insurance in real estate conveyancing - Lawyer shall not receive compensation from insurer | 888(B) | 3.2-9.5 |
| Title insurance in real estate conveyancing - Quality of service - Advise not mandatory nor only option | 888(M) | 3.2-9.4 |
| Title insurance in real estate conveyancing - TitlePLUS - Disclose relationship | 889(T) | 3.2-9.7 |
| Title insurance not mandatory - Real estate conveyancing - Quality of service | 888(M) | 3.2-9.4 |
| TitlePLUS - Title insurance in real estate conveyancing - Disclose relationship | 889(T) | 3.2-9.7 |
| Transactions with clients - Conflicts of interest - Require (a) disclosure of conflict (b) independent legal advise (c) consent - | 913(M) | 3.4-29 |
| Transactions with Clients - Conflicts of interest - Require (a) disclosure of conflict (b) independent legal advise (c) consent | 913(M) | 3.4-29 |
| Transfer between law firms - Application of rule | 907(B) - 909(T) | 3.4-17 - 3.4-19 |
| Transfer between law firms - Confidential information - Disqualification unless | 909(M) | 3.4-20 |
| Transfer between law firms - Conflict - New firm not to discuss unless consent | 911(T) | 3.4-22 |
| Transfer between law firms - Conflict of interest - Government lawyers exempt if same employer | 909(T) | 3.4-19 |
| Transfer between law firms - Conflict of interest - Rules triggered when | 908(T) | 3.4-18 |
| Transfer between law firms - Conflicts of interest | 907(B) - 911(M) | 3.4-17 - 3.4-23 |
| Transfer between law firms - Disclosure of confidential information - Consent required if prejudice, breach of privilege | 894(B) | 3.3-7[6] |
| Transfer between law firms - Disqualification, law firm | 909(M) | 3.4-20 |
| Transfer between law firms - Matter, definition | 907(B) | 3.4-17 |
| Transfer between law firms - Non lawyer must comply with transfer rules 3.4-17 - 3.4.23 | 911(M) | 3.4-23(a) |
| Transfer of Lawyers - Manner of Withdrawal - Rules | 927(T) | 3.7-9 |
| Transferring between firms - Conflicts of interest - Due diligence of non lawyers | 911(M) | 3.4-23 |
| Transferring between law firms - Disclosure - Confidential information - Only to determine conflicts  SEE 3.3-7 [3] - [4] - Only names of persons and entities involved, to as few lawyers as possible | 893(B)  894(M) | 3.3-7 |
| Transferring between law firms - New firm undertaking to old firm - Limit access - Not use - Destroy or secure - Disclosed confidential information | 894(M) | 3.3-7[5] |
| Transfers of title - Acting for both parties - Conflicts of interest | 907(M) | 3.4-16.7 - 3.4-16.9 |
| Trial on merits - Prosecutor - Justice is done - Lawyer as advocate | 939(B) - 940(T) | 5.1-3[1] |
| Trial, communications before - Relations with jurors | 944(T) | 5.5-1 |
| Tribunal - Definition | 871(T) | 1.1-1 |
| Trust account - Quality of service | 884(T) | 3.2-7.3 |
| Trust Conditions - Undertakings Shall fulfill | 967(B) | 7.2-11 |
| Trust conditions and undertakings - Responsibility to lawyers and others- Shall fulfill | 967(B) | 7.2-11 |
| Trust Funds of Client - Appropriation for Fees - Only as permitted by *Law Society Act* | 923(B) | 3.6-10 |
| Unauthorized Persons - Working with or employing disbarred persons | 971(T) | 7.6-1.1 |
| Unauthorized Practice - Preventing | 970(B) | 7.6-1 |
| Unauthorized Practice - Suspended lawyers | 970(M) | 7.6-1.2 |
| Undertaking - Advocate - Personal promise and responsibility | 941(T) | 5.1-6 |
| Undertaking - New firm to old firm - Transfer between law firm - Limit access - Not use - Destroy or secure - Disclosed confidential information | 894(M) | 3.3-7[5] |
| Undertaking a matter - Competence | 875(T) | 3.1-2[5] |
| Undertaking to practice law subject to restrictions | 972(T) | 7.6-1.4 |
| Undertakings - Duties - Shall fulfill | 966(B) - 967(B) | 7.2-11 |
| Undertakings - Lawyer as advocate | 941(T) | 5.1-6 |
| Undertakings - Not to practice law | 971(B) | 7.6-1.3 |
| Undertakings - SEE Rule 7.2-11, Undertakings and Trust Conditions | 941(T) | 5.1-6 [1] |
| Undertakings - Trust Conditions - Shall fulfill | 967(B) | 7.2-11 |
| Undertakings and trust conditions - Responsibility to lawyers and others - Shall fulfill | 966(B) - 967(B) | 7.2-11 |
| Undertakings, fulfill - Advocate, lawyer as | 941(T) | 5.1-6 |
| Unknowingly, error or omission - Disclosure - Lawyer as advocate | 940(M) | 5.1-4 |
| Unrepresented persons - Dealing with on client’s behalf - Person must be made to understand that their interests will not be protected by lawyers | 965(B)  -  966(T) | 7.2-9 |
| Use of trust account - Quality of service | 884(T) | 3.2-7.3 |
| Wilful blindness - Quality of service - Facilitating fraud, dishonesty, etc. | 883(B) | 3.2-7[1] |
| Wills - Conflicts of interest - Not to draft gift to self or associate unless family | 917(B) | 3.4-38 |
| Wills - Conflicts of interest - Where clause to retain drafting lawyer - Must advise trustee non-binding | 917(B) | 3.4-38 |
| Withdrawal - Lawyer to minimize expense, avoid prejudice and orderly transfer | 927(T) | 3.7-8 |
| Withdrawal from criminal proceedings - Not permitted - Not sufficient time - Adjournment would prejudice | 926(M) | 3.7-6(a) |
| Withdrawal from criminal proceedings - Other than non-payment - Insufficient time - Permission from court required | 926(M) | 3.7-6(b) |
| Withdrawal from criminal proceedings - Prohibition on withdrawal for non-payment - If court date is not far enough | 926(T) | 3.7-5 |
| Withdrawal from criminal proceedings - Steps to take | 925(B) | 3.7-4 |
| Withdrawal from criminal proceedings - Withdrawal from representation | 925(B) - 926(M) | 3.7-4 - 3.7-6 |
| Withdrawal from representation | 924(T) - 928(M) | 3.7-1 -  3.7-10 |
| Withdrawal from representation - By lawyer - Where serious loss of confidence | 925(T) | 3.7-2 |
| Withdrawal from representation - Cause and notice | 924(T) | 3.7-1 |
| Withdrawal from representation - Criminal proceedings | 925(B) - 926(M) | 3.7-4 - 3.7-6 |
| Withdrawal from representation - Duty of successor licensee - Former licensee must approve, withdraw or be discharged | 928(M) | 3.7-10 |
| Withdrawal from representation - Good cause and reasonable notice | 924(T) | 3.7-1 |
| Withdrawal from representation - Leaving the Firm, by lawyer - Client choice | 924(B) | 3.7-1[4] |
| Withdrawal from representation - Loss of confidence - Examples | 925(T) | 3.7-2[1] |
| Withdrawal from representation - Loss of confidence - Optional, by lawyer | 925(T) | 3.7-2 |
| Withdrawal from representation - Mandatory withdrawal if (a) discharged (b) instructions violate LSUC rules (c) not competent | 926(B) | 3.7-7 |
| Withdrawal from representation - Manner of withdrawal | 927(T) | 3.7-8 - 3.7-9 |
| Withdrawal from representation - Non-payment of fees - Unless prejudice | 925(M) | 3.7-3 |
| Withdrawal from representation - Notice and cause required | 924(T) | 3.7-1 |
| Withdrawal from representation - Optional - Loss of confidence | 925(T) | 3.7-2 |
| Withdrawal from representation - Optional withdrawal by lawyer - Where loss of confidence | 925(T) | 3.7-2 |
| Withdrawal from representation - Prohibition on threat of withdrawal | 925(T) | 3.7-2[1] |
| Withdrawal from representation - Reasonable notice and good cause | 924(T) | 3.7-1 |
| Withdrawal from representation - Successor Lawyer, duty of - Former licensee must approve, withdraw or be discharged | 928(M) | 3.7-10 |
| Withdrawal from representation - Upon withdrawal, lawyer shall | 927(T) | 3.7-8 - 3.7-9 |
| Withdrawal from representation - Withdrawal from criminal proceedings | 925(B) - 926(M) | 3.7-4 - 3.7-6 |
| Withdrawal, criminal proceedings - Permitted - Cause or non-payment | 925(B) - 926(M) | 3.7-4 - 3.7-6 |
| Withdrawal, manner of - Steps to take - Transfer of Lawyers | 927(T) | 3.7-9 |
| Witness - Communication with represented person, prohibition | 960(B) - 961(T) | 7.2-6 |
| Witness - Communication with Witness giving Evidence | 943(T) | 5.4-2 |
| Witness - interviewing witnesses | 942(B) | 5.3-1 |
| Witness - Lawyer as - Submission of affidavit | 942(T) | 5.2-1 |
| Witness communications - Before completion of examination-in-chief | 943(T) | 5.4-2(a) |
| Witness communications - During cross-examination - Unsympathetic witness | 943(M) | 5.4-2(c.2) |
| Witness communications - During cross-examination by opposing counsel | 943(M) | 5.4-2(b) |
| Witness communications - Re-examination of opposing side witness | 943(B) | 5.4-2(c.4) |
| Witness communications restrictions - During cross examination - Sympathetic witness | 943(B) | 5.4-2(c.3) |
| Witness, interviewing - Disclose interest | 942(B) | 5.3-1 |
| Witness, lawyer as - Appeals | 930(M) | 5.2-2 |
| Witness, lawyer as - Submission of evidence | 942(T) | 5.2-1 |
| Witnesses giving evidence, communications with | 943(T) - 944(T) | 5.4-2 |
| Witnesses, interviewing | 942(B) | 5.3-1 |
| Written confirmation needed - Limited scope retainer - Quality of service | 878(B) | 3.2-1A.1 |